

2019 ANNUAL UPDATE TO THE CONSOLIDATED PLAN

Effective July 1, 2019 – June 30, 2020

Arkansas Economic Development Commission
Arkansas Development Finance Authority
Arkansas Department of Human Services
Arkansas Department of Health

Amended June 8, 2020 to add ESG-CV and HOPWA-CV CARES Act funds to the PY 2019 Annual Action Plan (AAP). CDBG-CV will be added at a later date. Amended July 29, 2020 to add ESG-CV Round 2 CARES Act funds to the PY 2019 AAP. Amended Oct 6, 2020 to add CDBG-CV, Rounds 1, 2 and 3 CARES Act funds to the PY 2019 AAP.

Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The 2019 Annual Action Plan (AAP) is the fifth annual plan and update to the State of Arkansas 2015-2019 Consolidated Plan (Consolidated Plan), a five-year plan (2015-2019) addressing the State's housing and community development needs. Federal resources provided by the United States Department of Housing and Urban Development (HUD) that are discussed within the Plan include: Community Development Block Grant (CDBG); the HOME Investment Partnerships Program (HOME); Emergency Solutions Grant Program (ESG); Housing Opportunities for Persons with AIDS Program (HOPWA); and National Housing Trust Fund (NHTF, also known as HTF).

HUD Standard Form 424 (Application for Federal Assistance) is submitted as part of the plan to request federal funding for the State of Arkansas.

The CDBG Program is administered through the Arkansas Economic Development Commission (AEDC) and AEDC is the lead agency responsible for coordinating the development of the Consolidated Plan. The HOME program and NHTF are administered through the Arkansas Development Finance Authority (ADFA), the ESG program is administered by the Arkansas Department of Human Services, Office of Community Services (DHS), and the HOPWA program is administered by the Arkansas Department of Health, Division of Health (ADH). ADFA, DHS, and ADH work in conjunction with AEDC in developing the Consolidated Plan.

The HOME funds received by ADFA for the State exclude the entitlement cities Fort Smith, Little Rock, North Little Rock, and Pine Bluff, as these cities are responsible for distribution and administration of their own HOME award. HOPWA funds received by ADH for the state exclude the entitlement city of Little Rock, who is responsible for their own HOPWA award. Allocations are based on a formula. The formula is determined using a minimum funding amount for all regions, population base, poverty data, and pro-rata percent. The formal and funding process emphasizes equitable distribution as well as quality projects and programs.

The Arkansas 2019 Annual Action Plan affirms the goals and objectives of Title I of the Housing and Community Development Act of 1974, as amended, and the National Affordable Housing Act of 1990, as amended, which relate to major commitments and priorities of the four agencies. This complex set of goals can be compressed into three main areas that include:

- **Provide Decent Housing**
- **Provide a Suitable Living Environment**
- **Expand Economic Opportunity**

The state views this mandate as an opportunity to extend and strengthen partnerships among organizations in the public, private, and nonprofit sectors. Through this collaboration, AEDC, ADFA, DHS, and ADH hope to streamline approaches to meet these goals and effectively use limited resources. Arkansas anticipates receiving the following amounts in 2019.

CDBG: \$17,853,442

HOME: \$8,975,719

ESG: \$2,162,085

HOPWA: \$815,150

NHTF: \$3,000,000

Covering all areas of the State, except the cities of Conway, Fayetteville, Fort Smith, Hot Springs, Jacksonville, Jonesboro, Little Rock, North Little Rock, Pine Bluff, Rogers, Springdale, Texarkana, and West Memphis, the Annual Action Plan identifies a one-year action plan for program implementation. As CDBG Entitlement Areas, the cities listed previously receive funds for these programs directly and are required to prepare and submit their own Annual Action Plans.

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The State of Arkansas identified five priorities, which summarize the goals of the five-year Consolidated Plan.

The main priorities and objectives within the 2019 AAP include:

- Housing Priority Need
- Community Development Priority Need
- Economic Development Priority Need
- Homeless Services Priority Need

- HOPWA Services Priority Need

Through the development of the Consolidated Plan it was determined that there were three overarching objectives guiding the proposed activities that include:

- Provide Decent Housing
- Provide a Suitable Living Environment
- Expand Economic Opportunity

Outcomes were developed to show how programs and activities would benefit a community or the persons within a community served. The three outcomes that will illustrate the benefits of each activity funded by the CDBG, HOME, ESG, HOPWA, or NHTF programs are:

- Improved availability/accessibility
- Improved affordability
- Improved sustainability

The future activities funded within the next year will support at least one objective and one outcome as described above. The statutes for CDBG, HOME, ESG, HOPWA, and NHTF covered by the Consolidated Plan Rule include a number of basic goals discussed in the Housing and Community Development Act, as amended, and the National Affordable Housing Act, as amended. The framework for realizing these objectives and outcomes will be associated with the specific priorities, as noted above.

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The State of Arkansas has been successful in its efforts to address the priority needs identified in the 2015-2019 Consolidated Plan. The state has worked hard to further the primary national CDBG objective of developing viable urban communities by providing decent housing, suitable living environments, and expanding economic opportunities, principally for low- and moderate-income persons (LMI). The projects selected by the state in FY 2019 address the priority needs identified in the state's 2015-2019 Consolidated Plan.

Specific details about past performance by the state on priorities covered by the 2015-2019 Consolidated Plan and strategies contained in Annual Action Plans are available through the state's Annual Performance reports that are submitted to HUD each year. The 2017 Consolidated Annual Performance and Evaluation Report was submitted to HUD on September 28, 2018 and is the most recent report submitted. The Report contains information regarding significant achievements in meeting the needs of Arkansans.

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

The State of Arkansas conducted one public hearing on housing and community development issues in order to allow citizens the opportunity to provide comments and input for the 2019 Annual Action Plan. The meeting, held in Little Rock, included presentations which focused on housing and community development strategies to address housing and community development needs throughout the state.

Prior to the meeting, notices were published in newspapers throughout the state and letters were mailed to local officials, economic developers, non-profit organizations, Planning & Economic Development Districts, and Continuum of Care's throughout the state, as well as other interested parties.

Additional information regarding the public meetings and comments will be available within the final 2019 Annual Action Plan.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

The State of Arkansas conducted one public hearing on April 11, 2019 in Little Rock, to provide the public an opportunity to review the draft of the Annual Action Plan, and to receive oral comments. Twenty people were in attendance representing all areas of community and economic development, housing, and homeless needs areas.

6. Summary of comments or views not accepted and the reasons for not accepting them

No comments were rejected.

7. Summary

Minutes from public hearing are included in Appendix E.

PR-05 Lead & Responsible Agencies - 91.300(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

| Agency Role | Name | Department/Agency |
|---------------------|----------|--|
| CDBG Administrator | ARKANSAS | Arkansas Economic Development Commission |
| HOPWA Administrator | ARKANSAS | Arkansas Department of Health |
| HOME Administrator | ARKANSAS | Arkansas Development Finance Authority |
| ESG Administrator | ARKANSAS | Arkansas Department of Human Services |
| NHTF Administrator | ARKANSAS | Arkansas Development Finance Authority |

Table 1 – Responsible Agencies

Narrative

The Annual Action Plan was developed in partnership between the four responsible agencies listed above. The amounts represented in the 2019 Annual Action Plan include those awarded by HUD for the Community Development Block Grant Program (CDBG), administered by the Arkansas Economic Development Commission (AEDC); HOME Investment Partnership Program (HOME) and the National Housing Trust Fund (NHTF, also known as HTF), administered by the Arkansas Development Finance Authority (ADFA); Emergency Solutions Grants Program (ESG), administered by the Arkansas Department of Human Services (DHS); and Housing Opportunities for Persons with AIDS Program (HOPWA), administered by the Arkansas Department of Health (ADH).

Consolidated Plan Public Contact Information

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AP-10 Consultation - 91.110, 91.300(b); 91.315(l)

1. Introduction

The State of Arkansas works with a wide variety of agencies, organizations, and service providers in an effort to bring various viewpoints to bear in the identification of local housing and service needs. Ongoing relationships focused on specific needs and targeted meetings designed to bring public input into the Consolidated Plan process are two of the ways that the state utilizes outside organizations in the consultation process.

Provide a concise summary of the state's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies

The state has ongoing relationships with many housing providers working on housing development activities. The state also works with the many public housing authorities across the state to utilize Section 8 vouchers from the federal government to address the housing needs of the state's lowest income households. Through the Continuum of Care (CoC) processes throughout the state, the State of Arkansas maintains relationships with mental health providers, homeless shelter and services providers, and local governmental agencies with specific responsibilities for homeless individuals and families. The state also participates in a variety of other coalitions that seek to address other issues that relate to housing and service needs.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness

State staff works actively with the six Continuum of Care organizations in the state. Staff participates in regularly scheduled meetings. In the past, the state has provided administrative support to supplement CoC initiatives and distributed Emergency Solutions Grant (ESG) funding to the various agencies that make up the membership of the CoC organizations.

Describe consultation with the Continuum(s) of Care that serves the State in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

The State of Arkansas supports a variety of housing and public service programs operated to service the homeless and special needs population. The efforts of the homeless coalitions, through the CoC process, have provided guidance on the needs of these communities. The process has resulted in a better understanding of the homeless and special needs community and their housing needs, enabling the

state to arrive at a set of goals and objectives. As required by ESG regulations, DHS meets annually with the CoC chairpersons to discuss the topics mentioned above. Results of those discussions are incorporated into the ESG Program.

2. Agencies, groups, organizations and others who participated in the process and consultations

Table 2 – Agencies, groups, organizations who participated

| | | |
|---|--|---|
| 1 | Agency/Group/Organization | ARKANSAS ECONOMIC DEVELOPMENT COMMISSION |
| | Agency/Group/Organization Type | Other government - State Grantee Department |
| | What section of the Plan was addressed by Consultation? | All Sections |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Plan Coordinator, Advisory Board, other Agency Departments also consulted on plan, including Community Developers, Economic Developers, and Rural Services Division |
| 2 | Agency/Group/Organization | ARKANSAS DEVELOPMENT FINANCE AUTHORITY |
| | Agency/Group/Organization Type | Other government - State Grantee Department |
| | What section of the Plan was addressed by Consultation? | All Sections |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Consolidated Plan Board Member. |
| 3 | Agency/Group/Organization | ARKANSAS DEPARTMENT OF HUMAN SERVICES |
| | Agency/Group/Organization Type | Other government - State Grantee Department |
| | What section of the Plan was addressed by Consultation? | All Sections |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Consolidated Plan Board Member. |

| | | |
|---|--|---|
| 4 | Agency/Group/Organization | ARKANSAS DEPARTMENT OF HEALTH |
| | Agency/Group/Organization Type | Health Agency Other government - State Grantee Department |
| | What section of the Plan was addressed by Consultation? | All Sections |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | Consolidated Plan Board Member. |

Identify any Agency Types not consulted and provide rationale for not consulting

No specific organizations were intentionally left out of the public participation process.

Other local/regional/state/federal planning efforts considered when preparing the Plan

| Name of Plan | Lead Organization | How do the goals of your Strategic Plan overlap with the goals of each plan? |
|---------------------|---------------------------------------|--|
| Continuum of Care | Balance of State Continuum of Care | The Strategic Plan provides a set of goals for addressing homelessness, with are supported by the Balance of State Continuum of Care and its participating agencies. |

Table 3 - Other local / regional / federal planning efforts

Narrative

N/A

AP-12 Participation - 91.115, 91.300(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

Citizen participation is an essential component of a statewide planning effort. Arkansas strongly encourages public participation throughout the consolidated planning process.

A public hearing was conducted at the following location:

- April 11, 2019: 10:30 AM – 12:00 PM; Arkansas State Library, Bessie Moore Conference Room, 2nd Floor, 900 W. Capitol Ave, Little Rock, AR 72201

A news release, announcing the public hearing and public comment period was sent to statewide media and notices were sent to approximately 1,500 contacts including: the Arkansas Development Finance Authority; Housing Developers; Public Housing Authorities; City and County officials; Development Districts; Continuum of Care contacts; State Senators and State Representatives; Congressional Delegates; and CDBG Grant Administrators.

A public notice was placed in the statewide newspaper, Arkansas Democrat-Gazette. The draft plans were also featured in two locations on the AEDC website. AEDC made additional efforts to increase non-traditional public participation in addition to notification methods used in the past, and will continue to develop additional innovative public awareness strategies.

CARES Act Funding (Public Law 116-136):

A public notice was placed in the statewide newspaper, Arkansas Democrat-Gazette, publicizing the 5-day Public Comment period concerning the Substantial Amendment to the 2019 Annual Action Plan in regard to CARES Act funding for ESG-CV and HOPWA-CV programs. The notice ran June 8-12, 2020.

A copy of the Substantial Amendment was published on the AEDC website for review at: www.arkansasedc.com/grants.

There were no public comments received during the review process.

A public notice was placed in the statewide newspaper, Arkansas Democrat-Gazette, publicizing the 5-day Public Comment period concerning the Substantial Amendment to the 2019 Annual Action Plan in regard to CARES Act funding for CDBG-CV Rounds 1-3. The notice ran October 11-16, 2020. A virtual Public Hearing was held October 14, 2020, at 10:00 AM.

A copy of the Substantial Amendment was published on the AEDC website for review at: www.arkansasedc.com/grants.

Several comments and questions were made during the Public Hearing and are included in the Minutes found in Appendix F.

No written comments were received.

Citizen Participation Outreach

| Sort Order | Mode of Outreach | Target of Outreach | Summary of response/attendance | Summary of comments received | Summary of comments not accepted and reasons | URL (If applicable) |
|------------|------------------|------------------------------|---|--|--|--|
| 1 | Public Meeting | Non-targeted/broad community | N/A | N/A | N/A | www.arkansasedc.com/grants |
| 2 | Newspaper Ad | Non-targeted/broad community | A newspaper ad was published in the Arkansas Democrat-Gazette announcing the public hearing and 30-day public comment period. A copy of the ad is included in Appendix E. | No comments were received via this mode of outreach. | N/A | www.arkansasedc.com/grants |

| Sort Order | Mode of Outreach | Target of Outreach | Summary of response/attendance | Summary of comments received | Summary of comments not accepted and reasons | URL (If applicable) |
|------------|-------------------|------------------------------|--|--|--|---|
| 3 | Internet Outreach | Non-targeted/broad community | All notices, public hearing location, and drafts were posted on the Arkansas Economic Development Commission website, on the homepage of the Grants Management Division. | No comments were received via this mode of outreach. | N/A | http://arkansasedc.com/grants |
| 4 | Press Release | Non-targeted/broad community | A press release was issued to the network of all statewide newspapers announcing the 30-day public comment period and public hearing where oral comments would be received, and availability of the draft of the plan. | No comments were received via this mode of outreach. | N/A | http://arkansasedc.com/grants |

| Sort Order | Mode of Outreach | Target of Outreach | Summary of response/attendance | Summary of comments received | Summary of comments not accepted and reasons | URL (If applicable) |
|------------|------------------|---|--|--|--|--|
| 5 | Direct Mail | Non-targeted/broad community Mayors, County Judges, PDDs, Congressional Districts, full mailing list of interested parties | A letter was mailed to all interested parties and a full mailing list announcing the 30-day public comment period and public hearing where oral comments would be received and availability of the draft of the plan. | No comments were received via this mode of outreach. | N/A | http://arkansasedc.com/grants |
| 6 | Public Hearing | Non-targeted/broad community | The State of Arkansas conducted one public hearing on April 11th, to provide the public an opportunity to review the draft of the Annual Action Plan, and to receive oral comments. Twenty people were in attendance. Minutes from the hearing are included in Appendix E. | Only one comment was received expressing support for the CDBG Water/Wastewater, General Assistance, and Rural Services line items. The comment is included in the Minutes, see Appendix E. | No comments were rejected. | www.arkansasedc.com/grants |

| | | | | | | |
|---|--------------|------------------------------|---|---|----------------------------|--|
| 7 | Newspaper Ad | Non-targeted/broad community | <p>A public notice was published in the statewide newspaper, Arkansas Democrat-Gazette (ADG), announcing the 5-day public comment period concerning the Substantial Amendment to the 2019 Annual Action Plan in regard to the CARES Act Funding for ESG-CV and HOPWA-CV programs. The notice covered June 8-12, 2020. A copy of the Substantial Amendment was published on the AEDC website for review at: www.arkansasedc.com/grants. On August 2, 2020, a public notice was published in the ADG announcing the 5-day public comment period concerning ESG-CV Round 2 funding. The noticed covered August 2-7, 2020. On October</p> | No written comments were received during any of the 5-day public comment periods. | No comments were rejected. | www.arkansasedc.com/grants |
|---|--------------|------------------------------|---|---|----------------------------|--|

| Sort Order | Mode of Outreach | Target of Outreach | Summary of response/attendance | Summary of comments received | Summary of comments not accepted and reasons | URL (If applicable) |
|------------|------------------|--------------------|--|------------------------------|--|---------------------|
| | | | <p>11, 2020, a public notice was published in the ADG announcing the 5-day public comment period and Public Hearing on October 14, 2020. The notice covered October 11-16, 2020. Proof of Publications can be found in Appendix F.</p> | | | |

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.320(c)(1,2)

Introduction

The following table describes the anticipated resources to be allocated throughout Arkansas broken down by program type. These anticipated resource projections are made for FY 2019. This is the last year of the 5-Year Consolidated Plan (2015-2019).

Arkansas anticipates receiving the following allocations for FY 2019:

CDBG: \$17,853,442

HOME: \$8,975,719

ESG: \$2,162,085

HOPWA: \$815,150

NHTF: \$3,000,000

ESG-CV: \$23,786,858 (Total from Rounds 1 & 2). The components for ESG-CV funding are Emergency Shelter, Homelessness Prevention, Rapid Re-Housing, Street Outreach, Administration and HMIS (Homeless Management Information System).

HOPWA-CV: \$133,620. HOPWA-CV funds will be used for Direct Housing services (TBRA, STRMU, and PHP); Supportive Services; and Grant Administration.

CDBG-CV: \$26,387,954 (Total from Rounds 1, 2 & 3). CDBG-CV funds will be used for Non-Entitlement Local Government Set-Aside: Public Services and Public Facilities (46%), \$12,218,424; Food Assistance (18%), \$4,680,000; Rental Assistance (22%), \$5,760,000; Economic

Development-Microenterprise (9%), \$2,413,576; and State Administration (5%), \$1,319,954.

Anticipated Resources

| Program | Source of Funds | Uses of Funds | Expected Amount Available Year 1 | | | | Expected Amount Available Remainder of ConPlan \$ | Narrative Description |
|---------|------------------|--|----------------------------------|--------------------|--------------------------|------------|---|---|
| | | | Annual Allocation: \$ | Program Income: \$ | Prior Year Resources: \$ | Total: \$ | | |
| CDBG | public - federal | Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services | 17,853,442 | 2,000,000 | 0 | 19,853,442 | 19,853,442 | CDBG resources are provided to units of local government for CDBG-eligible activities. A portion of resources is reserved for state administration and technical assistance funds that may not exceed 3% of the total base allocation plus \$100,000 as per 24 CFR 570.489(a). State recipients and sub-recipients may also receive administration funds. Additional funds will be provided by AEDC as match to the CDBG allocation. This amount will fulfill the dollar for dollar matching requirement of the CDBG Program. |

| Program | Source of Funds | Uses of Funds | Expected Amount Available Year 1 | | | | Expected Amount Available Remainder of ConPlan \$ | Narrative Description |
|---------|------------------|---|----------------------------------|--------------------|--------------------------|------------|---|---|
| | | | Annual Allocation: \$ | Program Income: \$ | Prior Year Resources: \$ | Total: \$ | | |
| HOME | public - federal | Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA | 8,975,719 | 7,447,466 | 0 | 16,423,185 | 16,423,185 | Expected amount for year 5 of the 5-Year ConPlan annual allocation. |

| Program | Source of Funds | Uses of Funds | Expected Amount Available Year 1 | | | | Expected Amount Available Remainder of ConPlan \$ | Narrative Description |
|---------|------------------|---|----------------------------------|--------------------|--------------------------|-----------|---|---|
| | | | Annual Allocation: \$ | Program Income: \$ | Prior Year Resources: \$ | Total: \$ | | |
| HOPWA | public - federal | Permanent housing in facilities Permanent housing placement Short term or transitional housing facilities STRMU Supportive services TBRA | 815,150 | 0 | 0 | 815,150 | 815,150 | Expected amount for year 5 (GY 19) of the 5_Year ConPlan annual allocation. |

| Program | Source of Funds | Uses of Funds | Expected Amount Available Year 1 | | | | Expected Amount Available Remainder of ConPlan \$ | Narrative Description |
|---------|------------------|--|----------------------------------|--------------------|--------------------------|-----------|---|---|
| | | | Annual Allocation: \$ | Program Income: \$ | Prior Year Resources: \$ | Total: \$ | | |
| ESG | public - federal | Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing | 2,162,085 | 0 | 300,000 | 2,462,085 | 2,462,085 | Expected amount for year 5 of the 5-Year ConPlan annual allocation. |

| Program | Source of Funds | Uses of Funds | Expected Amount Available Year 1 | | | | Expected Amount Available Remainder of ConPlan \$ | Narrative Description |
|---------|------------------|--|----------------------------------|--------------------|--------------------------|------------|---|---|
| | | | Annual Allocation: \$ | Program Income: \$ | Prior Year Resources: \$ | Total: \$ | | |
| HTF | public - federal | Acquisition Admin and Planning Homebuyer assistance Multifamily rental new construction Multifamily rental rehab New construction for ownership | 3,000,000 | 0 | 3,000,000 | 6,000,000 | 6,000,000 | ADFA will apply for additional allotment in April 2019. Expected amount for year 5 of the 5-Year ConPlan annual allocation. |
| Other | public - federal | Other | 50,308,432 | 0 | 0 | 50,308,432 | 0 | HOPWA-CV funds to be used to prevent, prepare for, and respond to the Coronavirus. |

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

Federal funds will be leveraged through the ability of service organizations to raise program funds through outside sources, other loan sources needed for housing development activities, the present value of forgiven property taxes for properties owned by non-profit housing providers, and the State's General Fund, where appropriate.

CDBG funds will be leveraged with other local resources within the General Assistance, Economic Development and Rural Services set-asides. CDBG resources are provided to units of local government for CDBG-eligible activities. A portion of resources is reserved for state administration and technical assistance funds that may not exceed 3% of the total base allocation plus \$100,000 as per 24 CFR 570.489(a). State recipients and sub-recipients may also receive administration funds for project delivery costs. Additional funds will be provided by AEDC as match to the CDBG allocation. This amount will fulfill the dollar for dollar matching requirement of the CDBG Program.

ESG provides funding to: Engage homeless individual and families living on the street; improve number and quality of emergency shelters for homeless individuals and families; help operate these shelters; provide essential services to shelter residents; rapidly rehouse homeless individuals and families; and prevent families and individuals from becoming homeless.

ESG funds may be used for five components, street outreach, emergency shelter, homeless prevention, rapid re-housing assistance, and HMIS as well as administrative activities (up to 7.5% of a recipient's allocation can be used for administrative activities).

DHS is required to provide a 100% match for the ESG grant. The requirement is passed on to sub-grant recipients and monitored through the submission of a Match Certification Form with each monthly sub-grant invoice. The first \$100,000 of the State's fiscal year grant is not required to be matched, and the state may pass this exception through to sub-grant recipients who are least capable of providing matching contributions.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

None currently identified. The state will continue to evaluate opportunities to use public lands for future development.

Discussion

The state has programmed more than \$32 million from the CDBG, HOME, HOPWA, ESG, and NHTF programs for the 2019 program year. These funds will be used to operate a range of private and public services as described later in the Annual Action Plan.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives – 91.320(c)(3)&(e)

Goals Summary Information

| Sort Order | Goal Name | Start Year | End Year | Category | Geographic Area | Needs Addressed | Funding | Goal Outcome Indicator |
|------------|-----------|------------|----------|----------------|-----------------|--|--|--|
| 1 | Admin | 2015 | 2019 | Administration | Statewide | Program Administration | CDBG: \$635,603 HOPWA: \$81,515 HOME: \$897,572 ESG: \$162,156 HTF: \$300,000 | Other: 1 Other |
| 3 | Prev | 2015 | 2019 | Homeless | Statewide | Homeless Prevention and Emergency Assistance | ESG: \$497,280 | Homeless Person Overnight Shelter: 10164 Persons Assisted Homelessness Prevention: 626 Persons Assisted |
| 4 | Shel | 2015 | 2019 | Homeless | Statewide | Homeless Facilities Homeless Prevention and Emergency Assistance | ESG: \$972,938 | Homeless Person Overnight Shelter: 5000 Persons Assisted |

| Sort Order | Goal Name | Start Year | End Year | Category | Geographic Area | Needs Addressed | Funding | Goal Outcome Indicator |
|------------|---------------|------------|----------|---|-----------------|--|----------------------|---|
| 5 | Perm Hsg | 2015 | 2019 | Homeless | Statewide | Rental Housing Subsidies Homeless Prevention and Emergency Assistance | HTF: \$2,700,000 | Rental units constructed: 27 Household Housing Unit |
| 6 | Purc Asst | 2015 | 2019 | Affordable Housing | Statewide | Housing Assistance - Homeownership | HOME: \$897,572 | Direct Financial Assistance to Homebuyers: 123 Households Assisted |
| 7 | CHDO | 2015 | 2019 | Affordable Housing | Statewide | Housing Development and Reconstruction | HOME: \$1,346,358 | Homeowner Housing Added: 13 Household Housing Unit |
| 8 | TBRA | 2015 | 2019 | Affordable Housing | Statewide | Rental Housing Subsidies | HOME: \$28,300 | Tenant-based rental assistance / Rapid Rehousing: 139 Households Assisted |
| 9 | Rent Hsg | 2015 | 2019 | Affordable Housing | Statewide | Housing Development and Reconstruction | HOME: \$1,795,144 | Rental units constructed: 18 Household Housing Unit |
| 10 | Rehab/ Recons | 2015 | 2019 | Affordable Housing | Statewide | Housing Repair Housing Development and Reconstruction | HOME: \$2,154,173 | Homeowner Housing Rehabilitated: 21 Household Housing Unit |
| 11 | Rent Rehab | 2015 | 2019 | Affordable Housing | Statewide | Housing Repair | HOME: \$1,256,600 | Rental units rehabilitated: 12 Household Housing Unit |
| 14 | Fire Prot | 2015 | 2019 | Non-Housing Community Development | Statewide | Public and Community Facilities Fire Equipment | CDBG: \$1,000,000 | Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 3000 Persons Assisted |

| Sort Order | Goal Name | Start Year | End Year | Category | Geographic Area | Needs Addressed | Funding | Goal Outcome Indicator |
|------------|----------------|------------|----------|-----------------------------------|-----------------|---|-------------------|--|
| 15 | Fac/ Infra | 2015 | 2019 | Non-Housing Community Development | Statewide | Public and Community Facilities Infrastructure | CDBG: \$6,398,446 | Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 7000 Persons Assisted |
| 17 | Eco Dev | 2015 | 2019 | Non-Housing Community Development | Statewide | Industry and Job Creating Business Retention | CDBG: \$9,819,393 | Jobs created/retained: 500 Jobs |
| 18 | HOPWA Hsg Asst | 2015 | 2019 | Non-Homeless Special Needs | Statewide | Rental Housing Subsidies Homeless Prevention and Emergency Assistance | HOPWA: \$611,363 | Tenant-based rental assistance / Rapid Rehousing: 135 Households Assisted |
| 19 | HOPWA Sup Svs | 2015 | 2019 | Non-Homeless Special Needs | Statewide | Homeless Case Management Mental Health and Supportive Services | HOPWA: \$122,272 | Public service activities other than Low/Moderate Income Housing Benefit: 200 Persons Assisted |

Table 6 – Goals Summary

Goal Descriptions

| | | |
|---|-------------------------|--|
| 1 | Goal Name | Admin |
| | Goal Description | Program Administration: Develop, administer, revise, implement and evaluate the day-to-day operation of entitlement program. Activities include program design; grant administration, Subrecipient compliance monitoring, program outreach, public relations and training. |

| | | |
|---|-------------------------|--|
| 3 | Goal Name | Prev |
| | Goal Description | Increase the availability and affordability of and supportive services offered to homeless families and individuals by providing Emergency Solutions Grant (ESG) funds to projects that focus on the prevention of homelessness, such as averting eviction, foreclosure, and /or utility disconnection, as well as paying deposits and first month's rent. |
| 4 | Goal Name | Shel |
| | Goal Description | Improve the availability and affordability of shelters and supportive services offered to homeless families and individuals by providing ESG funds to assist shelters and homeless providers to finance their operating cost. |
| 5 | Goal Name | Perm Hsg |
| | Goal Description | National Housing Trust Funds (HTF) are used to provide and improve housing conditions for extremely low-income (ELI) households in Arkansas. Benefit: Rental units for ELI households: Approximately 27 units for 2019. |
| 6 | Goal Name | Purc Asst |
| | Goal Description | Create decent housing with improved affordability by providing funding for homebuyer assistance programs such as down payment and closing cost to low and moderate-income (less than 80 percent area median income) homebuyers. (ADDI) |
| 7 | Goal Name | CHDO |
| | Goal Description | Provide funding to CHDO organization to develop new affordable housing for low- to moderate-income persons for homeownership, and providing construction financing for single-family housing, financial mechanisms and improved communication with partners and constituents throughout the state. |
| 8 | Goal Name | TBRA |
| | Goal Description | Create decent housing through improved affordability and accessibility by providing rental subsidies and security and utility deposit assistance for very low- to moderate-income (less than 60 percent of area median income) households and persons with disabilities. |

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| 9 | Goal Name | Rent Hsg |
| | Goal Description | Create decent housing with improved affordability and accessibility of multi-family housing and other rental housing through the construction of affordable rental housing units. |
| 10 | Goal Name | Rehab/ Recons |
| | Goal Description | Create decent housing, improved sustainability and affordability of existing single-family owner occupied housing stock through rehabilitation or reconstruction projects for low- and moderate-income (less than 80 percent of area median income) households. |
| 11 | Goal Name | Rent Rehab |
| | Goal Description | Preserve decent housing through improved sustainability and affordability of existing multi-family housing and other rental housing stock through rehabilitation or reconstruction projects for low- and moderate-income (less than 80 percent of area median income) households. |
| 14 | Goal Name | Fire Prot |
| | Goal Description | Provide improvements to community centers and improve access to affordable fire protection and enhanced fire protection equipment. |
| 15 | Goal Name | Fac/ Infra |
| | Goal Description | Provide enhancement to public infrastructure and public facilities by improving availability, accessibility, and affordability of public facility and public infrastructure, and providing enhanced availability and access to clean, safe drinking water and safe sanitary sewer systems. |
| 17 | Goal Name | Eco Dev |
| | Goal Description | Improve economic opportunities through activities aimed at improving the conditions needed for successful business and economic development. |

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| 18 | Goal Name | HOPWA Hsg Asst |
| | Goal Description | Provide tenant-based rental assistance (TBRA); short-term rent, mortgage, and utility assistance (STRMU); and Permanent Housing Placement (PHP) Assistance. |
| 19 | Goal Name | HOPWA Sup Svs |
| | Goal Description | Enhance a suitable living environment through improved access to affordable health and local housing services through improvements of referrals to local available health units and local public housing and supportive social services. |

AP-25 Allocation Priorities – 91.320(d)

Introduction:

Funding allocation priorities are detailed below by funding source.

ESG Note: The chart below rounds percentages up or down.

Actual percentage amount for ESG Administration is 7.5.

Actual amount for ESG Prev totals 47.5% broken down as follows: .50% street outreach, 24% rapid rehousing, and 23% homeless prevention.

ESG-CV

Actual amount for ESG Prev totals 47% broken down as follows: 5% Street Outreach, 23% Rapid Re-Housing, and 17% Homeless Prevention.

ESG-CV (2)

Actual amount for ESG Prev totals 51% broken down as follows: 5% Street Outreach, 27% Rapid Re-Housing, and 19% Homeless Prevention.

Funding Allocation Priorities

| | Admin (%) | Prev (%) | Shel (%) | Perm Hsg (%) | Purc Asst (%) | CHDO (%) | TBRA (%) | Rent Hsg (%) | Rehab/ Recons (%) | Rent Rehab (%) | Fire Prot (%) | Fac/ Infra (%) | Eco Dev (%) | HOPWA Hsg Asst (%) | HOPWA Sup Svs (%) | Total (%) |
|-------|-----------|----------|----------|--------------|---------------|----------|----------|--------------|-------------------|----------------|---------------|----------------|-------------|--------------------|-------------------|-----------|
| CDBG | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 36 | 55 | 0 | 0 | 100 |
| HOME | 10 | 0 | 0 | 0 | 10 | 15 | 7 | 20 | 24 | 14 | 0 | 0 | 0 | 0 | 0 | 100 |
| HOPWA | 10 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 75 | 15 | 100 |
| ESG | 8 | 47 | 45 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 100 |
| HTF | 10 | 0 | 0 | 0 | 0 | 0 | 0 | 90 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 100 |

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| Other ESG-CV | 10 | 45 | 45 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 100 |
| Other ESG-CV (2) | 10 | 51 | 39 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 100 |
| Other HOPWA- CV | 10 | 0 | 0 | 0 | 0 | 0 | 35 | 0 | 0 | 0 | 0 | 0 | 0 | 25 | 30 | 100 |

Table 7 – Funding Allocation Priorities

Reason for Allocation Priorities

The allocation priorities reflect input from participants in the forums and survey, staff consideration of past allocations, and needs as determined through the Needs Assessment.

How will the proposed distribution of funds will address the priority needs and specific objectives described in the Consolidated Plan?

The distribution of funds is directly correlated to the specific objectives described in the Consolidated Plan. Each goal of the Strategic Plan is addressed in the funding distribution, as are many, though not all, of the priority needs.

AP-30 Methods of Distribution – 91.320(d)&(k)

Introduction:

CDBG funds will be used for several activities authorized in Section 105(a) of the amended 1974 Housing and Community Development Act that meet national CDBG objectives. Projects are funded in order to meet at least one of three CDBG National Objectives that include: (1) benefit low- and moderate-income persons; (2) prevent or eliminate slums or blight; and (3) meet urgent community development needs. The state annually certifies that not less than 70% of the aggregate funds received during a 3-year period (as identified to HUD) shall be used for activities benefitting low- and moderate-income (LMI) persons.

CDBG funds are not specifically targeted to areas of minority concentration, but are distributed throughout the state's non-entitlement areas targeted for activities that serve LMI persons. Since projects funded with CDBG resources typically provide a community-wide benefit, it can be assumed that minority groups benefit equally in the same proportion as they occur in the general population.

The State Programs set forth within this Method of Distribution of the current CDBG allocation attempt to balance the need for providing jobs and suitable places to live. Funds are reserved in each category based on a percent of the total funds available for distribution to local governments. The state is allowed \$100,000 plus 3% of the state grant for administration and technical assistance in accordance with HUD regulations. The state is also allowed 3% of the program income received by units of general local government (whether retained by the unit of general local government or paid to the state) and funds reallocated by HUD to the state.

To achieve the most effective and efficient use of CDBG and ESG funds, AEDC and DHS may, at its discretion, use categorical adjustments. A categorical adjustment allows AEDC and DHS to reserve up to 25% of the total CDBG and ESG allocations for use as needed among categories. An adjustment of more than 25% of the total allocation, or the creation or elimination of a category, will be considered a substantial amendment to the Annual Action Plan and would require further steps as identified within the Citizen Participation Plan section of the Consolidated Plan. Adjustments may be made for remaining CDBG balances within a Category within the last 3 months following a 12-month Program Year in order to meet the HUD Timely Distribution requirement which requires the CDBG grant amount for a given Federal Fiscal Year to be distributed within a HUD required 15-month time frame.

For purposes of the Consolidated Plan and the Annual Action Plan, the overall CDBG Program includes activities within the Community

Development Priority Need, and the Economic Development Priority Need.

Funds will be distributed to eligible applicants for specific Community Development or Economic Development projects. All funding considerations will be made according to reasonableness of cost and assurance that the grant amount represents the smallest amount of financing needed to cover the gap between reasonable project costs and local funding capacity.

The state certifies that benefit to low- and moderate-income persons for the three-year periods beginning in 2015 (2015-2017, 2018-2020) will be equal to or greater than 70% and that no project claiming benefit to low- and moderate-income persons will be approved if the benefit calculated is less than 51%.

A description and details of each State Program will be identified below.

Distribution Methods

Table 8 - Distribution Methods by State Program

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| 1 | State Program Name: | CDBG-CV (COVID-19) Rounds 1, 2 & 3 |
| | Funding Sources: | ESG-CV |

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| <p>Describe the state program addressed by the Method of Distribution.</p> | <p>A substantial amendment to the State of Arkansas 2015-2019 Consolidated Plan and Annual Action Plan in accordance with the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), Public Law 116-136.</p> <p>The Arkansas Economic Development Commission (AEDC) will administer multiple allocations of approximately \$26.4 million of an overall \$5 billion in federal Community Development Block Grant Coronavirus (CDBG-CV) funding to assist in community-level recovery, prevention, and preparation efforts relating to the coronavirus.</p> <p>This funding was allocated to the State by the Department of Housing and Urban Development (HUD) as part of the CARES Act. The CARES Act created supplemental CDBG funding in 2020. The CARES Act identified the CDBG coronavirus response (CDBG-CV) funds to be made available through the CDBG program.</p> <p>The state is to distribute CARES Act CDBG-CV funds to city and county governments for activities that prevent, prepare for, and respond to the coronavirus.</p> <p>Units of General Local Government (UGLG, i.e., cities and counties) in Arkansas’s non-entitlement areas are the sole eligible recipients of CDBG-CV funding for Round 1. However, UGLGs may enter into a Subaward Agreement with a nonprofit organization to direct the funds to the program provider (e.g., a food bank, clinic, etc.).</p> <p>Methodology for “Rounds 2 and 3” CDBG-CV2/Potential Targets:</p> <ul style="list-style-type: none"> • Assistance for Low-Income Individuals, Elderly Persons, and Needy Children |
| <p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p> | <p>See Appendix F under CDBG-CV.</p> |

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| <p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p> | <p>See Appendix F under CDBG-CV.</p> |
| <p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p> | <p>N/A</p> |
| <p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p> | <p>N/A</p> |

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|--------------------------------------|--|--|-------------------------------------|-----|--------------|-----------------|-----|--------------|-------------------|-----|-------------|--------------------------------------|----|--------------|----------------|----|--------------|---------------------------------|-------------|---------------------|
| | Describe how resources will be allocated among funding categories. | <p>Total CDBG-CV Allocation:</p> <table> <tr> <td>Public Services & Public Facilities</td> <td>46%</td> <td>\$12,218,424</td> </tr> <tr> <td>Food Assistance</td> <td>18%</td> <td>\$ 4,680,000</td> </tr> <tr> <td>Rental Assistance</td> <td>22%</td> <td>\$ 5,760,00</td> </tr> <tr> <td>Economic Development-Microenterprise</td> <td>9%</td> <td>\$ 2,410,133</td> </tr> <tr> <td>Administration</td> <td>5%</td> <td>\$ 1,319,397</td> </tr> <tr> <td>Total CDBG-CV Allocation</td> <td>100%</td> <td>\$26,387,954</td> </tr> </table> | Public Services & Public Facilities | 46% | \$12,218,424 | Food Assistance | 18% | \$ 4,680,000 | Rental Assistance | 22% | \$ 5,760,00 | Economic Development-Microenterprise | 9% | \$ 2,410,133 | Administration | 5% | \$ 1,319,397 | Total CDBG-CV Allocation | 100% | \$26,387,954 |
| Public Services & Public Facilities | 46% | \$12,218,424 | | | | | | | | | | | | | | | | | | |
| Food Assistance | 18% | \$ 4,680,000 | | | | | | | | | | | | | | | | | | |
| Rental Assistance | 22% | \$ 5,760,00 | | | | | | | | | | | | | | | | | | |
| Economic Development-Microenterprise | 9% | \$ 2,410,133 | | | | | | | | | | | | | | | | | | |
| Administration | 5% | \$ 1,319,397 | | | | | | | | | | | | | | | | | | |
| Total CDBG-CV Allocation | 100% | \$26,387,954 | | | | | | | | | | | | | | | | | | |
| | Describe threshold factors and grant size limits. | See Appendix F under CDBG-CV. | | | | | | | | | | | | | | | | | | |
| | What are the outcome measures expected as a result of the method of distribution? | See Appendix F under CDBG-CV. | | | | | | | | | | | | | | | | | | |
| 2 | State Program Name: | Community Development - General Assistance | | | | | | | | | | | | | | | | | | |
| | Funding Sources: | CDBG | | | | | | | | | | | | | | | | | | |

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| <p>Describe the state program addressed by the Method of Distribution.</p> | <p>Within the Public and Community Facilities, and Infrastructure Priority Need, CDBG funds will be utilized for public infrastructure and public facility projects. Within this Program CDBG funds will be utilized for and will generally be made available under the LMI Area Benefit or LMI Limited Clientele or Presumed Benefit National Objective measures. Funds are awarded for: residential water and wastewater; senior centers; child care centers; public health facilities; youth centers; accredited public libraries; removal of architectural barriers from city halls or county courthouses for accessibility; street, curb, gutter, sidewalk, or storm sewer; flood control and drainage; and, other public facility or infrastructure project determined to be a high priority, and not eligible to be funded under another CDBG program. For more information see Application Guidelines.</p> |
| <p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p> | <p>See Appendix B.</p> |
| <p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p> | <p>As noted above within the description of the criteria used to select applications, this information was a summary of the criteria. Complete information regarding the application criteria will be made available within the CDBG Application Guidelines and the General Assistance Application packet, when made available on the AEDC website. The Guidelines will provide specific details on the Application questions, deadlines for completing applications, threshold requirements, and any other necessary items. This information will be available on the AEDC website at: http://www.arkansasedc.com/grants.</p> |
| <p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p> | <p>N/A</p> |

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| | Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only) | N/A |
| | Describe how resources will be allocated among funding categories. | For the 2019 Program Year (PY), AEDC is considering funding public infrastructure and public facility projects with an anticipated \$6,398,446 in CDBG resources. The award amount is based on beneficiary data of the project area as well as the feasibility and need for the project. |
| | Describe threshold factors and grant size limits. | Grant requests should range from a minimum of \$75,000 to a maximum of \$1,000,000 for specific types of projects, including design fees, although maximum awards may be based on project type, as designed upon release of program application package. Administrative fees will be added to the announced award amount. Additional project awards may be made throughout the year from the existing pool of applications as funds become available, including from unexpended funds from prior funding years, if eligible under that funding year's annual action plan. Technical assistance will be available. |
| | What are the outcome measures expected as a result of the method of distribution? | For water and wastewater funding, AEDC will be able to identify those areas that meet a CDBG National Objective and target those projects that are impacting the safety of our citizens. Through a collaborative effort with other funding agencies, AEDC is better able to leverage federal and state grant resources in order to obtain maximum impact. An estimated 7,000 people will benefit from these public infrastructure and public facility projects. |
| 3 | State Program Name: | Community Development - Rural Services |
| | Funding Sources: | CDBG |

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| <p>Describe the state program addressed by the Method of Distribution.</p> | <p>Within the Public and Community Facilities and Fire Equipment Priority Need, CDBG funds will be utilized for community center, fire station, multi-purpose center, and fire truck and fire-fighting equipment projects. CDBG funds will be utilized for and made available under the LMI-Area Benefit National Objective measures. For more information see Application Guidelines.</p> |
| <p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p> | <p>The Arkansas Rural Development Commission will review Application and make recommendations for funding of projects to benefit rural communities with a population of less than 3,000 persons. Applicants should contact the Division of Rural Services to obtain an application for the Rural Services Block Grant Program (RSBGP). In order to receive funding from AEDC, the applicant must receive approval from the Arkansas Rural Development Commission. Up to \$1,000,000 of the annual CDBG allocation will be used for this category. Applications will be evaluated on:</p> <ul style="list-style-type: none"> • The extent to which the project will benefit low- and moderate-income persons and meet CDBG eligibility requirements; • The extent to which other funds will be used to leverage the grant funds proposed for the project; • Appropriateness of the project to Division of Rural Services-Rural Community Fire Protection Grant Program and the Rural Community Development Block Grant Program. Projects may include fire protection and multi-use community centers; • The applicant’s readiness to proceed with the project; • Other criteria as defined in the application as prepared by the AEDC Division of Rural Services. <p>Note: legislation intends for priority to be placed on the use of CDBG funds for multi-use facilities that will offer combined facilities for programs commonly offered in separate facilities such as senior centers, public health centers, childcare centers and community centers.</p> |

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| <p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p> | <p>As noted above within the description of the criteria used to select applications, this information was a summary of the criteria. Complete information regarding the application criteria will be made available within the RSBGP. The Guidelines will provide specific details on the Application questions, deadlines for completing applications, threshold requirements, and any other necessary items. This information will be available on the AEDC Division of Rural Services website: http://ruralservices.arkansas.gov/grants/rural-services-block-grant-program/</p> |
| <p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p> | <p>N/A</p> |
| <p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p> | <p>N/A</p> |

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| | Describe how resources will be allocated among funding categories. | For the 2019 PY, AEDC will fund Rural Services projects in partnership with the AEDC Division of Rural Services and Rural Development Commission, with an anticipated \$1,000,000 in CDBG resources. The RSBGP award amount is based on beneficiary data of the project area as well as the feasibility and need for the project. |
| | Describe threshold factors and grant size limits. | Each project funded must meet the CDBG National Objective of benefiting low- to moderate-income (LMI) persons. Up to \$75,000 in CDBG funds may be awarded per project for project activity and direct project delivery costs combined; no more than 15% of the total award may be used for grant project administration. The match for this grant is 10% and may be comprised of in-kind labor, in-kind materials or cash. |
| | What are the outcome measures expected as a result of the method of distribution? | Approximately 3,000 people will benefit from these activities. |
| 4 | State Program Name: | Economic Development (ED) |
| | Funding Sources: | CDBG |

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| <p>Describe the state program addressed by the Method of Distribution.</p> | <p>Within the Economic Development Priority Need, CDBG funds will be utilized for providing communities with resources to assist businesses which expand the State’s economic base, and which create quality jobs principally benefiting LMI employees and made available under the LMI CDBG National Objective criteria. Eligible activities will generally include: grants or loans to for-profit businesses (through the applicant community) for a variety of business purposes; or public facilities (infrastructure) projects undertaken by applicant communities for economic development purposes, where a benefiting business agrees to locate or expand premised on the infrastructure improvements and agrees to create jobs for LMI persons.</p> <p>AEDC shares the cost of project infrastructure needs by committing grants from state and federal infrastructure funds. The amount of assistance committed is dependent upon the strength of the company, number of jobs, average wage, project investment and costs associated with facility/site improvements.</p> <p>Funds may be used by eligible applicants to:</p> <ol style="list-style-type: none"> 1. Provide infrastructure necessary to serve the location of a new or expansion of an existing industry which will create new jobs or retain existing jobs; or 2. Provide loans to industry for economic development purposes when it can determine that the provision of such financing is necessary to create new jobs and/or retain existing jobs. Eligible activities for loans include, but are not limited to the following: acquisition, construction and equipment; or 3. Provide funds for the construction of facilities; or 4. Provide funds for a Commission-approved training program; or 5. Undertake any combination of 1 through 4 above, provided that all other requirements can be met. |
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| <p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p> | <p>Projects are generally evaluated through a cost benefit analysis process; and for basic eligibility, financial feasibility, appropriateness and the extent to which the project will result in the creation and/or retention of permanent jobs, primarily for low- and moderate-income persons. The Housing and Community Development Act (HCDA) and HUD's CDBG regulations outline basic project "underwriting" guidelines/standards so that State programs will achieve the federal objectives of the CDBG Program. The underwriting standards used in the Arkansas ED program are designed to address these federal guidelines.</p> <p>Application for Economic Development funds are reviewed according to the following process:</p> <p>Loans. Applications complete a four-step process:</p> <ol style="list-style-type: none"> 1. Review for eligibility and compliance with applicable requirements; 2. Evaluation of the business plan for appropriateness, feasibility, and credit worthiness; 3. Review and recommendation by the Arkansas Economic Development Commission; and 4. Decision made by the Commission's Executive Director. <p>Infrastructure. Applications complete a three-step process:</p> <ol style="list-style-type: none"> 1. Review for eligibility and compliance with applicable requirements; 2. Determination of appropriateness, feasibility, need, and recommended funding level; and 3. Decision by the Commission's Executive Director. <p>This information provided is a summary. Additional information will be available within the ED Program Guidelines.</p> |
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| <p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p> | <p>As noted above within the description of the criteria used to select applications, this information was a summary of the criteria. Complete information regarding the application criteria will be made available within the CDBG Economic Development Application Guidelines. The Guidelines will provide specific details on the Application questions, deadlines for completing applications, threshold requirements, and any other necessary items. This information will be available on the AEDC website at: www.arkansasedc.com/grants.</p> |
| <p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p> | <p>N/A</p> |
| <p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p> | <p>N/A</p> |

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| <p>Describe how resources will be allocated among funding categories.</p> | <p>For the 2019 PY, AEDC is considering funding economic development activities/projects with the anticipated \$9,819,393 of funding allocated to this Program. Other activities/projects will be funded from AEDC's CDBG Economic Development State Revolving Loan Fund (SF). There is no limitation on the number of economic development applications and/or active projects which an eligible applicant may have with the Commission except as limited by the requirements defined in the Method of Distribution. Approximately 55% of the 2019 allocation will be used for this category and 100% of any program income received by the Commission generated from economic development projects will be used to make additional projects through the State Revolving Loan Fund, with up to 3% of that being eligible to be budgeted for State Administration.</p> |
| <p>Describe threshold factors and grant size limits.</p> | <p>AEDC shares the cost of project infrastructure needs by committing grants from state and federal infrastructure funds. The amount of assistance committed is dependent upon the strength of the company, number of jobs, average wage, project investment and costs associated with facility/site improvements. It is generally expected that CDBG grants will be a minimum of \$75,000, and there is no specific maximum grant size limit, although required to be considered is a company's ability to meet the public benefit standard per job to be created as a result of the grant funding.</p> |
| <p>What are the outcome measures expected as a result of the method of distribution?</p> | <p>Through the Economic Development Program additional jobs will be created or retained throughout the state which will create additional opportunities for Arkansans, particularly those who are LMI. An estimated 500 people will benefit from these activities through the creation of jobs.</p> |
| <p>State Program Name:</p> | <p>Emergency Solutions Grant (ESG)</p> |

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| 5 | Funding Sources: | ESG |
| | Describe the state program addressed by the Method of Distribution. | State of Arkansas supports a variety of housing and public service programs to service the homeless and special needs population. The efforts of the homeless coalitions, through the Continuum of Care process, have provided guidance on the needs of these communities. The process has resulted in a better understanding of the homeless and special needs community and their housing needs, enabling the State to arrive at a set of goals and objectives. These goals and objectives are provided below, with performance goals for FY 2019 Annual Plan Budget \$2,162,085. |
| | Describe all of the criteria that will be used to select applications and the relative importance of these criteria. | <ol style="list-style-type: none"> 1. Geographic Need for the Project 2. Financial Controls 3. Project Viability 4. Project Delivery Capacity 5. Administrative Capacity 6. Project Narrative 7. Community Support 8. Monitoring and Performance History [previous recipients only] 9. Preparation for Program [new applicants only] |
| | If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only) | N/A |

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| <p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p> | <p>Emergency Solutions Grant Program funds are made available to non-profit organizations and to units of local government that develop and operate homeless shelters and/or provide supportive services to homeless persons and families. Funds are distributed statewide through a competitive Request For Proposals Application process in amounts based on applicant requests and funding availability.</p> <p>Application Process:</p> <p>All applicants must be non-profit agencies or units of local government and must be in good standing with the appropriate local Continuum of Care.</p> <ol style="list-style-type: none"> 1. The Department of Human Services will hold a meeting with the chairpersons of all six Continuum of Care (CoC) organizations in the state. The purpose of these meetings is to discuss gaps in service, agency performance for the previous year, development of performance standards for agencies, funding allocations, and data collection. Recommendations for funding for each CoC will be formulated. 2. A meeting identical to that mentioned above will be held with representatives of each entitlement city in the state. 3. Requests for Proposals will be posted on the DHS website, e-mailed to interested organizations and existing participating agencies, posted in each DHS county office, and announced in the Arkansas Democrat Gazette. 4. A workshop will be held to introduce potential applicants to the ESG Program, to educate applicants on DHS Policies and Procedures relating to ESG, to provide a detailed explanation of the application and grant award process, and to provide technical assistance to applicants. 5. Completed applications will be received by DHS by published deadline. 6. Applications will be screened by a screening committee appointed by the Assistant Director of the DHS Division of County Operations Office of Community Services (DCO-OCS) |
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| | <p>and made up of representatives of various divisions of DHS. Each committee member will screen each application.</p> <p>7. All applications meeting minimum standards for completeness and eligibility will be passed through by the Screening Committee for subsequent review and funding consideration.</p> <p>8. Each member of the DHS DCO-OCS staff will carefully review each application passed through by the Screening Committee and score each application against published scoring criteria.</p> <p>9. Scores from each reviewer will be averaged to a single numerical score for each applicant. That averaged score will be used to rank applicants and make final funding level decisions.</p> <p>10. DHS DCO-OCS will set funding targets for each ESG component based on available funding, and successful screening and review will not guarantee full or even partial funding. DHS DCO-OCS may set a cut-off score below which no applicant will be funded.</p> <p>11. A second workshop will be held for successful sub-grant recipients to review program requirements and discuss administration of the ESG Grant funds.</p> |
| <p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p> | <p>N/A</p> |

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| <p>Describe how resources will be allocated among funding categories.</p> | <p>Of the \$2,162,085 from ESG, the state will designate \$10,810 to street outreach; \$497,280 to homelessness prevention; \$518,900 to rapid re-housing; \$972,938 to Emergency shelters; and \$162,156 will fund program administration.</p> <p>Upon approval from HUD, any remaining funding for FY 2017 (2017-2018 program year) will be redistributed in FY 2018 (2018-2019 program year). Any remaining funding for FY 2018 (2018-2019 program year) will be redistributed in FY 2019 (2019-2020 program year).</p> | |
| <p>Describe threshold factors and grant size limits.</p> | <p>The amount of the grant that a sub-grantee may receive is depended on available funding for the categories in which funding is being requested. The percentage of funding per category is based on need identified throughout the state.</p> | |
| <p>What are the outcome measures expected as a result of the method of distribution?</p> | <p>Funding is expected to assist an estimated 2,000 individuals with homeless prevention services, 2,500 individuals with rapid re-housing, 5,000 individuals/families with shelter and shelter services, and 600 individuals with street outreach, totaling 10,000 individuals.</p> <p>Outcomes will be tracked on a monthly basis throughout the grant performance period. Performance indicators will be incorporated into the application scoring process during the next grant cycle.</p> | |
| <p>6</p> | <p>State Program Name:</p> | <p>ESG-CV (COVID-19) Round 1</p> |
| | <p>Funding Sources:</p> | <p>ESG-CV</p> |

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| <p>Describe the state program addressed by the Method of Distribution.</p> | <p>A substantial amendment to the State of Arkansas 2015-2019 Consolidated Plan and Annual Action Plan in accordance with the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), Public Law 116-136.</p> <p>The Arkansas Department of Human Services has been awarded \$7,808,010 in Emergency Solutions Grant-COVID funding. This funding will be used to prevent, prepare for, and respond to the coronavirus pandemic (COVID-19) among individuals and families who are homeless or receiving homeless assistance, and to support additional homeless assistance and homelessness prevention activities to mitigate the impacts of COVID-19. This includes covering and reimbursing COVID-related allowable costs incurred by an approved agency with the approved effective date as established by the Department of Housing and Urban Development.</p> |
| <p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p> | <p>A Request for Application will be made available for agencies to apply for the funding.</p> <p>The components of the ESG COVID-19 funding are Emergency Shelter, Homelessness Prevention, Rapid Re-Housing, Street Outreach, Administration and HMIS (Homeless Management Information System).</p> |
| <p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p> | <p>N/A</p> |

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| <p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p> | <p>A Request for Application will be made available for agencies to apply for the funding.</p> |
| <p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p> | <p>N/A</p> |
| <p>Describe how resources will be allocated among funding categories.</p> | <ul style="list-style-type: none"> • Administration 10% - \$780,801.00 • Rapid Re-Housing 23% - \$1,795,842.30 • Homeless Prevention 17% - \$1,327,361.70 • Shelter - 45% - \$3,513,604.50 • Street Outreach 5% - \$390,400.50 |

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| | Describe threshold factors and grant size limits. | N/A |
| | What are the outcome measures expected as a result of the method of distribution? | N/A |
| 7 | State Program Name: | ESG-CV (COVID-19) Round 2 |
| | Funding Sources: | ESG-CV |

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| <p>Describe the state program addressed by the Method of Distribution.</p> | <p>A substantial amendment to the State of Arkansas 2015-2019 Consolidated Plan and Annual Action Plan in accordance with the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), Public Law 116-136.</p> <p>The Arkansas Department of Human Services was initially awarded \$7,808,010 in Emergency Solutions Grant-COVID funding. A second allocation has been awarded in the amount of \$15,978,848. This funding will be used to prevent, prepare for, and respond to the coronavirus pandemic (COVID-19) among individuals and families who are homeless or receiving homeless assistance, and to support additional homeless assistance and homelessness prevention activities to mitigate the impacts of COVID-19. This includes covering and reimbursing COVID-related allowable costs incurred by an approved agency with the approved effective date as established by the Department of Housing and Urban Development.</p> |
| <p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p> | <p>The components of the ESG COVID-19 funding are Emergency Shelter, Homelessness Prevention, Rapid Re-Housing, Street Outreach, Administration and HMIS (Homeless Management Information System).</p> <p>The distribution of the funding for each component is based on the results of the needs assessment completed by various agencies who plan to apply for the ESG funding. This is an estimate and subject to change based on public input. The goal is to reduce the number of homeless individuals by providing assistance to prevent, prepare for, and respond to the coronavirus pandemic among individuals who are homeless or receiving homeless assistance, and to support additional homeless assistance and homelessness prevention activities to mitigate the impacts of COVID-19.</p> |

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| <p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p> | <p>N/A</p> |
| <p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p> | <p>A Request for Application will be made available for agencies to apply for the funding.</p> |
| <p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p> | <p>N/A</p> |

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| <p>Describe how resources will be allocated among funding categories.</p> | <ul style="list-style-type: none"> • Administration 10% - \$1,597,884.80 • Rapid Re-Housing 27% - \$4,314,288.96 • Homeless Prevention 19% - \$3,035,981.12 • Shelter - 39% - \$6,231,750.72 • Street Outreach 5% - \$798,942.40 | |
| <p>Describe threshold factors and grant size limits.</p> | <p>N/A</p> | |
| <p>What are the outcome measures expected as a result of the method of distribution?</p> | <p>N/A</p> | |
| <p>8</p> | <p>State Program Name:</p> | <p>HOME Funding</p> |
| | <p>Funding Sources:</p> | <p>HOME</p> |

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| <p>Describe the state program addressed by the Method of Distribution.</p> | <p>The State of Arkansas receives HOME Funds through a formula allocation from HUD. ADFA is the designated agency that administers the funds allocated to the State. Under the HOME Program, ADFA is designated a Participating Jurisdiction. The local PJs in Arkansas include the Cities of Fort Smith, Little Rock, North Little Rock, and Pine Bluff.</p> <p>ADFA has broad discretion in administering the HOME Funds. ADFA may administer activities directly, allocate funds to units of local government, for-profit entities and non-profit entities, evaluate and fund projects, or a combination of the two approaches. ADFA allocates funds to various partners through their formal application process. Applications are accepted on a continual basis as per funding availability.</p> <p>ADFA allocates funds to units of general local government, including cities, towns, townships and counties. The funds are then administered by local governments for eligible HOME uses. ADFA may undertake jointly-funded projects with local PJs and may use HOME funds anywhere within the State including within the boundaries of local PJs. ADFA Programs funds allocated within a designated local PJ will be limited to down payment and closing costs assistance loans, CHDO Set-Aside projects, and joint Low-Income Housing Tax Credit/HOME developments. ADFA may also allocate funds to for-profit developers, housing non-profits and Community Housing Development Organizations (CHDO).</p> |
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| <p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p> | <p>ADFA has set the following parameters regarding the amount of HOME Program Funds that can be allocated per applicant. NOTE: ADFA Board of Directors reserve the right to increase or decrease the maximum program allocation during the program year to meet HOME program requirements. Allocations are subject to availability of funds.</p> <ul style="list-style-type: none"> • Applicants must request a minimum of \$100,000 of HOME Program funds to be considered a HOME Program allocation (with the exception of TBRA projects). • The maximum amount that can be requested and allocated by ADFA is \$450,000 in HOME Program funds, subject to availability of funds. (Note: The maximum allocation for a CHDO project is \$900,000, subject to availability of funds). • The maximum amount that can be requested and allocated for TBRA projects by ADFA is \$225,000, subject to availability of funds. • TBRA applicants may apply for funding once a year and when 75% of the current allocation has been expended. <p>Applicants may be awarded maximum of 3 HOME projects, per housing activity (Rental, Homeowner, TBRA), per HOME Fiscal year (July 1 to June 30) based upon capacity as determined by ADFA.</p> |
| <p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p> | <p>N/A</p> |

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| <p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p> | <p>N/A</p> |
| <p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p> | <p>N/A</p> |
| <p>Describe how resources will be allocated among funding categories.</p> | <p>Resources were allocated among funding categories according to need reflected in the Needs Assessment and programmatic experience from years of operating housing programs with HOME funding. Funding among the categories include \$897,572 for down payment assistance, \$1,346,358 for new construction activities by CHDOs, \$628,300 for Tenant-Based Rental Assistance, \$1,795,144 for multifamily rental housing development, \$2,154,173 for owner-occupied housing rehabilitation, and \$1,256,600 for rental rehabilitation.</p> |

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| <p>Describe threshold factors and grant size limits.</p> | <p>ADFA has set the following parameters regarding the amount of HOME Program Funds that can be allocated per applicant. NOTE: ADFA Board of Directors reserve the right to increase or decrease the maximum program allocation during the program year to meet HOME program requirements. Allocations are subject to availability of funds.</p> <ul style="list-style-type: none"> • Applicants must request a minimum of \$100,000 of HOME Program funds to be considered a HOME Program allocation (with the exception of TBRA projects). • The maximum amount that can be requested and allocated by ADFA is \$450,000 in HOME Program funds, subject to availability of funds. (Note: The maximum allocation for a CHDO project is \$900,000, subject to availability of funds). • The maximum amount that can be requested and allocated for TBRA projects by ADFA is \$225,000, subject to availability of funds. • TBRA applicants may apply for funding once a year and when 75% of the current allocation has been expended. <p>Applicants may be awarded maximum of 3 HOME projects, per housing activity (Rental, Homeowner, TBRA), per HOME Fiscal year (July 1 to June 30) based upon capacity as determined by ADFA.</p> |
| <p>What are the outcome measures expected as a result of the method of distribution?</p> | <p>The HOME Program funding is expected to provide 123 households with down_payment assistance, 13 new homes through CHDO development projects, assist 139 households with Tenant-Based Rental Assistance, develop 18 rental units, rehab 21 owner-occupied homes, and rehab 12 rental units.</p> |
| <p>State Program Name:</p> | <p>HOPWA-CV</p> |

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| 9 | Funding Sources: | ESG-CV |
| | Describe the state program addressed by the Method of Distribution. | <p>The Arkansas Department of Health (ADH) as administrator for the state's HOPWA Program would like to utilize the supplemental allocation in the amount of \$133,620 provided under the Coronavirus Aid, Relief, and Economic Security Act (CARES ACT) (Pub. L. No. 116-136), which was signed into law on Mar. 27, 2020. Based on expenditure trends over the past 12 months, ADH projects it will expend about 30% of CARES Act HOPWA allocation over (\$40,086) over the remaining 60-day life of FY19 to expand operations across the following activities.</p> <ul style="list-style-type: none"> • Grant Administration • Direct Housing Services (TBRA, STRMU, PHP) • Supportive Services (inclusive of any necessary, eligible and allowable actions to prevent, prepare for, and respond to homelessness or the risk of becoming homeless attributable to COVID-19) |
| | Describe all of the criteria that will be used to select applications and the relative importance of these criteria. | See Appendix F |

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| <p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p> | <p>N/A</p> |
| <p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p> | <p>N/A</p> |
| <p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p> | <p>See Appendix F</p> |
| <p>Describe how resources will be allocated among funding categories.</p> | <ul style="list-style-type: none"> • Administration 10% - \$13,620 • TBRA & PHP 35% - \$46,767 • Supportive Services 20% - \$39,828 • STRMU 25% - \$33,405 |

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| | Describe threshold factors and grant size limits. | N/A |
| | What are the outcome measures expected as a result of the method of distribution? | See Appendix F |
| 10 | State Program Name: | Housing Opportunities for Persons with AIDS (HOPWA) |
| | Funding Sources: | HOPWA |
| | Describe the state program addressed by the Method of Distribution. | Arkansas Department of Health (ADH) HIV Services provides oversight for HOPWA funding granted to the State. The Northeast Arkansas Regional AIDS Network (NARAN) and PBHA (Housing Authority of the City of Pine Bluff) are under contract to use HOPWA dollars to administer HOPWA housing assistance including Tenant-Based Rental Assistance (TBRA), Short-Term Rent, Mortgage, and Utility (STRMU), Permanent Housing Placement (PHP) programs, and the support services or service coordination appropriate to ensure effective case management and attainment of HOPWA goals. |

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| <p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p> | <p>Project sponsors will be selected through a statewide Request for Applications (RFA) process that will include, but is not limited to, evaluation of the following parameters:</p> <ul style="list-style-type: none"> • Concentration of persons with HIV/AIDS in the geographic area to be served by the project sponsor; • Availability of suitable housing stock in the defined areas; • Demonstrated capacity of the applicant to provide quality services in compliance with ADH and HUD regulations; • Ability of the applicants to coordinate complimentary supportive services; and • Ability of the project sponsor to meet the urgent unmet needs of person with HIV/AIDS and their families. <p>Project sponsors are contracted for a period of 6 years, with contract subject to annual review for continuance based upon Project sponsor’s attainment of ADH and HUD goals and deliverables.</p> |
| <p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p> | <p>N/A</p> |

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| <p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p> | <p>N/A</p> |
| <p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p> | <p>Project sponsors are selected through a statewide Request for Applications (RFA) process that include, but is not limited to, evaluation of the following parameters:</p> <ul style="list-style-type: none"> • Concentration of persons with HIV/AIDS in the geographic area to be served by the project sponsor; • Availability of suitable housing stock in the defined areas; • Demonstrated capacity of the applicant to provide quality services in compliance with ADH and HUD regulations; • Ability of the applicants to coordinate complimentary supportive services; and • Ability of the project sponsor to meet the urgent unmet needs of person with HIV/AIDS and their families. <p>By the process defined above two (2) project sponsors were selected beginning GY15 and have contracts with a life span of six (6) years but subject to renewal on an annual basis, contingent upon performance and attainment of HOPWA goals and objectives.</p> |

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| <p>Describe how resources will be allocated among funding categories.</p> | <p>2019 Estimated Distributions- Housing Opportunities for Persons with AIDS are as follows:</p> <p>I. Administration: \$81,515</p> <p>a. Grantee: \$24,455</p> <p>b. Project Sponsors: \$57,060</p> <p>II. Direct Housing and Related Support Services: \$733,635</p> <p>a. Direct Housing Assistance: \$611,363</p> <p>1. TBRA + STRMU: \$519,658</p> <p>2. PHP: \$91,705</p> <p>b. Support Services: \$122,272</p> <p>TOTAL HOPWA: \$815,150</p> |
| <p>Describe threshold factors and grant size limits.</p> | <ul style="list-style-type: none"> • Availability of housing units that meet FMR (fair market rent): for TBRA and PHP. • Availability of housing that meets HQS (housing quality standards): for TBRA. • Seasonal adjustments (or fluctuations) in utility rates-primarily gas and electricity: for STRMU and TBRA. • Availability of public housing units: for TBRA. <p>These are among multiple thresholds that may impact allocation and ongoing budgetary adjustments of funds to applicable activities.</p> |

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| | <p>What are the outcome measures expected as a result of the method of distribution?</p> | <p>Supportive services and Case Coordination:</p> <ul style="list-style-type: none"> • Improve access to health care and other supportive services for 200 clients and their families. • Clients will remain in stable housing, and will receive coordinated complimentary supportive services. <p>TBRA, PHP and STRMU:</p> <ul style="list-style-type: none"> • Program will assist 135 clients establish and or better maintain permanent safe, decent, stable, and affordable housing. |
| 11 | <p>State Program Name:</p> | <p>Housing Trust Fund (HTF) from HUD</p> |
| | <p>Funding Sources:</p> | <p>HTF</p> |

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| <p>Describe the state program addressed by the Method of Distribution.</p> | <p>This National Housing Trust Fund (HTF or NHTF) Plan seeks to ensure that, where economically feasible, applicants will have an opportunity to compete for funding to address their unmet rental housing needs. ADFA has established certain basic housing requirements to be used in the allocation of NHTFs, which are briefly described below.</p> <ol style="list-style-type: none"> 1. <i>Geographic Diversity</i> – ADFA anticipates allocating available NHTF to expand the Extremely Low-income (ELI) overall rental housing supply located throughout Arkansas. 2. <i>Applicant Capacity</i> – Great consideration will be given to ability of the applicant to undertake and complete the construction of the proposed type of housing in a timely manner. The applicant must have the financial capacity to complete the proposed development and must provide a list of experienced development team members such as a general contractor, architect, property manager and legal counsel. 3. <i>Rental Assistance</i> – Due to the targeted population of ELI, the ability to secure rental assistance so that rents are affordable to ELI families will be a major factor in the success of any proposed development. 4. <i>Duration of Affordability Period</i> – All NHTF rental units must have affordability requirements for a minimum of thirty (30) years. The Development Team must demonstrate strength to enforce the affordability period. |
| <p>Describe all of the criteria that will be used to select applications and the relative importance of these criteria.</p> | <p>The ADFA scoring matrix outlines specific points for each goal and is included in the Appendix D.</p> |

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| <p>If only summary criteria were described, how can potential applicants access application manuals or other state publications describing the application criteria? (CDBG only)</p> | <p>N/A</p> |
| <p>Describe the process for awarding funds to state recipients and how the state will make its allocation available to units of general local government, and non-profit organizations, including community and faith-based organizations. (ESG only)</p> | <p>N/A</p> |
| <p>Identify the method of selecting project sponsors (including providing full access to grassroots faith-based and other community-based organizations). (HOPWA only)</p> | <p>N/A</p> |

| <p>Describe how resources will be allocated among funding categories.</p> | <p>Maximum Cost Per- Unit and Minimum Area Requirements</p> <table border="1"> <thead> <tr> <th></th> <th></th> <th></th> <th>Min. Bedroom</th> <th>Max. Cost</th> </tr> <tr> <th>Property Type</th> <th>#Bedrooms</th> <th>#Baths</th> <th>Net Area</th> <th>Per - Unit</th> </tr> </thead> <tbody> <tr> <td>Rental Unit</td> <td>3-4</td> <td>2</td> <td>120 sq. ft.</td> <td>\$151,000</td> </tr> <tr> <td>Rental Unit</td> <td>1-2</td> <td>1-2</td> <td>120 sq. ft.</td> <td>\$120,000</td> </tr> <tr> <td>SFD</td> <td>3-4</td> <td>2</td> <td>120 sq. ft.</td> <td>\$162,000</td> </tr> <tr> <td>SFD</td> <td>2</td> <td>1-2</td> <td>120 sq. ft.</td> <td>\$140,000</td> </tr> </tbody> </table> <p>Per Unit Costs: calculated by dividing the total development costs by the total number of units.</p> <p>Unit areas do not include outside storage, covered porches, patios, balconies, etc.</p> | | | | Min. Bedroom | Max. Cost | Property Type | #Bedrooms | #Baths | Net Area | Per - Unit | Rental Unit | 3-4 | 2 | 120 sq. ft. | \$151,000 | Rental Unit | 1-2 | 1-2 | 120 sq. ft. | \$120,000 | SFD | 3-4 | 2 | 120 sq. ft. | \$162,000 | SFD | 2 | 1-2 | 120 sq. ft. | \$140,000 |
|--|---|---------------|---------------------|-------------------|---------------------|------------------|----------------------|------------------|---------------|-----------------|-------------------|-------------|-----|---|-------------|-----------|-------------|-----|-----|-------------|-----------|-----|-----|---|-------------|-----------|-----|---|-----|-------------|-----------|
| | | | Min. Bedroom | Max. Cost | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Property Type | #Bedrooms | #Baths | Net Area | Per - Unit | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Rental Unit | 3-4 | 2 | 120 sq. ft. | \$151,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Rental Unit | 1-2 | 1-2 | 120 sq. ft. | \$120,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SFD | 3-4 | 2 | 120 sq. ft. | \$162,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SFD | 2 | 1-2 | 120 sq. ft. | \$140,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <p>Describe threshold factors and grant size limits.</p> | <p>Maximum grant size is \$1,000,000 and waivers will be considered.</p> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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| | <p>What are the outcome measures expected as a result of the method of distribution?</p> | <p>Example:</p> <table data-bbox="919 259 1764 406"> <tr> <td>25 Single Family Dwelling Homes (5 x \$150,000)</td> <td>\$ 750,000</td> </tr> <tr> <td>2 Projects of 6 General Rented Units (12 x \$151,000)</td> <td><u>\$1,812,000</u></td> </tr> <tr> <td>Estimated Total Units 17 for a cost of approximately</td> <td>\$2,562,000</td> </tr> </table> <p>This example pertains to each year's \$3,000,000 allotment.</p> | 25 Single Family Dwelling Homes (5 x \$150,000) | \$ 750,000 | 2 Projects of 6 General Rented Units (12 x \$151,000) | <u>\$1,812,000</u> | Estimated Total Units 17 for a cost of approximately | \$2,562,000 |
| 25 Single Family Dwelling Homes (5 x \$150,000) | \$ 750,000 | | | | | | | |
| 2 Projects of 6 General Rented Units (12 x \$151,000) | <u>\$1,812,000</u> | | | | | | | |
| Estimated Total Units 17 for a cost of approximately | \$2,562,000 | | | | | | | |

Discussion:

The State Programs set forth within this Method of Distribution of the current CDBG, HOME, ESG, HOPWA, and HTF allocations attempt to balance the need for providing jobs and suitable places to live. Funds are reserved in each category based on a percent of the total funds available for distribution to local governments.

AP-35 Projects – (Optional)

Introduction:

ESG-CV and HOPWA-CV have been added to the AP-35 Section as projects per current IDIS guidance material made available by HUD for CARES Act Substantial Amendments. The CDBG, CDBG-CV, HOME, and HTF Programs were not originally added as Projects, nor were regular ESG or HOPWA, but the Consolidated Plan coordinator will move forward in making technical updates to be able to load those programs in the AP-35 in the future, or as future substantial amendment guidance dictates.

| # | Project Name |
|---|------------------------|
| 1 | CV-COVID-19 2020 NARAN |
| 2 | ESG20 Arkansas |

Table 9 – Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

The allocation priorities were developed through the substantial amendment and public comment review process.

AP-38 Project Summary

Project Summary Information

| | | |
|---|------------------------|---|
| 1 | Project Name | CV-COVID-19 2020 NARAN |
| | Target Area | |
| | Goals Supported | HOPWA Hsg Asst HOPWA Sup Svs |
| | Needs Addressed | HIV/AIDS Services and Housing Assistance Rental Housing Subsidies Homeless Case Management Homeless Prevention and Emergency Assistance Mental Health and Supportive Services |
| | Funding | HOPWA-CV: \$133,620 |

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| | Description | Funding will be allocated to ADH HOPWA project sponsor (NARAN) to assist with housing needs, utilities, supportive services and other allowable activities that have been provisioned under the CARES Act statewide as follows: Assisting HOPWA eligible households in accessing essential services and supplies such as food, water, medications, medical care, and information; Educating assisted households on ways to reduce the risk of getting sick or spreading infectious diseases such as COVID-19 to others; Providing transportation services for eligible households, including costs for privately - owned vehicle transportation when needed, to access medical care, supplies, and food or to commute to places of employment; Providing nutrition services for eligible households in the form of food banks, groceries, and meal deliveries; Providing lodging at hotels, motels, or other locations for quarantined HOPWA-eligible persons or their household members; Providing gap-closing rent payments to landlords or property managers where clients are unable to meet the obligation to pay their portion of rent under Tenant-Based Rental Assistance (TBRA); Providing short-term rent, mortgage, and utility (STRMU) assistance payments to prevent homelessness of a tenant or mortgagor of a dwelling for a period of up to 24 months. Provision of services as outlined above will help in assisting individuals and families prevent, avoid or reduce the spread of COVID-19. |
| | Target Date | 9/30/2021 |
| | Estimate the number and type of families that will benefit from the proposed activities | |
| | Location Description | |
| | Planned Activities | Arkansas Department of Health Plan for Distribution of Supplemental CARES Act Funds Only |
| 2 | Project Name | ESG20 Arkansas |
| | Target Area | |

| | |
|--|---|
| Goals Supported | Admin Prev Shel TBRA Fire Prot Eco Dev HOPWA Hsg Asst HOPWA Sup Svs |
| Needs Addressed | Public and Community Facilities Infrastructure Fire Equipment Homeless Facilities HIV/AIDS Services and Housing Assistance Homeless Prevention and Emergency Assistance Domestic Violence Shelters |
| Funding | ESG-CV (2): \$15,978,848 ESG-CV: \$7,808,010 HOPWA-CV: \$133,620 |
| Description | The components of the ESG COVID-19 funding are Emergency Shelter, Homelessness Prevention, Rapid Re-Housing, Street Outreach, Administration and HMIS (Homeless Management Information System). The components for Round 1 (\$7,808,010.00) and Round 2 (\$15,978,848.00) funds are the same. |
| Target Date | |
| Estimate the number and type of families that will benefit from the proposed activities | |
| Location Description | |

| | | |
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| | Planned Activities | Below is an estimation of how the funds will be allocated. |
|--|---------------------------|--|

AP-40 Section 108 Loan Guarantee – 91.320(k)(1)(ii)

Will the state help non-entitlement units of general local government to apply for Section 108 loan funds?

No

Available Grant Amounts

N/A

Acceptance process of applications

N/A

AP-45 Community Revitalization Strategies – 91.320(k)(1)(ii)

Will the state allow units of general local government to carry out community revitalization strategies?

No

State’s Process and Criteria for approving local government revitalization strategies

N/A

AP-50 Geographic Distribution – 91.320(f)

Description of the geographic areas of the state (including areas of low-income and minority concentration) where assistance will be directed

Projects created in response to the five federal funding programs, CDBG, HOME, HOPWA, ESG, and NHTF will be spread across non-entitlement communities throughout the state. The vast majority of the combined funding will be provided through individual benefit criteria, available to low-income individuals/households regardless of the demographics of the community in which they reside. A smaller portion, primarily funded through the CDBG Program, will address infrastructure and public facility concerns within CDBG eligible areas where more than 51 percent of residents earn less than 80 percent of the area median income. For CDBG, 50 percent of CDBG funding will be used in CDBG area benefit eligible areas.

Geographic Distribution

| Target Area | Percentage of Funds |
|---------------------|----------------------------|
| Statewide | 83 |
| CDBG Eligible Areas | 17 |

Table 10 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

The proposed allocation of funds is based on federal funding requirements for each formula-allocated grant. Areas of low- to moderate-income concentration and certain areas of high minority concentration are targeted. Areas of low homeownership and deteriorating housing conditions were also considered in the targeting process.

Discussion

The distribution of funds by target area is projected to be primarily statewide due to use of funds for administrative, non-profit support, and individual benefit-oriented programmatic uses of the funds. The remaining funds are estimated to be spread through smaller CDBG-eligible areas.

Affordable Housing

AP-55 Affordable Housing – 24 CFR 91.320(g)

Introduction:

The annual goals listed previously specify the following production numbers for housing assistance and for homelessness, non-homeless, and special needs populations.

| One Year Goals for the Number of Households to be Supported | |
|---|-----|
| Homeless | 4 |
| Non-Homeless | 605 |
| Special-Needs | 48 |
| Total | 657 |

Table 11 - One Year Goals for Affordable Housing by Support Requirement

| One Year Goals for the Number of Households Supported Through | |
|---|-----|
| Rental Assistance | 275 |
| The Production of New Units | 62 |
| Rehab of Existing Units | 70 |
| Acquisition of Existing Units | 250 |
| Total | 657 |

Table 12 - One Year Goals for Affordable Housing by Support Type

Discussion:

These figures relate to production targets specified in the annual goals for 2017 through HOME funded programs. Additional funding will be provided for rental assistance through the homeless prevention and rapid re-housing programs promoted through the ESG funding. Each program will provide benefits for 4,000 individuals/households during the program year, but it is unknown at this point-in-time what portion of each program will be used for rental assistance and what portion will provide rent deposit, utility payments, or mortgage payment assistance.

AP-60 Public Housing - 24 CFR 91.320(j)

Introduction:

The state does not manage any public housing units.

Actions planned during the next year to address the needs to public housing

N/A

Actions to encourage public housing residents to become more involved in management and participate in homeownership

N/A

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

N/A

Discussion:

N/A

AP-65 Homeless and Other Special Needs Activities – 91.320(h)

Introduction

The State of Arkansas is active in six Continuum of Care (CoC) organizations, addressing issues related to homelessness in the state. Funding for homeless projects and services are sourced primarily through that process.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The six CoC organizations, along with the coalition of local homeless service agencies covering the smaller communities in the state, conduct annual surveys of homeless individuals, including unsheltered persons. These surveys serve to help focus Continuum activities for the coming year, as well as provide documentation in response to HUD program requirements.

Addressing the emergency shelter and transitional housing needs of homeless persons

This Annual Action Plan includes ESG funding to address emergency shelter needs of homeless persons. Program goals include funding approximately 50 agencies per year, providing emergency shelter to 13,000 individuals/households per year. These funding and performance plans extend the full five years of this Consolidated Plan.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The state has a goal of funding at least one permanent housing project during the five-year span of this Consolidated Plan. The state will work with local agencies and organizations to identify and promote opportunities to invest funds for these activities.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving

assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

The state is providing for services through ESG funding that address homeless prevention and rapid re-housing to help low-income individuals and families avoid becoming or remaining homeless. These programs include funding for rent assistance, essential services, first and last month rents, and utility payment assistance. An estimated 8,000 individuals/households will be assisted through these efforts statewide.

Discussion

The state, ESG subgrantees, and participants of the six CoC organizations work closely together to meet the needs of homeless individuals and families through the continuum of services coordinated through the partnership. A list of CofCs and subgrantees can be found in Appendix C.

AP-70 HOPWA Goals – 91.320(k)(4)

| One year goals for the number of households to be provided housing through the use of HOPWA for: | |
|--|------------|
| Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family | 75 |
| Tenant-based rental assistance | 60 |
| Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds | 0 |
| Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds | 0 |
| Total | 135 |

AP-75 Barriers to affordable housing – 91.320(i)

Introduction:

The State of Arkansas is not aware of any public policies that produce a negative effect on affordable housing and residential investment. There are numerous social and economic factors that affect the development of affordable housing in areas of the state, such as limited incomes requiring rents or prices below what could be supported by the market and NIMBYism, but these are not the result of public policies.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

N/A

Discussion:

There are no known public policy barriers to affordable housing development in Arkansas, though market factors do influence the ability to produce a range of housing to address all income levels. Most of these factors, including the cost of construction, price of developable land, and tenant/homebuyer incomes, are beyond the influence of the State of Arkansas. Where possible, the state provides or funds services that address market factors, such as job training and business development activities.

AP-85 Other Actions – 91.320(j)

Introduction:

The state currently provides a variety of services to the residents of Arkansas, some funded by CDBG, HOME, HOPWA, ESG and NHTF allocations, with private and State funding bringing additional assets to bear on these problems. Below are some of the actions currently performed by the state or subgrantees or under consideration in the future.

Actions planned to address obstacles to meeting underserved needs

The state will continue to look for new funding sources for programs to address underserved needs. Funding is the major obstacle in providing the services needed to focus on the vast variety of issues that prevent families from breaking out of poverty and from living in the best, most affordable housing possible.

Actions planned to foster and maintain affordable housing

The State of Arkansas provides funding through HOME for new single-family and multifamily housing development. The State also provides HOME funding both single-family and rental rehabilitation programs. State staff also manages the approximately \$8.2 million annual Low-Income Housing Tax Credit allocation for Arkansas.

Actions planned to reduce lead-based paint hazards

- Continue to meet HUD lead-based paint abatement standards in housing rehabilitation programs operated by subgrantee communities.
- Seek funding as it becomes available to provide testing and abatement of lead-based paint hazards in single-family housing where young children are present.
- Expand the stock of lead safe housing units through new housing initiatives.
- Seek funding as it becomes available to provide for testing, abatement, training, and educational awareness.

Actions planned to reduce the number of poverty-level families

The state will continue its efforts in conjunction with the six Continua of Care in Arkansas to reduce the number of poverty-level families through the development of services needed to assist those families with educational opportunities, job growth, and life skills training through the various social service

agencies operating in the communities across the state.

Actions planned to develop institutional structure

- Work with non-profit organizations to address community needs and provide support to federal and non-federal funding initiatives.
- Work with private industry to address important issues that hamper housing and community development efforts.
- Identify opportunities to create private/public partnerships for project finance and development to leverage federal funds.

Actions planned to enhance coordination between public and private housing and social service agencies

The state will continue to coordinate planning activities with subgrantees and private housing and social service agencies, including participation in Continuum of Care meetings, development of the Continuum of Care, and coordinate the enumeration of point-in-time and homeless surveys by continua throughout the state. State staff will also continue its participation in other coalitions and study groups as the opportunity arises.

Discussion:

These actions are primarily the continuation of what the state is currently doing in the various areas. No major obstacles in the institutional structure have been identified that need to be addressed. The state is also satisfied with its efforts to coordinate with private housing and social service agencies.

Program Specific Requirements

AP-90 Program Specific Requirements – 91.320(k)(1,2,3)

Introduction:

The following provides details on program specific requirements for each of the five entitlement programs, Community Development Block Grant (CDBG), HOME Investment Partnership (HOME), Housing Opportunities for Persons With AIDS (HOPWA), Emergency Solutions Grants (ESG), and National Housing Trust Fund (NHTF, also referred to as HTF).

Community Development Block Grant Program (CDBG) Reference 24 CFR 91.320(k)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

| | |
|--|------------------|
| 1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed | 2,000,000 |
| 2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan. | 0 |
| 3. The amount of surplus funds from urban renewal settlements | 0 |
| 4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan | 0 |
| 5. The amount of income from float-funded activities | 0 |
| Total Program Income: | 2,000,000 |

Other CDBG Requirements

| | |
|---|--------|
| 1. The amount of urgent need activities | 0 |
| 2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan. | 70.00% |

HOME Investment Partnership Program (HOME) Reference 24 CFR 91.320(k)(2)

| | |
|---|----|
| 1. A description of other forms of investment being used beyond those identified in Section 92.205 is | |
| Annual Action Plan | 91 |
| 2019 | |

as follows:

The state will continue to support the many efforts of the non-profits and social service provider agencies in the community in their efforts to obtain funding from various sources for their programs.

Many of these organizations receive private donations to sustain their programs, and most apply for funding on the federal, state, and local level.

The availability of federal funds would enhance any of the listed programs and would mean that more services, and housing, could be provided. Because of the scarcity of any type of funding, the state has been working with various organizations to try to develop programs that would increase the leveraging capacity of federal funding mechanisms so that more money would be available for other needed endeavors. Better use of the existing resources is a main concern of everyone.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

For homes purchased through HOME Program financed homebuyers assistance programs, ADFA has certified that it will assure that the initial purchaser receives a reasonable return on his/her investment at the time of the sale with the following provisions. ADFA shall agree to apply the following rate of appreciation to the homeowner's investment calculated by:

1. Adding purchaser's down payment;
2. The portion of his/her monthly payments which were applied to the principal; and,
3. The cost of documented major improvements which increased the value of the property.
4. In no case will ADFA assure the above when depreciation of the general housing market in which the property is located and/or depreciation of the property due to deferred maintenance, abandonment of the property, and lack of necessary maintenance results in an appraisal value less than the above appreciated value. Initial purchaser must sign an agreement of shared appreciation.

The sale of the property to the new low-income family must be at a price which allows for:

- A fair return on investment, including any improvements to the seller;
- The property must be affordable to the subsequent low-income purchaser.

The subsequent purchaser's affordable housing provision must remain in existence for a period of no less than:

ADFA or its designee administering the HOME Program funds may exercise the right of purchase

from the initial homebuyer. The price paid to the initial homebuyer by the entity exercising the right of purchase is the amount specified in a firm contract between the initial homebuyer and the proposed purchaser. A promissory note is required if the homebuyer purchases the unit under the HOME Program for less than fair market value (FMV). A promissory note must be executed between ADFA and the initial homebuyer in the amount of the difference between FMV and the actual sales price. Subsequent purchasers within the affordability period of the initial sale must sign a new promissory note and mortgage.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

ADFA will recapture that portion of HOME Program investment unforgiven during the affordability period or recapture the maximum net proceeds from sale of property (whether recapture is effected through foreclosure or no foreclosure action). Net proceeds will be used to: (1) Reimburse the HOME Program (approved activity) for the outstanding balance of HOME funds not repaid or forgiven during the applicable affordability period at the time of recapture; (2) Reimburse the HOME Program (administration) for “holding costs” or other costs associated with the recapture action (legal fees, insurance, taxes, realtor fees, appraisal/BPO costs, etc.). In the event net proceeds are less than the outstanding balance of HOME funds invested in the property (for all approved activities and holding costs incurred), the loss will be absorbed by the HOME Program and all HOME Program requirements would be considered to have been satisfied. If net proceeds recaptured are greater than the outstanding balance of HOME funds invested in the property (for all approved activities and holding costs incurred), the balance of net proceeds would be distributed to the homeowner (or his/her estate). If the recapture of proceeds is effectuated through a completed foreclosure action, and the property is legally owned by ADFA, the balance of net proceeds recaptured will inure to ADFA.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

The State does not intend to use HOME funding for this purpose.

**Emergency Solutions Grant (ESG)
Reference 91.320(k)(3)**

1. Include written standards for providing ESG assistance (may include as attachment)

Currently funded agencies or those who have received prior funding from the Office of Community Services of the Department of Human Services will be evaluated on past performance in carrying out programmatic activities and contractual compliance. Factors such as agency ability to meet service delivery goals, timely expenditure of funds, timely reporting, accuracy of reporting, ability to meet audit requirements, and other programmatic and fiscal contractual requirements will be considered. These other factors will be considered in conjunction with the proposal score in developing an overall recommendation for agency funding.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

The six Continuum of Care organizations have developed Centralized Assessment Systems to screen potential clients for referral to the most appropriate assisting agency.

Congress has directed HUD to improve the collection of data on the extent of homelessness locally and nationally. Communities must collect an array of data including an unduplicated count of homeless persons, analyze their patterns of the use of the McKinney-Vento and other assistance, including information on how they enter and exit the homelessness assistance system and assess the effectiveness of that assistance. Through the Federal Register Notice, the Emergency Solutions Grants Program and Community Development Block Grants were made a part of this mandate. Therefore, all proposed projects/organizations must provide written certification of their participation in an existing HMIS.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

The Emergency Solutions Grants Program (ESG) in Arkansas is administered by the Arkansas Department of Human Service (DHS), Division of County Operations - Office of Community Services (DCO-OCS). Sub-grants are offered statewide using a Request for Proposal process in amounts based on applicant requests and funding availability. All applicants must be either a unit of local government or a local non-profit agency, including community and faith-based organizations, having the written concurrence of the appropriate unit of local government, and successful applicants must be an active member of in good standing with the appropriate local Continuum of Care

organization.

Requests for Proposals are posted on the DHS website and e-mailed to interested organizations and existing participating agencies.

A workshop is held to introduce potential applicants to the ESG Program, to educate applicants on DHS Policies and Procedures relating to ESG, to provide a detailed explanation of the application and grant award process, and to provide technical assistance to applicants. The open application period is 30 days.

Completed applications that are received by DCO-OCS by the published deadline are screened by an ad hoc ESG Screening Committee appointed by the Assistant Director of DCO-OCS and consisting of five representatives drawn from various divisions of DHS. Each committee member screens each application. All applications meeting minimum standards for completeness and eligibility are passed through the ad hoc ESG Screening Committee for subsequent review and funding consideration.

Each member of the DCO-OCS staff reviews each application passed through by the ad hoc ESG Screening Committee and score each application against published scoring criteria. Scores from each reviewer are averaged to a single numerical score for each applicant. That averaged score is used to rank applicants and make final funding level decisions.

DCO-OCS complies with the expenditure limits of §576.100(b) by setting funding targets for each ESG component based on available funding, and overall allocations are held to these targets. Successful screening and review of an application does not guarantee full or even partial funding. DCO-OCS may set a cut-off score below which no applicant will be funded.

The release of funds is contingent upon the applicant successfully completing the DHS Grant Agreement process, signing all certifications and assurances as required by law, and successful completion of the Environmental Review process.

Sub-grant recipients are monitored annually to ensure compliance. Funding can and will be stopped if they fail to meet the obligation as outlined in their Grant Agreement.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

DCO-OCS complies with this requirement by making sub-grant awards only to those recipients who have homeless, formerly homeless, or persons at risk of homelessness on their Board of Directors,

or who actively solicit input from such person prior to each Board of Directors meeting.

5. Describe performance standards for evaluating ESG.

Sub-grant recipients operate on a budget approved by DCO-OCS. This budget projects program outcomes for individuals or families assisted with ESG funds. Monthly reports submitted by the sub-grant recipients document all services provided and activities performed. This data is compared against projections to monitor performance by demographic and geographic baselines.

Sub-grant recipients are required to use a Homeless Management Information System (HMIS or equivalent local information technology system) to collect client-level data. This data is used to determine how well programs are meeting the objectives of ESG.

**Housing Trust Fund (HTF)
Reference 24 CFR 91.320(k)(5)**

1. How will the grantee distribute its HTF funds? Select all that apply:

Applications submitted by eligible recipients

2. If distributing HTF funds through grants to subgrantees, describe the method for distributing HTF funds through grants to subgrantees and how those funds will be made available to state agencies and/or units of general local government. If not distributing funds through grants to subgrantees, enter "N/A".

N/A

3. If distributing HTF funds by selecting applications submitted by eligible recipients,

a. Describe the eligibility requirements for recipients of HTF funds (as defined in 24 CFR § 93.2). If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

In accordance with the requirements of Section 93.2, eligible recipients are defined as follows: an organization, agency or other entity (including a public housing agency, or a for-profit entity or a non-

profit entity) that receives NHTF assistance from a grantee as an owner or developer to carry out an NHTF-assisted project. A recipient must make acceptable assurances to the grantee that it will comply with the requirements of the NHTF program during the entire period that begins upon selection of the recipient to receive NHTF funds, and ending upon the conclusion of all NHTF-funding activities. A recipient must demonstrate the ability and financial capacity to undertake, comply, and manage the eligible activity; and demonstrate its familiarity with the requirements of other Federal State, or local housing programs that may be used in conjunction with NHTF funds to ensure compliance with all applicable requirements and regulations of such programs. The recipients must have demonstrated experience and capacity to conduct an eligible NHTF activity as evidenced by its ability to design, construct, own, manage, operate, and market affordable multi-family rental housing. ADFA will measure these requirements per methods listed in ADFA's Annual NHTF Allocation Plan and per documents submitted with the application (<https://adfa.arkansas.gov/files/>). No NHTF application will be processed for any applicant or related entity which is not in good standing with ADFA and any other State housing finance authority, the Arkansas Economic Development Commission (AEDC), HUD, USDA Rural Development, or VA. An applicant can be denied consideration of the NHTF funds if the applicant or its related parties have a history of payment delinquencies, bankruptcy, foreclosure or activities determined to be unsound or unlawful. ADFA will distribute NHTF by directly selecting applications submitted from eligible recipients and will not use sub-grantees. "Eligible Applicant" consists of the "Development Team" who will construct new rental housing (single family or multi-family units) or rehabilitation of existing units. "Development Team" means the applicant, consultant, contractor, architect, accountant, property manager, and attorney.

b. Describe the grantee's application requirements for eligible recipients to apply for HTF funds. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

ADFA will evaluate each application to determine which projects should receive an award of NHTF (also referred to as HTF) funds. All applicants must submit an application with supporting documentation, including evidence sufficient to ADFA that the applicant's proposed project, at a minimum, meets all of the housing priority factors in order to be considered for funding. Funds will be awarded, based on the application (at a minimum) meeting the general NHTF requirements and the total score of the application. Once ADFA has determined that the application meets or exceeds all requirements, ADFA will award funds to the highest-scoring projects until all NHTF funds have been allocated. There is no minimum score.

c. Describe the selection criteria that the grantee will use to select applications submitted by eligible recipients. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

ADFA's selection of eligible recipients will be based upon those applicants achieving the highest scores, and awarded in that order, until funds are depleted. The reviewing underwriter will score each application, using the Scoring Matrix and criteria outlined in the NOFA-RFP (see attachments). All required application documents (<https://adfa.arkansas.gov/files/>) should be submitted with original signatures, legible and complete, and with all required third-party documents. ADFA will post the NHTF (also referred to as HTF) application checklist and instructions for the complete list of the application cycle. All applicants must comply in all respects with ASTM E157-13 (the "ASTM Standards") as to content and adhere to ADFA's Environmental Policy Requirements for purposes of determining whether the property is environmentally suitable for construction of residential housing. ADFA may, within its discretion, deny applications based upon the unreasonableness of costs, regardless of whether the costs per-unit complies with the maximum costs per-unit limitation. Upon request by ADFA staff, applicants may provide justification and supporting documentation.

d. Describe the grantee's required priority for funding based on geographic diversity (as defined by the grantee in the consolidated plan). If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

Applications received for any project within the State of Arkansas will be underwritten and scored. In the event of a tie, the tie breaking system described in the ADFA NHTF Allocation Plan will be used.

Construction costs do not vary much in Arkansas for different areas of the State. Some regions do require a soils report and more foundation work. At the time of ADFA underwriting of each application, the costs are thoroughly reviewed and adjusted for under-estimates or excessive costs. ADFA uses discretion to determine the reasonableness of all costs stated in the proposed development budget regardless of whether the costs per-unit comply with the maximum costs per-unit limitation set forth in the ADFA NHTF Allocation Plan. ADFA may, within its discretion, deny applications based upon the unreasonableness of costs, regardless of whether the costs per-unit complies with the maximum costs per-unit limitation. Upon request by ADFDA staff, applicants may provide justification and supporting documentation of costs. ADFA will review the items submitted and make a final determination. ADFA's determination will be set forth in writing as to whether the application will be further considered or rejected.

e. Describe the grantee's required priority for funding based on the applicant's ability to obligate HTF funds and undertake eligible activities in a timely manner. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

The ADFA NHTF Scoring Matrix gives up to 10 points for the applicant's ability to meet deadlines in a timely manner, based upon past history with ADFA, or letters of support from other stakeholders

(banks, government agencies, or local authorities), or documentation submitted in the application evidencing efficient use of time in meeting deadlines on past projects. Construction must be started within 6 months from award date, with completion of the project by 18 months from the start date of construction.

f. Describe the grantee's required priority for funding based on the extent to which the rental project has Federal, State, or local project-based rental assistance so that rents are affordable to extremely low-income families. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

The ADFA NHTF Scoring Matrix gives up to 10 points for applicants with projects that have secured Federal or State of loan project based (PBRA) and/or voucher(s) for rental assistance so that rents are affordable to ELI Veterans. A funding priority of up to 10 points will be given to applicants that have a commitment from other non-federal sources. To qualify for points for receiving additional subsidies, the funds may be loaned, with repayment required, or granted during construction and/or as a permanent source of funds.

10 points - Greater than 75% per unit

7 points - 50% to 75% per unit

5 points - Greater than 25% per unit

g. Describe the grantee's required priority for funding based on the financial feasibility of the project beyond the required 30-year period. If not distributing funds by selecting applications submitted by eligible recipients, enter "N/A".

The ADFA NHTF Scoring Matrix gives up to 10 points for projects that demonstrate the ability to remain financially feasible five (5) years beyond the required thirty (30) year period. The longer affordability term is more desirable, to promote affordable housing for a longer period of time.

h. Describe the grantee's required priority for funding based on the merits of the application in meeting the priority housing needs of the grantee (such as housing that is accessible to transit or employment centers, housing that includes green building and sustainable development

features, or housing that serves special needs populations). If not distributing funds by selecting applications submitted by eligible recipients, enter “N/A”.

The ADFA NHTF Scoring Matrix gives up to 10 points to applicants demonstrating an ability to meet the needs of beneficiaries by means of proximity to Veteran medical facilities, support services, public transportation, grocery stores, and metropolitan centers giving preferential consideration to jobs for Veterans.

Also, the ADFA NHTF Scoring Matrix gives up to 10 points for targeting rental housing needs for ELI Veterans who are not only Veterans, but are homeless (or at risk of becoming homeless), those with special needs, individuals leaving correctional institutions, and those with mental health issues. The applicants must identify this population needing assistance in their service area and should specifically address the needs (housing and services) of this population. They should use quantifiable data, specific to their service area, to the maximum extent possible. Data should include the number of individuals and families actually serviced during the last calendar year as well as specific services provided.

i. Describe the grantee’s required priority for funding based on the extent to which the application makes use of non-federal funding sources. If not distributing funds by selecting applications submitted by eligible recipients, enter “N/A”.

The ADFA NHTF Scoring Matrix gives up to 10 points for leveraging of non-federal resources and the extent to which the applicant makes use of non-federal funding sources. Non-federal resources may include funds from state, local publicly controlled funds, private funds of in-kind commitments and/or land donated by state or local government to achieve deep affordability for ELI households.

4. Does the grantee’s application require the applicant to include a description of the eligible activities to be conducted with HTF funds? If not distributing funds by selecting applications submitted by eligible recipients, select “N/A”.

Yes

5. Does the grantee’s application require that each eligible recipient certify that housing units assisted with HTF funds will comply with HTF requirements? If not distributing funds by selecting applications submitted by eligible recipients, select “N/A”.

Yes

6. Performance Goals and Benchmarks. The grantee has met the requirement to provide for performance goals and benchmarks against which the grantee will measure its progress, consistent with the grantee's goals established under 24 CFR 91.315(b)(2), by including HTF in its housing goals in the housing table on the SP-45 Goals and AP-20 Annual Goals and Objectives screens.

Yes

7. Maximum Per-unit Development Subsidy Amount for Housing Assisted with HTF Funds.

Enter or attach the grantee's maximum per-unit development subsidy limits for housing assisted with HTF funds.

The limits must be adjusted for the number of bedrooms and the geographic location of the project. The limits must also be reasonable and based on actual costs of developing non-luxury housing in the area.

If the grantee will use existing limits developed for other federal programs such as the Low Income Housing Tax Credit (LIHTC) per unit cost limits, HOME's maximum per-unit subsidy amounts, and/or Public Housing Development Cost Limits (TDCs), it must include a description of how the HTF maximum per-unit development subsidy limits were established or a description of how existing limits developed for another program and being adopted for HTF meet the HTF requirements specified above.

The Maximum Per-unit Development Subsidy Amount of Housing Assisted with NHTF funds, described in Appendix D, is based upon guidelines from ADFA's Minimum Designed Standards, also in Appendix D.

8. Rehabilitation Standards. The grantee must establish rehabilitation standards for all HTF-assisted housing rehabilitation activities that set forth the requirements that the housing must meet upon project completion. The grantee's description of its standards must be in sufficient detail to determine the required rehabilitation work including methods and materials. The standards may refer to applicable codes or they may establish requirements that exceed the minimum requirements of the codes. The grantee must attach its rehabilitation standards below.

In addition, the rehabilitation standards must address each of the following: health and safety; major systems; lead-based paint; accessibility; disaster mitigation (where relevant); state and local codes, ordinances, and zoning requirements; Uniform Physical Condition Standards; and Capital Needs Assessments (if applicable).

ADFA would comply with all rehabilitation standards.

9. Resale or Recapture Guidelines. Below, the grantee must enter (or attach) a description of the guidelines that will be used for resale or recapture of HTF funds when used to assist first-time homebuyers. If the grantee will not use HTF funds to assist first-time homebuyers, enter "N/A".

N/A

10. HTF Affordable Homeownership Limits. If the grantee intends to use HTF funds for homebuyer assistance and does not use the HTF affordable homeownership limits for the area provided by HUD, it must determine 95 percent of the median area purchase price and set forth the information in accordance with §93.305. If the grantee will not use HTF funds to assist first-time homebuyers, enter "N/A".

Any limitation or preference must not violate nondiscrimination requirements in § 93.350, and the grantee must not limit or give preferences to students. The grantee may permit rental housing owners to limit tenants or give a preference in accordance with § 93.303(d)(3) only if such limitation or preference is described in the action plan.

N/A

12. Refinancing of Existing Debt. Enter or attach the grantee's refinancing guidelines below. The guidelines describe the conditions under which the grantee will refinance existing debt. The grantee's refinancing guidelines must, at minimum, demonstrate that rehabilitation is the primary eligible activity and ensure that this requirement is met by establishing a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing. If the grantee will not refinance existing debt, enter "N/A."

N/A

Discussion:

The state strives to meet all program specific requirements as detailed in the enabling legislation and program guidelines. State staff will work with award recipients to ensure that these requirements are

met and will oversee internal operations towards the same goal. If HUD's 2019 HTF Allocation amount is different than what has been planned for, ADFA will adjust the number of units to be built accordingly.

Attachments

Citizen Participation Comments

Arkansas PY19 Citizen Comment Summary **Coronavirus Aid, Relief, and Economic Security Act (CARES Act)**

A public notice was placed in the statewide newspaper, Arkansas Democrat-Gazette, publicizing the 5-day Public Comment period concerning a Substantial Amendment to the 2019 Annual Action Plan in regard to the CARES Act Funding for ESG-CV and HOPWA-CV programs. The notice ran June 8-12, 2020. A copy of the notice is included here.

A copy of the Substantial Amendment was published in a prominent place on the Arkansas Economic Development Commission/Grants Division website, www.arkansasedc.com/grants for public review.

There were no public comments received during the review process.

Arkansas Democrat Gazette

STATEMENT OF LEGAL ADVERTISING

AR ECONOMIC DEVELOPMENT COMPLEX
1 COMMERCE WAY STE 601
LITTLE ROCK AR 72202

REMIT TO:
ARKANSAS DEMOCRAT-GAZETTE, INC.
P.O. BOX 2221
LITTLE ROCK, AR 72203

ATTN: Dottie Boyles
DATE : 06/07/20 INVOICE #: 3231962
ACCT #: L6014001 P.O. #:

BILLING QUESTIONS CALL 378-3873

STATE OF ARKANSAS, :
COUNTY OF PULASKI, : ss.

ADCOPY

I, Charles A McNeice Jr, do solemnly swear that I am the Business Manager of the Arkansas Democrat-Gazette, a daily newspaper printed and published in said County, State of Arkansas; that I was so related to this publication at and during the publication of the annexed legal advertisement the nature of:

Notice pending in the Court, in said County, and at the dates of the several publications of said advertisement stated below, and that during said periods and at said dates, said newspaper was printed and had a bona fide circulation in said County; that said newspaper had been regularly printed and published in said County, and had a bona fide circulation therein for the period of one month before the date of the first publication of said advertisement; and that said advertisement was published in the regular daily issues of said newspaper as stated below.

| | |
|----------------------|----------------------|
| DATE DAY LINAGE RATE | DATE DAY LINAGE RATE |
| 06/07 Sun 102 1.57 | |

TOTAL COST ----- 160.14
Billing Ad #: 79278159

Charles A McNeice Jr
Subscribe and sworn to me this 8
day of June 2020
Deanna Griffin
Notary Public

OFFICIAL SEAL - #12847408
DEANNA GRIFFIN
NOTARY PUBLIC-ARKANSAS
PULASKI COUNTY
MY COMMISSION EXPIRES: 03-30-26

Appendix A

Consolidated Plan Agency Board Members 2019-2020

- Agency Board Members

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State of Arkansas
Consolidated Plan Agency Board Members
2019

Jean Noble – Plan Coordinator
Grants Division Director
Arkansas Economic Development Commission
900 W. Capitol Avenue, Suite 400
Little Rock, AR 72201

Dottie Boyles – State Recorder
Arkansas Economic Development Commission
Grants Division
900 W. Capitol Avenue, Suite 400
Little Rock, AR 72201

Dr. Charles Bedell
Arkansas Ryan White Program Manager
Infectious Disease Branch
Arkansas Department of Health
4815 W. Markham Street, Slot 33
Little Rock, AR 72205

Lori Brockway
Arkansas Development Finance Authority
900 W. Capitol Avenue, Suite 310
Little Rock, AR 72201

Bob Hunt
Arkansas Development Finance Authority
900 W. Capitol Avenue, Suite 310
Little Rock, AR 72201

Wanda Merritt
Field Office Director
U.S. Dept. of Housing & Urban Development
425 W. Capitol Ave, 10th Floor
Little Rock, Arkansas 72201

Nancy Mixon
Department of Human Services
Office of Community Services
P.O. Box 1437, Slot S-330
Little Rock, AR 72203-1437

Sarah Purdiman
Provider Relations Specialist and HOPWA
Coordinator - Infectious Disease Branch
Arkansas Department of Health
4815 W. Markham Street, Slot 33
Little Rock, AR 72205

Chandra Taylor
Field Representative
U.S. Dept. of Housing & Urban Development
425 W. Capitol Ave., 10th Floor
Little Rock, AR 72201

Lorie Williams
Department of Human Services
DHS/DCO/Office of Community Services
Assistant Director
P.O. Box 1437, Slot S-330
Little Rock, AR 72203-1437

Virginia Wright
Arkansas Development Finance Authority
900 W. Capitol Avenue, Suite 310
Little Rock, AR 72201

Tiffany Vance
Associate Branch Chief
Infectious Disease Branch
Arkansas Department of Health
4815 W. Markham Street, Slot 33
Little Rock, AR 72205

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Appendix B

Arkansas Economic Development Commission

Community Development Block Grant

- General Assistance Program Scoring Criteria Matrix

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General Assistance Program Scoring Criteria Matrix

Below is the Scoring Criteria Matrix for the General Assistance Program, for public infrastructure and public facility projects (non-water/wastewater). The Matrix describes each selection criteria as a numerical score within the General Assistance Program. The maximum number of points available within any application is 100 points.

1: PROJECT NEED: The application narrative shall be scored for: (a) explanations of the overall purpose of the project application and factual information that illustrates the severity of the problems or needs; (b) past efforts to resolve the problem and meet the local needs; and (c) stated goals and objectives for resolving the problem or need with a description of how the community will be included in the citizen participation process in order to determine the objectives for problem resolution.

2: PROJECT IMPACT: The importance of project activities to the community and clarity on how the project will benefit those identified by a CDBG National Objective. The applicant should explain what the expected outcome of the project will be and why the community will be uniquely impacted by project completion.

3: PROJECT READINESS: The level of capacity and commitment by the community will be scored as compared to other applicants.

4: CITIZEN PARTICIPATION: Applicant’s description of public participation and the process used to identify community needs and allocate resources to address needs.

5: FUNDING LEVERAGE: Local funds provided by the community committed to the project’s non-administrative activities as a ratio to the grant funds requested.

6: LMI Benefit: This scoring category is designed to give points to communities with higher concentrations of LMI persons.

Item 7: Bonus Points: Capacity and how project will reduce inefficiencies in the community

| (1) | (2) | (3) | (4) | (5) | (6) | (7) | |
|--------------|----------------|-------------------|-----------------------|------------------|-------------|--------------|-------------------|
| Project Need | Project Impact | Project Readiness | Citizen Participation | Funding Leverage | LMI Benefit | Bonus Points | TOTAL |
| 25 | 15 | 30 | 15 | 5 | 5 | 5 | 100 points |

Applicants anticipating the use of federal and/or state administered funds in order to finance water or wastewater improvements must apply with the Water Wastewater Advisory Committee (WWAC). Four state agencies, one federal agency, and one non-profit partner in support of water and wastewater services: Arkansas Department of Environmental Quality; Arkansas Department of Health; Arkansas Economic Development Commission; Arkansas Natural Resources Commission; U.S. Department of Agriculture - Rural Development; and Communities Unlimited. The WWAC

provides project development guidance and recommends project financing to communities through its application process.

Representatives from the member agencies meet the first Wednesday of each month to review pre-applications for water/wastewater projects. The WWAC meetings are open to the public, and potential applicants may attend the meetings. Projects have to be submitted the WWAC by the 15th of a month to make the agenda for the next meeting. The application process includes submitting a WWAC pre-application with information regarding a Preliminary Engineering Report (PER).

AEDC Grants Division staff will review potential water/wastewater applicants according to the following selection criteria:

Project Need, with priority given to projects with urgent health or environmental needs.

Project Solution. Proposed solutions will be evaluated for the degree to which they are long term; appropriate in terms of capacity, sizing, and demand for the community, as well as technical, operational and financial aspects of the project. Solutions must alleviate high priority needs. Applicants must have a reasonably projected user fee.

Project Readiness. Projects will be reviewed for the extent to which matching funds have been secured (binding commitments obtained, local funds budgeted, etc.), and realistic schedules are provided to: secure necessary property and easement rights, complete environmental review that complies with CDBG and NEPA requirements, complete final design plans and bid specifications, complete review and obtain approval by other agencies of all permits necessary to complete project, start, and complete construction.

Cost Effectiveness. The cost per person benefitting will be calculated for each project.

Citizen Participation

Leveraging

LMI Benefit

| (1) | (2) | (3) | (4) | (4) | (5) | (6) | |
|--------------|------------------|-------------------|--------------------|-----------------------|------------|-------------|-------------------|
| Project Need | Project Solution | Project Readiness | Cost Effectiveness | Citizen Participation | Leveraging | LMI Benefit | TOTAL |
| 25 | 15 | 30 | 10 | 10 | 5 | 5 | 100 points |

Appendix C

Arkansas Department of Human Services Office of Community Services Emergency Solutions Grant Program

- 2019 – Continuums of Care in Arkansas
- 2018 – 2019 Subgrantees

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Continuums of Care in Arkansas

| Continuum of Care | Contact | e-mail | Phone | Mailing Address |
|---|----------------|--|----------------------------|---|
| Central Arkansas Team Care for the Homeless (CATCH) | Fredrick Love | flove@pulaskicounty.net | (501) 340-3376 | Pulaski County Community Services 201 South Broadway Suite 220 Little Rock, AR 72201 |
| Fayetteville/Northwest Arkansas CoC | Angela Belford | angela@nwacoc.com | (479) 443-9945 | Continuum of Care P. O. Box 3643 Fayetteville, AR 72702 |
| Delta Hills CoC | Charlie Morris | cmorris@nadcine.org | (870) 793-5765 ext. 227 | Delta Hills Continuum of Care, Inc. P. O. Box 3349 Batesville, AR 72503 |
| Southeast Arkansas CoC | Norma Payne | normap@cityofPinebluff.com | (870) 543-1820 | SOAR PO Box 6057 Pine Bluff, AR 71611 |
| Old Fort Homeless Coalition | Elaine Burton | Elaineburton2004@yahoo.com | | Old Fort Homeless Coalition 2100 North 31 st Street Fort Smith, AR 72904 |
| Arkansas Balance of State | Sue Legal | slegal@ocnet.org | (501) 623-5591 ext. 221 | Arkansas Balance of State 339 Charteroak Hot Springs, AR 71901 |

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Arkansas Department of Human Services Office of Community Services
2018-2019 Emergency Solutions Grant Program
Agency Contact List

7Hills Homeless Center
1031 N. College Ave.
Fayetteville, Arkansas 72701
Jessica Andrews, CEO
Phone: (479) 435-6717
Email: jessica@7hillscenter.org
ESG Contact: Nancy Israel, Finance Director
Phone: (479) 435-6685
Email: finance@7hillscenter.org
ESG Sub- Grant Component: Emergency Shelter, Rapid Re-Housing
Counties: Washington, Madison, Benton

Arkansas River Valley Area Council
613 North Fifth Street
Dardanelle, Arkansas 72834
Stephanie Garner, CEO
Phone: (479) 229-4861
Email: sgarner@arvacinc.org
ESG Contact: Ronda Simpson
Phone: 9479) 229-4861
rsimpsons@arvacinc.org
ESG Sub- Grant Component: Emergency Shelter, Rapid Re-Housing, Homelessness
Prevention
Counties: Conway, Franklin, Johnson, Logan, Perry, Polk, Pope, Scott, Yell

Better Community Development, Inc.
3604 West 12th Street
Little Rock, Arkansas 72204
Ms. Deborah M. Bell, Director of Programs
(501) 663-9181
ESG Contact: Bernita Robinson, Fiscal Manager
Email: brobinson@bcdinc.org
ESG Sub- Grant Component: Emergency Shelter
County: Pulaski

Arkansas Department of Human Services Office of Community Services
2018-2019 Emergency Solutions Grant Program
Agency Contact List

Community Action Program for Central Arkansas

707 Robins St. Suite 700

Conway, AR 72034

Ms. Jennifer Welter, Executive Director

(501) 329-3891

ESG Contact: Melissa Allen, Community Programs Director

Email: melissa.allen@capcainc.org

ESG Sub- Grant Component: Emergency Shelter, Rapid Re-Housing, Street Outreach,

Homelessness Prevention

Counties: Cleburne, Faulkner, White

CASA Women's Shelter

PO Box 6705

Pine Bluff, Arkansas 71611

Ms. Karen Palmer, Executive Director

(870) 535-2955

Email: kap64@yahoo.com

ESG Sub- Grant Component: Emergency Shelter, Homelessness Prevention

County: Jefferson

Crisis Intervention Center

5603 South 14th Street

Fort Smith, Arkansas 72901

Ms. Allison Davis, Executive Director

(479) 782-1821

ESG Contact: Ms. Allison Davis, Executive Director

Email: Allison@fscic.org

ESG Sub- Grant Component: Emergency Shelter

Counties: Crawford, Franklin, Logan, Polk, Scott, Sebastian

Crowley's Ridge Development Council

6009 C.W. Post Road

Jonesboro, Arkansas 72401

Tony Thomas, Executive Director

Phone: (870) 802-7100

ESG Contact: Rhonda Gillis, Chief Financial Officer

Phone: (870) 333-5122

Email: awanna.smith@crdcnea.com

ESG Sub- Grant Component: Emergency Shelter

Counties: Craighead, Greene, Lawrence, Mississippi, Crittenden, St. Francis, Poinsett and Jackson

Families in Transition

P. O. Box 15

West Memphis, Arkansas 72303

Arkansas Department of Human Services Office of Community Services
2018-2019 Emergency Solutions Grant Program
Agency Contact List

Ms. Cassie Rutledge, Executive Director
Phone: (870) 732-4077
ESG Contact: Susan Echols
Phone: (870) 732-4077
Email: cassie@fitwm.org
ESG Sub- Grant Component: Emergency Shelter, Rapid Re-Housing
Counties: Crittenden, Cross

Genesis House
P. O. Box 1506
Siloam Springs, Arkansas 72761
Mr. Harvey McCone, Executive Director
Phone: (479) 549-3438
ESG Contact: Scott Blaha
genesis.3438@gmail.com
ESG Sub- Grant Component: Emergency Shelter, Homelessness Prevention,
Rapid Re-Housing
County: Benton

Grace Christian College d/b/a/ Stepping Stone Sanctuary
P. O. Box 325
Trumann, AR 72472
Mr. Jeff Weaver, Executive Director
Phone: (870) 239-1768
ESG Contact: James Sowell
Phone: (870) 483-3406
Email: bobsowell@rittermail.com
ESG Sub-Grant Component: Emergency Shelter
County: Poinsett

Grant County Unified Community Resource Council
P. O. Box 323
Sheridan, Arkansas 72150
Ms. Laurie Welch, Executive Director
(870) 942-7373
Email: laur.welch@grcouncil.com
ESG Sub-Grant Component: Emergency Shelter, Homelessness Prevention
Counties: Grant Clark, Cleveland, Dallas, Hot Springs, Jefferson, Saline

Arkansas Department of Human Services Office of Community Services
2018-2019 Emergency Solutions Grant Program
Agency Contact List

GYST House
P. O. Box 192407
Little Rock, Arkansas 72219
Mr. Vincent Liddell, Executive Director
Phone: (501) 568-1682
ESG Contact: Stephanie Norvell
Phone: (501) 568-1682
Email: norvellmystee@aol.com
ESG Sub-Grant Component: Emergency Shelter, Homelessness Prevention
Counties: Pulaski, Lonoke, Prairie, Saline

Harrison House of Hope
600 East Stephenson
Harrison, Arkansas 72601
ESG Contact: Mr. Roy Thomas, Executive Director
Phone: (870) 704-8077
Email: info@houseofhopeharrison.org
ESG Sub-Grant Component: Emergency Shelter, Rapid Re-Housing,
Homelessness Prevention
Counties: Boone

Hope in Action
P. O. Box 596
Hope, Arkansas 71802
Mr. Paul Henley, Chairman of the Board
(870) 777-7500
ESG Contact: LuGene Erwin
Phone: (870) 722-2530
Email: tourism@hopearkansas.net
ESG Sub-Grant Component: Emergency Shelter
Counties: Hempstead, Howard, Nevada

Little Rock Community Mental Health Center
P. O. Box 250337
Little Rock, Arkansas 72207
Mr. Thomas Grunden, Executive Director
(501) 686-9300
ESG Contact: Ed Nilles
Email: ed.nilles@lrcmhc.com
ESG Sub-Grant Component: Emergency Shelter, Homelessness Prevention
County: Pulaski

Arkansas Department of Human Services Office of Community Services
2018-2019 Emergency Solutions Grant Program
Agency Contact List

Margie's Haven House, Inc.
P. O. Box 954
Heber Springs, Arkansas 72543
Ms. Shoshana Wells, Executive Director
(501) 362-6757
Email: margieshavenhouse@gmail.com
ESG Sub-Grant Component: Emergency Shelter, Rapid Re-Housing
Counties: Cleburne County, Faulkner, Van Buren, White, Stone, Independence, Pulaski,
Conway, Saline, Prairie, Woodruff, Izard, Searcy, Baxter, Jackson

Mission Outreach of Northeast Arkansas, Inc.
P. O. Box 1122
Paragould, Arkansas 72451
Cheri Peters, Executive Director
Phone: (870) 215-2926
Email: cpeters@missionoutreachnea.com
ESG Contact: Jessica Holcomb
Email: jlangston@missionoutreachnea.com
Phone: (870) 236-8080
ESG Sub-Grant Component: Emergency Shelter
County: Greene

Mississippi County Union Mission, Inc.
P. O. Box 501
Blytheville, Arkansas 72316
Mary Lee Johnson, Interim Executive Director
Phone: (870) 763-8380
Email: mcumission@gmail.com
ESG Contact: Tamika Jenkins
Phone: (870) 532-6084
Email: tej26@sbcglobal.net
ESG Sub-Grant Component: Emergency Shelter, Rapid Rehousing, Homelessness Prevention,
Street Outreach
County: Mississippi

Next Step Day Room
123 N. 6th Street
Fort Smith, Arkansas 72901
Ms. Kim Wohlford, Executive Director
(479) 242-5100
Email: kim@thenextstepfs.org
ESG Sub-Grant Component: Emergency Shelter
Counties: Sebastian, Crawford

Arkansas Department of Human Services Office of Community Services
2018-2019 Emergency Solutions Grant Program
Agency Contact List

Northwest Arkansas Women's Shelter

P. O. Box 1059
Rogers, Arkansas 72757
Jolana Aibangbee, President/CEO
(479) 246-0353
ESG Contact: Eva Rousey
Phone: (479) 246-0353
Email: erousey@nwaws.org
ESG Sub-Grant Component: Emergency Shelter, Rapid Re-Housing
Counties: Benton, Carroll, Madison, Washington

Options, Inc.

P. O. Box 554
Monticello, Arkansas 71657
Ms. Sativa Carpenter, Executive Director
Phone: (870) 460-0684
Email: options.inc1989@gmail.com
ESG Sub-Grant Component: Emergency Shelter, Rapid Rehousing, Homelessness Prevention,
Street Outreach
Counties: Ashley, Bradley, Chicot, Desha, Drew

Ouachita Children's Center, Inc.

P. O. Box 1180
Hot Springs, AR 71902
Mark Howard, Executive Director
Phone: (501) 623-5591
ESG Contact: Sheryl Staggs, Business & Finance Director
Phone: (501) 623-5591
Email: sstaggs@ocnet.org
ESG Sub-Grant Component: Street Outreach, Emergency Shelter
County(s): Garland

Our House, Inc.

P. O. Box 34155
Little Rock, Arkansas 72203
Mr. Ben Goodwin, Executive Director
Phone: (501) 374-7383
ESG Contact: Daniel Durbin
Phone: (501) 374-7383 ex.156
Email: daniel@ourhouseshelter.org
ESG Sub-Grant Component: Emergency Shelter
County(s): Pulaski

Arkansas Department of Human Services Office of Community Services
2018-2019 Emergency Solutions Grant Program
Agency Contact List

Peace at Home Family Shelter
P. O. Box 10946
Fayetteville, Arkansas 72703
Teresa Mills, Executive Director
Phone: (479) 444-8310
Email: tmills@peaceathomeshelter.com
ESG Sub-Grant Component: Emergency Shelter
County(s): Benton, Madison, Washington

Reclamation House
534 West Washington
Jonesboro, Arkansas 72401
Cathy Frans, Executive Director
Phone: (870) 761-1139
Email: cathy@reclamationshousear.com
ESG Sub-Grant Component: Emergency Shelter
County: Craighead

River City Ministry of Pulaski County, Inc.
P.O. Box 2179
North Little Rock, Arkansas 72114
Mr. Paul Wilkerson, Executive Director
Phone: (501) 376-6694
ESG Contact: Kim Hogue
Email: kim.hogue@hotmail.com
ESG Sub-Grant Component: Emergency Shelter, Rapid Rehousing, Homelessness Prevention,
Street Outreach
County(s): Pulaski, Lonoke, Prairie, Saline

Riverview Hope Campus
301 South E. Street
Fort Smith, AR 72901
Chris Joannides, Executive Director
Phone: (479) 668-4764
Email: chris@riverviewhopecampus.org
ESG Contact: Wendy Freeman, Bookkeeper
Phone: (479) 650-3230
Email: wfreem00@g.uafs.edu
ESG Sub-Grant Component: Emergency Shelter, Street Outreach
Counties: Sebastian, Crawford, Franklin, Logan, Polk, Scott

Arkansas Department of Human Services Office of Community Services
2018-2019 Emergency Solutions Grant Program
Agency Contact List

River Valley Shelter for Battered Women and Children
P. O. Box 2066
Russellville, Arkansas 72811
Ms. Mandi Geels, Executive Director
Phone: (479) 968-3110
Email: rivalleyshelter@gmail.com
ESG Contact: Karrie Bowden, Shelter Manager
Phone: (479) 968-3110
Email: kbowden.rvs@gmail.com
ESG Sub-Grant Component: Emergency Shelter
Counties: Pope, Johnson, Yell

Salvation Army - El Dorado
419 S. Madison
El Dorado, Arkansas 71730
Lieutenant Charles Smith, Corps Commanding Officer
Phone: (870) 863-4830
Email: ElDoradoAR@uss.salvationarmy.org
ESG Contact: Jacqueline Holmes, Statistician/Social Services
Email: jackie.holmes@uss.salvationarmy.org
Phone: (870) 863-4830
ESG Sub-Grant Component: Emergency Shelter, Homelessness Prevention
Counties: Union Ouachita, Calhoun,

Salvation Army – Fayetteville
219 W. 15th Street
Fayetteville, AR 72701
Josh Robinett, Area commander
Phone: (479) 521-2151
Email: josh.robinett@uss.salvationarmy.org
ESG Contact: Greg Chambers, Director of Social Services
Phone: (479) 521-2151
Email: greg.chambers@uss.salvationarmy.org
ESG Sub-Grant Component: Emergency Shelter
Counties: Benton, Carroll, Madison, Washington

Salvation Army - Jonesboro
800 Cate Ave.
Jonesboro, AR 72401
Capt. Curtis Sadler, Commanding Officer
(870) 932-3785
Email: curtis.sadler@uss.salvationarmy.org
ESG Sub-Grant Component: Emergency Shelter
County: Craighead, Greene, Jackson, Mississippi, Poinsett

Arkansas Department of Human Services Office of Community Services
2018-2019 Emergency Solutions Grant Program
Agency Contact List

Salvation Army - Little Rock
1111 W. Markham
Little Rock, Arkansas 72201
Major Russell Czajkowski, Area Commander
Email: Russell.czajkowski@uss.salvationarmy.org
Phone: (501) 374-9296
ESG contact: William D. Tollett, PhD, Director of Development
Email: william.tollett@uss.salvationarmy.org
Phone: (501) 374-9296
ESG Sub-Grant Component: Emergency Shelter
Counties: Pulaski

Salvation Army - Texarkana
400 E. 4th Street
Texarkana, Arkansas 71854
Major David Feeser, Corps Officer
Email: David.Feeser@uss.salvation.org
Phone: (870) 774-2701
ESG Contact: Chidinma Ezihe, Case Manager
Email: Chidinma.Ezihe@uss.salvationarmy.org
Phone: (870) 774-2701
ESG Sub-Grant Component: Emergency Shelter, Rapid Re-Housing,
Homelessness Prevention
Counties: Howard, Hempstead, Lafayette, Little River, Miller, Sevier

Samaritan Outreach, Inc.
P. O. Box 183
Dardanelle, Arkansas 72834
Ms. Peggy O'Glee, Executive Director
Phone: (479) 229-3300
Email: pjoglee@hotmail.com
ESG Sub-Grant Component: Emergency Shelter
Counties: Yell, Logan, Scott

The Safe Place, Inc.
P. O. Box 364
Morrilton, Arkansas 72110
Jo Warren, Executive Director
Phone: (501) 354-1884
Email: thesafeplace@suddenlinkmail.com
ESG Sub-Grant Component: Emergency Shelter
Counties: Conway, Perry

Arkansas Department of Human Services Office of Community Services
2018-2019 Emergency Solutions Grant Program
Agency Contact List

Women and Children First: The Center Against Family Violence
P. O. Box 1954
Little Rock, Arkansas 72203
Angela McGraw, Executive Director
Phone: (501) 376-3219
Email: amcgraw@wcfarkansas.org
ESG Contact: Janet Wilkinson, Administrative Assistant
Phone: (501) 376-3219
Email: jwilkinson@wcfarkansas.org
ESG Sub-Grant Component: Emergency Shelter, Rapid Re-Housing, Homelessness
Prevention
County: Pulaski

Appendix D

Arkansas Development Finance Authority National Housing Trust Fund

National Housing Trust Fund

- NHTF Operations Manual
- NHTF Program
- Multi-family Housing Minimum Design Standards Checklist
- NHTF Scoring Matrix

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Arkansas Development Finance Authority



National Housing Trust Fund Operations Manual



Effective Date: Upon Approval



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**ARKANSAS DEVELOPMENT FINANCE AUTHORITY
NATIONAL HOUSING TRUST FUND
OPERATIONS MANUAL**

THE NATIONAL HOUSING TRUST FUND

- ❖ The National Housing Trust Fund (NHTF) is a new affordable housing production program that will complement existing federal, state and local efforts to increase and preserve the supply of decent, safe and sanitary affordable housing for extremely low-income (ELI) households, which are defined as households at or below 30% of area median income (AMI).
- ❖ Per 24 CFR 93.250(a), in any fiscal year in which the total amount available for allocation of NHTF funds is less than \$1 billion, ADFA will use 100% of its NHTF grant for the benefit of
 - ELI families or
 - Families with incomes at or below the poverty line (whichever is greater).
- ❖ As specified in its enabling legislation, Grantees, like the Arkansas Development Finance Authority (ADFA) in its role as the designated administrator of NHTF funds for the State of Arkansas (State),
 - Must use at least eighty (80) percent of each annual grant for rental housing,
 - May use up to ten (10) percent of each annual grant for homeownership housing, and
 - May use up to ten (10) percent of each annual grant for the grantee's reasonable administrative and planning costs.
- ❖ All applicable affordability periods must be followed:
 - All NHTF-assisted rental housing must meet a minimum affordability period of thirty (30) years.
 - All NHTF-assisted homeownership housing must meet the minimum affordability period of 10, 20 or 30 years based on the amount of NHTF invested in the unit.

ADFA'S SPECIFIC USE AND ALLOCATION OF NHTF FUNDS

- ❖ ADFA will administer the NHTF program to provide funds to develop new construction or rehabilitate existing structures to create decent, safe, and sanitary rental housing, primarily targeting a specific underserved group: The ELI population in Arkansas.
- ❖ Preference will be given to ELI Veterans
 - With special needs,
 - Who are homeless or may become homeless,

- With mental health issues, and/or
- Who are leaving correctional facilities.
- ❖ Preference will also be given to surviving spouses of deceased Veterans.

GENERAL HOUSING TRUST FUNDS REQUIREMENTS

- ❖ Where economically feasible, applicants will have an opportunity to compete for funding to address their unmet rental housing needs. ADFA has established certain basic housing requirements to be used in the allocation of NHTFs, which are found in the Scoring Matrix:

ELIGIBLE ACTIVITIES

- ❖ Eligible activities must comply with the requirements of **24 CFR Parts 93.200, 93.201 and 93.301.**
 - Under 24 CFR 93.200, HTF funds may be used for the production of affordable housing and rental housing.
 - Under 24 CFR 93.201, HTF funds may be used to pay eligible costs, such as development hard costs, refinancing costs, acquisition costs, and related costs.
 - Under 24 CFR 93.301, new construction and rehabilitation projects must meet all applicable state and local codes, ordinances, zoning requirements and ADFA's Minimum Design Standards (MDS).
- ❖ ADFA permits and encourages applicants to exceed these MDS project standards.
 - <http://adfa.arkansas.gov>.

ELIGIBLE RECIPIENTS

- ❖ Under 24 CFR §93.2, a Recipient is defined as an organization, agency, or other entity (including a public housing agency, or a for-profit entity or a nonprofit entity) that receives NHTF assistance from a grantee as an owner or developer to carry out an NHTF-assisted project.
- ❖ In order to qualify as a Recipient of NHTF funds under 24 CFR 93.2, the entity must meet four requirements. These requirements state that a Recipient must
 - Make acceptable assurances to the grantee that it will comply with the requirements of the NHTF program during the entire period that begins upon selection of the recipient to receive NHTF funds, and ending upon the conclusion of all NHTF-funded activities,
 - Demonstrate the ability and financial capacity to undertake, comply, and manage the eligible activity,
 - Demonstrate its familiarity with the requirements of other Federal, State, or local housing programs that may be used in conjunction with

- NHTF funds to ensure compliance with all applicable requirements and regulations of such programs, and
- Have demonstrated experience and capacity to conduct an eligible NHTF activity as evidenced by its ability to
 - Own or construct, and manage and operate an affordable multifamily rental housing development; or
 - Design or construct, and market affordable housing for homeownership.
 - Provide forms of assistance, such as down payments, closing costs, or interest rate buy downs for purchasers.
 - ❖ When selecting eligible recipients, ADFA will measure these requirements per methods listed in the Scoring Matrix and per documents submitted with the application.
 - ❖ No NHTF application will be processed for any applicant or related entity which is not in good standing with
 - ADFA and any other State housing finance authority,
 - The Arkansas Economic Development Commission (AEDC),
 - HUD and/or
 - USDA Rural Development.
 - ❖ An applicant can be denied consideration of the NHTF funds under Arkansas's NHTF Program if the applicant or its related parties have a history of payment delinquencies, bankruptcy, foreclosure or activities determined to be unsound or unlawful.
 - ❖ ADFA will distribute NHTF by directly selecting applications submitted from eligible recipients and will not use sub-grantees.
 - ❖ The Development Team is the applicant, consultant, contractor, architect, accountant, property manager, and attorney who will work to facilitate the construction of new rental housing (single family, assisted living units, or multi-family units).
 - ❖ Under Title 38 of the Code of Federal Regulations, a Veteran is defined as a person who served in the active military, naval, or air service, and who was discharged or released therefrom under conditions other than dishonorable.
 - ❖
 - ❖ 24 CFR 93.350 requires that the recipient put affirmative marketing in place to attract eligible persons "without regard to race, color, national origin, sex, religion, family status, or disability." ADFA will ensure the recipient's compliance with this regulation by requiring that each application package to ADFA include the HUD Form 935.2A, which outlines the Development Team's Affirmative Fair Marketing Plan and their staff's Fair Housing training accomplishments.
 - ❖ The Development Team's property manager will ensure compliance with 24 CFR 93.303(d)(3), which requires that the nondiscrimination provisions of 24 CFR 93.350

(listed above) be followed.

- ❖ ADFA will award NHTF funds as forgivable grants to recipients whose proposed developments are approved for funding. The NHTF grant will remain a forgivable grant, as long as the development remains in compliance with NHTF and ADFA requirements for the entire affordability period of thirty (30) years.

MAXIMUM PER-UNIT DEVELOPMENT SUBSIDY LIMITS

- ❖ As the administrator of the Low-Income Housing Tax Credit and HOME funds, ADFA determines the “Reasonableness of Project Costs”—both hard and soft costs—annually by comparing aggregate cost data based on all applications received compared to historical cost certification data of completed projects and current cost data provided by ADFA third-party construction consultant reports. ADFA has used the same methodology in determining the maximum per-unit development subsidy limit for housing assisted with NHTF funds for all areas of State.
- ❖ No single recipient may receive more than \$1,000,000.00; however, ADFA has the right to waive this per-recipient allocation cap.
- ❖ Per-Unit Costs is calculated by dividing the total development costs by the total number of units. The maximum Per Unit Development Subsidy Limit is adjusted every two years through ADFA’s Administrative Procedures Act, Arkansas Code Annotated Sections 25-15-201 et seq., also known as the “APA” process. ADFA’s maximum costs limits are deemed reasonable, based on actual costs, and adjusted at time of staff underwriting for the number of bedrooms and geographic location of the project.

Maximum Cost Per-Unit and Minimum Area Requirements

| Property Type | #Bedrooms | #Baths | Min. Bedroom Net Area | MAX Cost Per- Unit |
|----------------------|------------------|---------------|------------------------------|---------------------------|
| Rental Unit | 3-4 | 2 | 120 sq. ft. | \$151,000 |
| Rental Unit | 1-2 | 1-2 | 120 sq. ft. | \$120,000 |
| SFD | 3-4 | 2 | 120 sq. ft. | \$162,000 |
| SFD | 2 | 1-2 | 120 sq. ft. | \$140,000 |
| Assisted Living | 1 | 1 | 120 sq. ft. | \$179,000 |

Unit areas do not include outside storage, covered porches, patios, balconies, etc.

- ❖ At time of ADFA underwriting of each application, the costs are thoroughly reviewed and adjusted for under-estimates or excessive costs. ADFA uses discretion to determine reasonableness of all costs stated in the proposed development budget regardless of whether the costs per-unit comply with the maximum costs per-unit limitation set forth

above. ADFA may, within its discretion, deny applications based upon the unreasonableness of costs, regardless of whether the costs per-unit complies with the maximum costs per-unit limitation set forth above. Upon request by ADFA staff, applicants may provide justification and supporting documentation of costs. ADFA will review the items submitted and make a final determination. ADFA's determination will be set forth in writing as to whether the application will be further considered or rejected.

- ❖ Based on the example below, approximately 17 units could be developed through allocation of \$3,000,000.00 by ADFA:

MINIMUM NUMBER OF PROPOSED UNITS

- ❖ A single proposed multi-family development may not contain less than four (4) units designated and reserved for ELI households.

APPLICATION PROCESS

- ❖ ADFA will evaluate each application to determine which projects should receive an award of NHTF funds. All applicants must submit an application, with supporting documentation, including evidence sufficient to ADFA that the applicant's proposed project at minimum meets all of the housing priority factors in order to be considered for funding.
 - Link for application is at: <http://adfa.arkansas.gov/lihtc-application>
- ❖ ADFA may conduct a site visit(s) to inspect the proposed project site(s) and/or consult with a third party for professional services in evaluating each application requesting NHTF funds. To facilitate the evaluation process, all applicants must complete the following basic steps:
- ❖ All required ADFA-provided forms may be found at <http://adfa.arkansas.gov/lihtc-application>. All ADFA-provided forms should be submitted with original signatures, legible and all applicable spaces fully completed, and with all required third-party documents. ADFA will post the NHTF application checklist and instructions for the complete list of required documents as provided at www.ADFA.arkansas.gov prior to the beginning of the application cycle.
- ❖ All applicants must comply in all respects with ASTM E1527-13 (the "ASTM Standards") as to content and adhere to ADFA's Environmental Policy Requirements for purposes of determining whether the property is environmentally suitable for construction of residential housing.
- ❖ After the initial review, each applicant will be contacted via e-mail regarding any missing or incomplete items or documents. Upon notice, applicants must submit all missing and/or incomplete items or documents in order to be considered for funding.
- ❖ The application must demonstrate that the project is an eligible activity under this

NHTF plan and meets basic occupancy and rent restrictions required of NHTF regulations. The rental housing units must be under common ownership, deed, financing and property management.

- ❖ The application must also demonstrate that the project is financially feasible and is sustainable for the thirty (30) year affordability period with ADFA's requirements and the NHTF Regulations.

ADFA HOUSING PRIORITIES AND SCORING CRITERIA

- ❖ Funds will be awarded based on the application (at a minimum) meeting the general NHTF requirements listed above and the total score of the application. Once ADFA has determined that the application meets or exceeds all requirements, ADFA will award funds to highest-scoring project until all NHTF funds have been allocated. There is no minimum score.
- ❖ ADFA's selection of Eligible Recipients will be based upon those applicants achieving the highest scores, and awarded in that order, until funds are depleted. The reviewing underwriter will score each application, using the Scoring Matrix.
- ❖ ADFA reserves the right to deny an NHTF allocation to any applicant or project, regardless of that applicant's point ranking if, in ADFA's sole determination, the applicant's proposed project is not financially feasible or viable. Regardless of strict numerical ranking, the scoring does not operate to vest in an applicant or project any right of allocation in NHTF funds in any amount. ADFA will in all instances reserve and allocate NHTF funds consistent with sound and reasonable judgment, prudent business practices and the exercise of its inherent discretion.

BARRIERS TO ADDRESSING THE ELI POPULATION

- ❖ Various obstacles to addressing the targeted ELI population exist across Arkansas. In the rural counties, transportation is a major issue. The shortage of affordable permanent housing, job loss, unemployment, lack of education, and the lack of affordable healthcare are all barriers to the ELI population to access affordable housing.
- ❖ It will be very challenging for developments to be successful in reaching specific ELI populations, areas of extremely high ELI households and remain economically feasible for thirty (30) years. ADFA will work with every applicant and respective stakeholder (mental health and physical health service providers, Veterans advocates and groups, non-profits and local governments) to be proactive toward providing additional funding sources and incentives as available; helping to remove regulatory and discriminatory barriers; and seeking experienced development partners to assist in creating housing solutions for ELI households. Our multi-

family and HOME underwriters are open to calls to assist with the application, and our compliance department holds regular technical assistance trainings.

COMPLIANCE WITH NHTF REGULATIONS

- ❖ Each eligible recipient must certify that housing units assisted with NHTF funds will comply with all NHTF requirements. The certification must include:
 - The number of units in an NHTF-assisted project by income group: ELI, VLI, moderate income and above moderate income; and
 - A Statement declaring that all tenants of an NHTF-assisted development meet the income limits as required by relevant program guidelines; and
 - A Statement declaring that recipient will comply with rent limits, determined to be no more than thirty percent (30%) of the area median income.
- ❖ ADFA staff will monitor each NHTF project on-site at least once prior to the completion of the project and at least once every three years through the entire affordability period. ADFA will review for compliance with the NHTF Plan, eligibility requirements, housing construction standards (24 CFR 93.301), rent reasonableness, affirmative outreach (24 CFR 93.350), tenant protections and selection (24 CFR 93.303), fair housing and financial management. ADFA Project Compliance Guidelines may be found at: <http://adfa.arkansas.gov>.
- ❖ After each monitoring visit, written correspondence will be sent to the NHTF recipient describing the results of the review in sufficient detail to clearly describe the areas that were covered and the basis for any conclusions reached. Monitoring determinations will range from “acceptable” to “findings” with appropriate corrective measures imposed. Corrective measures may include certifications that inadequacies will be resolved, documentary evidence that corrective actions have been instituted or reimbursement of disallowed costs.

PERFORMANCE GOALS

- ❖ ADFA's goal in administering the NHTF program is the new construction or rehabilitation of decent, safe, and sanitary rental housing, primarily targeting a specific underserved group: The ELI Veteran population in Arkansas.
- ❖ Preference will be given to ELI Veterans
 - With special needs,
 - Who are homeless or may become homeless,
 - With mental health issues, and/or
 - Who are leaving correctional facilities.
- ❖ Preference will also be given to surviving spouses of deceased Veterans.

- ❖ Recipients will develop performance goals that best quantify the NHTF developments' impact for their local areas' ELI households. Performance goals specific to the specific geographic area will be evaluated to determine if the NHTF goals have been achieved.



National Housing Trust Fund Program



May 2019 NHTF NOFA

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I. OVERVIEW

The Arkansas Development Finance Authority (“ADFA”) hereby notifies interested Applicants of the availability of funds allocated from the National Housing Trust Fund Program (“NHTF”). The availability and use of these funds is subject to Federal NHTF regulations (See 24 CFR Part 93) and any amendments..

Additional NHTF information may also be found at the following link:

<https://adfa.arkansas.gov/files/>

In releasing this Notice of Funding Availability (“NOFA”), ADFA’s goal is to facilitate the production of affordable rental housing in Arkansas, specifically for households who meet the HUD Income Guidelines for Extremely Low-Income (“ELI”). To meet this goal, ADFA will provide grants to Recipients.

Funds will be awarded to successful Applicants who will act as Recipient for ADFA NHTF funded projects. Applicants will carry out services listed in Exhibit A (See “Scope of Services and Requirements”).

Applicant questions must be directed in to Lori.Brockway@adfa.arkansas.gov.

II. AMOUNT OF FUNDS AVAILABLE FOR AWARD

Approximately Six Million Five Hundred Thousand Dollars (\$6,500,000) will be available under this NOFA. ADFA reserves the right to fund, in whole, or in part, any, all, or none of the applications submitted in response to this NOFA.

III. MAXIMUM AMOUNTS OF NHTF FUNDS PER DEVELOPMENT

No single Recipient may receive more than One Million Dollars (\$1,000,000); however, ADFA has the right to waive this per-Recipient allocation cap. ADFA’s award of funds will be subject to subsidy layering guidelines and underwriting criteria to ensure the project’s success.

IV. NATIONAL HOUSING TRUST FUNDS REQUIREMENTS

ADFA's NHTF Plan seeks to ensure that, where economically feasible, applicants will have an opportunity to compete for funding to address unmet rental housing needs. ADFA has established certain basic housing requirements to be used in the allocation of NHTF funds.

1. **Geographic Diversity:** ADFA anticipates allocating available NHTF funds to expand the ELI and ELI Veteran rental housing supply located throughout Arkansas in areas near VA Medical Facilities/Hospitals, VA Support Services, and urban areas where employers are more concentrated and more likely to offer jobs to Veterans first.
2. **Applicant Capacity:** Consideration will be given to the ability of the Applicant to undertake and complete the construction of the proposed type of housing in a timely manner. The applicant must have the financial capacity to complete the proposed development and must provide a list of experienced development team members such as a general contractor, architect, property manager and legal counsel.
3. **Rental Assistance:** Due to the targeted population of ELI households, the ability to secure rental assistance so that rents are affordable to ELI families will be a major factor in the success of any proposed development.
4. **Duration of Affordability Period:** All NHTF rental units must have affordability requirements for a minimum of thirty (30) years. The Development Team must demonstrate strength to enforce the affordability period.
5. **Housing Needs of the State:** ADFA has identified a housing need for ELI households and ELI Veterans. Per the Veterans Data Central website, (www.veteransdata.info) in 2015, there were 229,261 Veterans in Arkansas, representing 10.3% of the Arkansas population. Of those, 7.3% are unemployed, 8.8% live in poverty, 19.8% have a service-connected disability rating, and 456 are homeless.
6. **Leveraging:** The applicant should provide additional sources of funds such as other soft funds for construction, permanent financing and/or operating expenses.

V. ELIGIBLE APPLICANTS AND RECIPIENTS

A. Definitions

“**Development Team**” is defined as the Applicant, consultant, contractor, architect, accountant, property manager, and attorney.

“**Veteran**” is defined under 38 CFR 3.1 as “a person who served in the active military, naval, or air service and who was discharged or released under conditions other than dishonorable.”

“Extremely Low-Income Family” is defined under 24 CFR 91.5 as a “family whose income is between 0 and 30 percent of the median income for the area, as determined by HUD with adjustments for smaller and larger families, except that HUD may establish income ceilings higher or lower than 30 percent of the median for the area on the basis of HUD’s findings that such variations are necessary because of prevailing levels of construction costs or fair market rents, or unusually high or low family incomes.”

B. Summary

Eligible Applicants consist of the Development Team who will construct new rental housing (single family homes, duplexes, or multi-family units) for Eligible Beneficiaries described in Section VI of this document.

ADFA will distribute NHTF funds by directly selecting applications submitted from eligible Applicants and will not use sub-grantees.

Successful Applicants will act as Recipients of ADFA NHTF funds. Recipients are defined in the 24 CFR 93.2 as follows:

An organization, agency, or other entity (including a public housing agency, or a for-profit entity or a nonprofit entity) that receives HTF assistance from a grantee as an owner or developer to carry out an HTF-assisted project.

Applicants approved for funding under this NOFA will administer the activity which is outlined in Exhibit “A” of this NOFA.

C. Requirements

An Applicant must:

1. Assure to the grantee that it will comply with the requirements of the NHTF program during the entire period that begins upon selection of the Recipient to receive NHTF funds, and ending upon the conclusion of all NHTF-funded activities,
2. Demonstrate the ability and financial capacity to undertake and manage the eligible activity,
3. Demonstrate its familiarity with the requirements of other Federal, State,

or local housing programs that may be used in conjunction with NHTF funds to ensure compliance with all applicable requirements and regulations of such programs, and

4. Demonstrate its experience and capacity to conduct an eligible NHTF activity as evidence by its ability to design, construct, own, manage, operate and market affordable multi-family rental housing.

ADFA will measure these requirements per methods listed in the Scoring Matrix and per documents submitted with the application at: <https://adfa.arkansas.gov/files/>

VI. ELIGIBLE BENEFICIARIES

Eligible beneficiaries for this NOFA are ELI individuals/families, at least four (4) units must be ELI Veterans and their families—with preference in the scoring matrix being given to ELI Veterans who

1. Are homeless (or at risk of becoming homeless),
2. Have special needs,
3. Are leaving correctional facilities, and/or
4. Have mental health issues.

VII. ELIGIBLE ACTIVITIES

MINIMUM NUMBER OF PROPOSED UNITS: A single proposed multi-family development may not contain less than four (4) units designated and reserved for ELI Veterans' households and the remaining units reserved for ELI households.

The eligible activity for this NOFA is the new construction of rental housing projects, single family homes, and multifamily residential rental units for eligible Applicants. This NOFA does not cover rehabilitation of existing projects.

The maximum cost-per-unit is limited to:

Maximum Cost Per-Unit and Minimum Area Requirements

| Property Type | Bedrooms | Baths | Min. Bedroom Net Area | Max. Cost Per- Unit |
|----------------------|-----------------|--------------|------------------------------|----------------------------|
| Rental Unit | 3-4 | 2 | 120 sq. ft. | \$151,000 |
| Rental Unit | 1-2 | 1-2 | 120 sq. ft. | \$120,000 |
| Single Family | 3-4 | 2 | 120 sq. ft. | \$162,000 |
| Single Family | 2 | 1-2 | 120 sq. ft. | \$140,000 |

Unit areas do not include outside storage, covered porches, patios, balconies, etc.

VIII. APPLICATION, CHECKLIST, AND ATTACHMENTS

The application, checklist, and attachments may be found at

<https://adfa.arkansas.gov/files/>

Under the section "National Housing Trust FUND 'NHTF,'" expand the section "National Housing Trust Fund 2016-2017 Application Documents."

IX. WHEN & WHERE APPLICATIONS ARE TO BE SUBMITTED

This NOFA is open indefinitely or until all funds are awarded. Written proposals responding to the questions and requests for information in the manner specified in this NOFA should be submitted to the following: nofa@adfa.arkansas.gov

To be considered, one (1) copy of the proposal should be delivered to ADFA via email only. ADFA reserves the right to reject any or all proposals.

It is the responsibility of the applicant to inquire about and clarify any aspect of this NOFA. Questions should be directed to Lori Brockway at Lori.Brockway@adfa.arkansas.gov or 501-682-3339. Substantive questions and answers will be documented in letter form and will be sent by email to all the proposers who provide us with an email address. **IN ORDER TO BE ON THE DISTRIBUTION LIST FOR INTERNET TRANSMISSION OF ALL RESPONSES TO INQUIRIES, YOU MUST IMMEDIATELY ADVISE LORI BROCKWAY AT THE EMAIL ADDRESS STATED ABOVE, OF THE EMAIL ADDRESS TO WHICH YOU WANT ALL SUCH TRANSMISSIONS SENT.**

Any information or materials submitted as a response to this NOFA shall become the property of ADFA and will not be returned.

X. RESPONSE TO NOFA

Applicants wishing to respond to this NOFA may contact Lori Brockway, ADFA Federal Housing Programs Manager.

UPON THE FINAL SUBMISSION DATE OF THE APPLICATION, NO CONTACT WITH ADFA BOARD MEMBERS OR ADFA STAFF MEMBERS CONCERNING THE NOFA IS ALLOWED. ANY SUCH CONTACT WILL BE GROUNDS FOR IMMEDIATE REJECTION OF AN APPLICANT'S PROPOSAL, EXCEPT THAT ADFA STAFF MAY CONTACT THE APPLICANT WITH QUESTIONS REGARDING THE SUBMITTED PROPOSAL.

XI. CRITERIA BY WHICH APPLICATIONS WILL BE EVALUATED

ADFA Staff will use the ADFA National Housing Trust Fund Scoring Matrix (<https://adfa.arkansas.gov/files/>) to rank all proposals and to develop recommendations to be presented to the ADFA Staff Housing Review Committee, the Board Housing Review Committee, and the ADFA Board of Directors. ADFA reserves the right to evaluate the ranking of proposals based on factors beyond the listed criteria.

A. Selection Process

After evaluation of the proposals, the ADFA Housing staff will make its recommendation(s) to the ADFA Staff Housing Review Committee, the Board Housing Review Committee, and the ADFA Board of Directors. The final selection will be made by a vote of the ADFA Board members at a regularly scheduled meeting.

ADFA may, at any time prior to the selection of an Applicant, reject any and all proposals and **cancel** this NOFA, without liability therefore, upon finding that there is good cause for rejecting all proposals and that it would be in its interest to cancel the solicitation. Further, regardless of the number and quality of proposals submitted, ADFA shall under no circumstances be responsible for any Applicant costs and expenses incurred in submitting a response to this NOFA. Each Applicant who submits a response does so at the Applicant's cost, risk, and expense. ADFA accepts no responsibility for the return of successful or unsuccessful proposals. This NOFA in no way obligates ADFA to select an Applicant.

Any organization selected will be required to complete a conflict of interest disclosure form in compliance with Governor's Executive Order 98-04.

Exhibit A
Scope of Services and Requirements

ADFA is seeking qualified Applicants to serve as Development Teams of affordable housing rental units/projects. Qualified applicants shall have experience providing the required services specifically for affordable rental housing units/projects. These services include, but are not limited to, the following (all of the following services **must** be addressed in your response to be considered):

- A. Prepare and submit to ADFA a complete application. For sections not applicable to your housing proposal, please mark as “not applicable” or “NA”.
- B. Provide a written statement of all other sources of funds from each business firm that describes in detail the financial commitment to the proposed development.
- C. Provide evidence of site control regarding land for new construction. What is site control? Site control means you have obtained an enforceable right to use a parcel of land. This right must be formally (or legally) given in writing. Verbal permission is not enough. A deed, lease or easements are the most common forms of written authorization to use land.
- D. Provide a Certified Land Appraisal.
- E. Provide a letter of support from the chief elected official or a majority of the members of the elected governing body of the jurisdiction where the affordable housing is to be located.
- F. Submit proof of all Development Team members’ relevant experience and qualifications, along with contractors’, and subcontractors’ qualifications and appropriate, active licenses in good standing.
- G. Establish and include a timeline for completion of all activities to be accomplished.
- H. All waivers must be requested in the NOFA application.
- I. Submit proof that all Development Team members having attended Fair Housing training provided by the Arkansas Fair Housing Commission.
- J. Satisfactorily execute an ADFA agreement (which will serve as the commitment of NHTF funds), an ADFA agreement for any other ADFA funds included in the budget, closing documents, and a mortgage, forgivable note and deed restriction in favor of ADFA.
- K. Commence work only after receipt of a written Notice to Proceed issued by ADFA.
- L. Ensure project rents, if applicable, are affordable to persons at ELI limits of thirty percent (30%) of HUD area median income, adjusted for family size, per HUD Fair Market Rent requirements.
- M. Provide periodic reports, as required by ADFA.
- N. Participate in periodic compliance monitoring processes, performed by ADFA staff, to
- O. Maintain a cooperative working relationship with ADFA staff and inspectors.
- P. Submit a completed ADFA Form Attachment “A” – Criminal Background & Disclosure Form for each Development Team member found at <https://adfa.arkansas.gov/files/>

Exhibit B
Post-Award Obligations

1. Environmental Assessment Factors, Phase I – If required by ADFA
2. Market Study: Submit a market study of the selected geographic market area, evidencing the need for the affordable housing units proposed. The market study must be performed by an ADFA-approved market study provider, and the market study must conform to all ADFA-adopted market study guidelines.

ATTACHMENT G
MULTIFAMILY HOUSING MINIMUM DESIGN STANDARDS CHECKLIST

The following checklist must be completed by the Arkansas licensed architect identified as a member of the development team in the Application. Applicant and architect shall execute separate verifications under oath that the representations set forth in Attachment G – Minimum Design Standards Checklist, will be met in the construction or rehabilitation of the proposed development and that all amenities and energy features represented in the Application will be included in the construction or rehabilitation of the proposed development. The purpose of this checklist is to assist ADFA to ensure that the development is in compliance with: (1) ADFA's "Multifamily Housing Minimum Design Standards"; (2) all applicable local, state, and national building codes; and (3) all applicable federal and state accessibility and Fair Housing laws. The general contractor must review and **execute the General Contractor's Certification** prior to issuance of the Notice to Proceed.

EACH ITEM MUST BE MARKED. For rehabilitation developments only, if an energy audit ("EA") is performed or a waiver is requested ("WR") for a particular criterion, Applicant must ensure such energy audit or waiver request conforms to the requirements of the 2019 QAP, Guidelines and ADFA's "Multifamily Housing Minimum Design Standards". All requests for a waiver must be indicated on this checklist by "WR," and a written request for the waiver must be submitted.

THIS CHECKLIST, ALONG WITH ANY WAIVER REQUESTED, MUST BE INCLUDED AT TAB #13 OF THE APPLICATION.

Criterion

I. SITE SELECTION

- Site within 100-year flood plain
- Community participates in National Flood Insurance Program
- Flood Insurance to be obtained throughout affordability period
- Areas undergoing development raised at least 1' above flood plain

II. BUILDING DESIGN

A. GENERAL BUILDING STANDARDS

1. Community Laundry – (Single Family Detached mark N/A)

- 1 washer and 1 dryer for every 10 units in the development
- 1 washer and 1 dryer per 15 units-washer and dryer connections
- 1 washer and 1 dryer in development – washer and dryer furnished

2. Senior or Assisted Living

- All units located at grade level or on elevator accessible floor

3. Access road, parking spaces, curbing, and sidewalks

- Continuous asphalt or concrete paved access road
- Family – 7 spaces for every 4 units, inclusive of handicap spaces
- Senior – 5 spaces for every 4 units, inclusive of handicap spaces
- All parking areas must be asphalt or concrete
- All paved areas are concrete curbed
- All driveways on single-family detached homes must be concrete
- Sidewalk access to all parking spaces must be provided
- All sidewalks and walkways must be concrete and ≥ 5 feet wide
- Applicable handicap spaces per ADA Accessibility Guidelines (Section 208.2)

4. Single Family Detached Units

- At least 3 bedrooms with 2 bathrooms and attached single car garage
- 20 percent of the units in a development may be 2 bedrooms with 2 bathrooms (must be supported by market need) and attached single car garage
- Washer and dryer connections in the living area for each detached unit

B. MINIMUM BUILDING STANDARDS

1. Minimum Unit Net Area Requirements

- Not applicable because development is:
 - Existing rental units or
 - Assisted Living

| Unit Type | Number of Bathrooms | Minimum Unit Net Area* | Minimum Bedroom Net Area |
|---------------|---------------------|------------------------|--------------------------|
| 1 bedroom | 1 | 550 sq. ft. | 100 sq. ft. |
| 2 bedroom | 1.5 | 750 sq. ft. | 100 sq. ft. |
| 2 bedroom SFD | 2 | 1000 sq. ft. | 100 sq. ft. |
| 3 bedroom | 2 | 1000 sq. ft. | 100 sq. ft. |
| 4 bedroom | 2 | 1200 sq. ft. | 100 sq. ft. |

*Unit areas do not include outside storage, covered porches, patios, balconies, garages, etc.

- Units meet the required minimum unit net area stated above for the unit type
- Units provide the number of bathrooms required above for the unit type
- Units meet the required minimum bedroom net area stated above

2. Exterior Building Standards

- a. Exterior covering - new construction
 - Brick
 - Vinyl siding
 - .042" minimum thickness
 - 50 year transferable warranty
 - Cementitious siding
 - 8" brick or decorative block apron
- b. Fascia and soffit
 - Vinyl, aluminum or cementitious prefinished fascia and vented soffit
- c. Entry doors
 - Metal-clad wood or hollow metal construction
 - Peephole(s)
 - Dead bolt locks with interior "thumb latch"
 - 34" minimum clear opening width
 - Sliding glass doors are prohibited
- d. Roofing materials
 - Anti-fungal
 - Seal tab shingles with minimum 30 year warranty
 - 15 lb. or greater felt paper
 - Metal roof with a minimum 30-year warranty
- e. Gutters and downspouts
 - 5" gutter
 - 2"x 3" downspouts
 - Concrete splash blocks or piped to appropriate drain
- f. Roof gable vents made of aluminum or vinyl
- g. Attics must be vented
- h. Primary entries
 - Breezeway or minimum roof covering of 5 (five) feet wide.
 - Sidewalks serving a single unit may be 3 (three) feet wide
 - Entry pads of 5' by 5' with minimum slope of ¼ " per foot
- i. Breezeways functioning as fire exits constructed of concrete
- j. Exterior shutters required on all 100% vinyl or cementitious sided buildings
- k. Exterior stairway, porch and patio components made of non-combustible materials
- l. Exterior lighting exists at all entry doors
- m. Landscaping
 - All disturbed areas are sodded
 - Six one-gallon shrubs per unit and one 1½ " tree for every 2 units
 - A development sign with Fair Housing logo
 - At least one enclosed dumpster
- n. Concrete
 - All above ground concrete shall be minimum 3500 PSI with 6x6 welded wire reinforcement.
- o. All new construction buildings must have radiant barrier in attics or on roofs

3. Interior Building and Space Standards

- a. Kitchen Spaces

- Each unit equipped with readily accessible dry chemical fire extinguisher
 - New cabinets have dual sidetrack drawers
 - A 1'6" x 1'6" deep with 5 shelf minimum pantry closet
 - All units shall include a kitchen area which at minimum is sink, stove and refrigerator
 - b. **Bathroom Spaces**
 - Tub/shower units are 30" width by 60" length minimum
 - Senior and Assisted Living – equipped with anti-scald valves
 - Water closets centered 18" from sidewalls/vanities
 - c. Hallways have minimum of 36" width
 - d. Interior doors intended for passage have minimum clear opening with of 34"
 - e. Overhead lighting in each room
 - f. A tamper-proof 10-year smoke alarm per floor of unit
 - g. A carbon monoxide detector in each unit that utilizes gas
4. **Plumbing and Mechanical Equipment**
- a. Not located in attic spaces
 - b. Located in mechanical closets with insulated walls
 - c. Gas HWHs located in individual, separate mechanical closet
 - d. HWHs placed in drain pans that are plumbed to outside
 - e. HVAC refrigeration lines are insulated
5. **Energy Efficient Systems, Insulation and Equipment**
- a. Ceiling fans installed in each bedroom and living room
 - b. Shower heads flow rate \leq 2.5 gallon per minute
 - c. Hot water pipes wrapped with $\frac{1}{2}$ " insulation
 - d. Water piping in attic or exterior walls is insulated
 - e. Light-emitting diode (LED) light fixtures in kitchen, bathrooms and utility
 - f. Exterior wall insulation with minimum R-16 rating
 - g. Roof or attic insulation with minimum R-38 rating
 - h. Exterior house wrap (e.g. TYVEK) installed
 - i. Sound proofing with \geq STC 54 rating in common/party walls and ceilings
 - j. Gas or oil heated systems AFUE rating \geq 90% with a minimum 14.5 SEER rated air conditioning system
 - k. Heat pump systems HSPF rating \geq 7.8 with a minimum 14.5 SEER rated air conditioning system
 - l. **Windows with:**
 - (i) frames and sashes constructed of wood, vinyl-clad wood, or extruded vinyl;
 - (ii) 2 or more panes of argon gas filled insulated glass, at least one pane with Low-Emission (Low-E) coating;
 - (iii) U-Factor of not greater than 0.35; and
 - (iv) solar heat gain coefficient (SHGC) of not greater than 0.30
6. **Universal Design**
- a. 7% of all residential units comply with the Level 5, "All-Inclusive" usability criteria in "Arkansas Usability Standards in Housing: Guidance Manual for Constructing Inclusive *Functional Dwellings*" (AUSH)
 - b. All Level 5, "All-Inclusive" units under AUSH has at least one bathroom with an "accessible roll-in" shower facility with minimum dimensions of 60" x 34" or 42" x 42" if a corner shower
 - c. All ground level residential units and residential units with elevator access comply with Level 1, "Visitable" usability criteria under AUSH
 - d. ALL residential units have "closed-fist" operability throughout the unit, e.g.,
 - (i) single handle door levers vs. doorknobs;
 - (ii) push stick lighting and environmental controls;
 - (iii) cabinet doors can be opened with a closed fist;
 - (iv) single handle faucets in bathroom and kitchen
 - e. ALL residential units have environmental controls with visual and tactile cues. For lighting, a "rocker" type switch. For thermostats, programmable and digital with raised buttons is required.

List owner provided amenities and advanced energy efficiency features.

Amenity Description

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.
- 8.
- 9.
- 10.
- 11.
- 12.
- 13.
- 14.

Advanced Energy Feature Description

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.
- 8.
- 9.
- 10.
- 11.
- 12.
- 13.
- 14.

Complete Certification of Applicant, Architect and General Contractor on the following pages

Applicant's Certification

I, _____, in my capacity as Applicant for the proposed development known as _____ state that I have reviewed the above Attachment G, "Multifamily Housing Minimum Design Standards Checklist", and certify as to the accuracy of its contents, and further certify that the cost estimates provided in the application encompass the representations made herein and that the development will be constructed or rehabilitated in accordance with ADFA's Minimum Design Standards and the representations herein.

Name:
Title:

STATE OF _____)
COUNTY OF _____)

Before me, _____, a Notary Public of the state and county stated above, personally appeared _____, with whom I have personal knowledge, and who, upon oath, acknowledged that _____ executed the forgoing instrument for the uses, consideration and purposes stated therein.

Witness my hand and seal this _____ day of _____, 20____.

Notary Public

My commission expires:

Architect's Certification

I, _____, in my capacity as Architect for the proposed development known as _____ state that I have reviewed the above Attachment G, "Multifamily Housing Minimum Design Standards Checklist", and certify as to the accuracy of its contents, and further certify that the cost estimates provided in the application encompass the representations made herein and that the development will be constructed or rehabilitated in accordance with ADFA's Minimum Design Standards and the representations herein.

Name:
Title:

STATE OF _____)
COUNTY OF _____)

Before me, _____, a Notary Public of the state and county stated above, personally appeared _____, with whom I have personal knowledge, and who, upon oath, acknowledged that _____ executed the forgoing instrument for the uses, consideration and purposes stated therein.

Witness my hand and seal this _____ day of _____, 20_____.

Notary Public

My commission expires:

General Contractor's Certification

I, _____, in my capacity as General Contractor for the proposed development known as _____ state that I have reviewed the above Attachment G, "Multifamily Housing Minimum Design Standards Checklist", and certify that the cost estimates provided in the application encompass the representations herein, and further certify that the development will be constructed or rehabilitated in accordance with ADFA's Minimum Design Standards and the representations set forth herein.

Name:
Title:

STATE OF _____)
COUNTY OF _____)

Before me, _____, a Notary Public of the state and county stated above, personally appeared _____, with whom I have personal knowledge, and who, upon oath, acknowledged that _____ executed the forgoing instrument for the uses, consideration and purposes stated therein.

Witness my hand and seal this _____ day of _____, 20_____.

Notary Public

My commission expires:

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**ADFA's SCORING MATRIX FOR
NATIONAL HOUSING TRUST FUND**

| | Maximum Points Available |
|--|---|
| 1) Applicant Capacity (Show successful history completing similar activities) | 10 |
| 2) Ability to obligate funds and undertake eligible activities in a timely manner (Based on sufficient staffing, resources, and history of meeting project deadlines) | 10 |
| 3) Limitation on Beneficiaries or Preferences - (Projects serving ELI Veterans with special needs, homeless households, individuals leaving correctional institutions, and those with mental health issues. Projects affordable to households with income within ELI limits. Demonstrate with quantifiable data, specific to service area.) | 10 |
| 4) Ability to Meet Needs of Beneficiaries (proximity to Veteran medical facilities, support services, Public transportation, grocery stores, and metropolitan centers giving preferential consideration to jobs for Veterans) | 10 |
| 5) Leveraging of Non-Federal Resources (Extent to which applicant makes use of non-federal funding sources. Use of funds from state, local publicly-controlled funds, private funds or in-kind commitments and/or land donated by state or local government to achieve deep affordability for ELI households.) | 10 |
| 6) Unit Distribution on Project (ELI units must be mixed, not grouped, throughout the project) | 3 |
| 7) Level of energy efficiency or advanced energy features (the extent to which activity adheres to energy efficiency and other environmental and sustainability standards) | 5 |
| 8) Reduction of developer fee / administrative costs (extent of activity budget spent for administrative costs) | 3 |
| 9) Feasibility of Project (Economic viability of project, development's sources of funds equals the development's uses of funds, developer fee deferral can be paid within the time frame allowed by IRS, the reasonableness of total development costs, inclusive of | |

| | |
|---|-------------------|
| ADFA pre-determined cost caps, repayment terms including interest rates, total debt and loan terms for all proposed debt in connection with the proposed development.) | 4 |
| 10) Location of development / site visit (consideration of soil type, topography, proximity to nuisances and environmental issues) | 5 |
| 11) Rental Assistance – A funding priority (10 points) will be given to applicants with projects that have secured Federal or State or local project based (PBRA) and/or voucher(s) for rental assistance so that rents are affordable to ELI Veterans. | 10 |
| 12) Evidence of market need for proposed affordable housing (points will be awarded based on capture rate(s) included in the market study or needs assessment) | 10 |
| 13) Duration of Affordability Period (Extent to which a project exceeds the 30 year minimum determines its duration points, to a maximum of 10 points for projects that commit to affordability in perpetuity & strength of enforcement mechanisms) | <u>10</u> |
| TOTAL POINTS POSSIBLE | <u>100</u> |

POINTS LOST

Points will be deducted from applications that contain sites with the following negative neighborhood characteristics:

Two (2) points each will be deducted if any of the following incompatible uses are adjacent to the site. Adjacent is defined as nearby, but not necessarily touching. The following list is not all inclusive.

| | |
|--|----------------------|
| Junk yard or dump | Pig or chicken farm |
| Salvage yard | Processing plants |
| Wastewater Treatment facility | Industrial |
| Distribution facilities | Airports |
| Electrical utility substations | Prison or Jail |
| Adult video/theater/live entertainment | Solid waste disposal |

One (1) point each will be deducted if any of the following incompatible uses are within .5 miles of the site. The list is not all inclusive:

| | |
|-------------------------------|----------------------|
| Junk yard or dump | Pig or chicken farm |
| Salvage yard | Processing plants |
| Wastewater Treatment facility | Airports |
| Prison or Jail | Solid waste disposal |

Points will not be deducted for a prison, jail or detention facility if it is co-located with a law enforcement.

ADFA's selection of Eligible Recipients will be based upon those applicants achieving the highest scores, and awarded in that order, until funds are depleted. The reviewing underwriter will score each application, using the Scoring Matrix.

Tie Breaker: In the event of a tie between two or more applications, the projects will be ranked in the following order, "a" through "d", to determine which applicant will receive priority. Beginning with "a" and working through each the following tiebreakers in order of "a, b, c, and d" until the tie is broken. If the tie is broken at step "b", there is no need to proceed further to "c" or "d" .

- a) Applications received for any project within the State of Arkansas will be underwritten and scored. In the event of a tie, the award will go first to the development in one of the two major metropolitan statistical area with the least awardees. The two major metropolitan statistical areas in Arkansas are: Northwestern Arkansas area (Fayetteville, Springdale, Bentonville and Rogers); and Central Arkansas area (Little Rock, North Little Rock, Conway, Benton, and Bryant.)
- b) The application with the greatest amount of additional subsidy per unit;
- c) The application with a proposed project which is closest to the nearest Veterans Administration Facility;
- d) The application with the fewest missing documents and/incomplete forms as determined by ADFA during the completeness review.

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Appendix E

Consolidated Plan Public Hearing Minutes Proof of Publication 30-Day Comment Period

Consolidated Plan

Public Hearing – April 11, 2019

- Minutes
- Proof of Publication

30-Day Public Comment Period

Public Comments Received – March 24 - April 23, 2019

- No written comments were received

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Minutes
Annual Update to the Five-Year Consolidated Plan Public Hearing
Arkansas State Library, Little Rock, Arkansas
April 11, 2019

A Public Hearing was held April 11th, at the Arkansas State Library - Bessie Moore Room, in Little Rock, with 20 people in attendance. The purpose of the hearing was to provide information and obtain public comments regarding the allocation of funds from the United States Department of Housing and Urban Development (HUD) for the 2019 program year as part of the Annual Update to the Five-Year Consolidated Plan.

Arkansas Economic Development Commission Grants Division Director Jean Noble called the hearing to order at 10:35, welcomed participants and expressed appreciation for their attendance. Ms. Noble explained the purpose of the meeting and the state's proposed allocation of approximately \$26 million in funds for the Community Development Block Grant (CDBG), HOME Investment Partnerships Program (HOME), National Housing Trust Fund (NHTF), Emergency Solutions Grants (ESG), and Housing Opportunities for Persons with AIDS (HOPWA), for the next program year beginning July 1, 2019. The funds are administered by the Arkansas Economic Development Commission (AEDC), Arkansas Development Finance Authority (ADFA), Arkansas Department of Human Services (DHS), and Arkansas Department of Health (ADH), respectively.

Jean Noble, AEDC, gave an overview of the CDBG program. CDBG grants are targeted to cities and counties in Arkansas with a population of under 50,000 with a low- to moderate-income (LMI) of at least 51 percent. All cities and counties are eligible with the exception of the 13 entitlement cities that receive their own allocation from HUD. CDBG funds are divided into specific set-asides, which include Economic Development, Water/Wastewater, Rural Services, General Assistance, and Administration and Technical Assistance. Projects funded in the past include, but are not limited to, economic development; water/wastewater projects; rural fire protection; senior citizen, child care, and community centers; and other general public facility and infrastructure projects. Ms. Noble stated the allocation amounts for the 2019 program year had not been released but were expected to remain about the same as 2018.

Bob Hunt, ADFA, gave an overview of the HOME program which provides formula grants communities use – often in partnership with local nonprofit groups - to fund a wide range of activities including building, buying, and/or rehabilitating affordable housing for rent or homeownership or providing direct rental assistance to low-income people. The block grant was designed exclusively to create affordable housing for low-income households. Eligible applicants include cities, counties, nonprofits, and for profit organizations. Eligible activities funded through HOME include, Community Housing Development Organization (CHDO), tenant-based rental assistance (TBRA), purchase assistance, rental housing, rehabilitation, and rental rehabilitation. Mr. Hunt stated the allocations for the 2019 program year had not been released but were expected to remain about the same as 2018.

Virginia Wright, ADFA, gave an overview of the NHTF program. The purpose of NHTF is to provide for decent, safe, and sanitary rental housing for Extremely Low-Income (ELI) Arkansas

Veterans. ELI is defined as households with incomes of 30% of the area median income (AMI) or below the poverty line (whichever is greater). Preference will be given to ELI veterans with special needs, homeless or may become homeless, with mental health issues, and/or leaving correctional facilities. ADFA was awarded \$3,000,000 for 2016, 2017 and 2018 each, for a total of \$9,000,000. ADFA is in the process of applying for an additional \$3,000,000 for program year 2019.

Lori Williams, DHS, Office of Community Services, presented the ESG program. The program is designed to help improve the quality of existing emergency shelters for the homeless, to make available additional shelters, to meet the cost of operating shelters, and provide essential social services to homeless individuals, and to help prevent homelessness. ESG funds are distributed statewide to nonprofit organizations that develop and operate homeless shelters and provide supportive services to homeless persons. Eligible activities include: Street Outreach, Emergency Shelter, Homelessness Prevention, Rapid Re-Housing, and Homeless Management Information Systems. Ms. Williams stated the allocation for the 2019 program year had not been released but was expected to remain about the same as 2018.

Sarah Purdiman, ADH, presented the HOPWA program. The program provides housing assistance that works in partnership with community non-profit organizations, called project sponsors, in managing funds for HIV/AIDS programs. Funds may be used for direct housing and utility subsidy, as well as complementary support services. Core activities include: Tenant-Based Rental Assistance; Short-Term Rent, Mortgage, and Utility (STRMU) assistance; Permanent Housing Placement (PHP); and Service Coordination/Support Services. The state provides services in 74 of Arkansas's 75 counties. Crittenden County is covered as part of the Memphis Eligible Metropolitan Statistical Area. The Northeast Arkansas Regional AIDS Network (NARAN) and the City of Pine Bluff Housing Authority (PBHA) are the current contracted project sponsors. Ms. Purdiman stated the allocation for the 2019 program year had not been released but was expected to be about the same as 2018.

Jean Noble opened the hearing up for comments. Two questions were asked concerning CDBG and NHTF programs. One public comment was made concerning the Annual Action Plan.

Stephen Lagasse, Community Programs Director, United States Department of Agriculture - Rural Development (USDA RD), wanted to express his support for the Water/Wastewater, General Assistance, and Rural Services line items of the CDBG program. USDA RD with the help of AEDC and CDBG grants, have been able to co-fund many projects through the years. There are still many unmet needs in water/wastewater public infrastructure projects.

With no further comments, Jean Noble thanked everyone for attending the public hearing and reminded them if they had additional questions or comments concerning any of the programs

Minutes
Annual Update to the Five-Year Consolidated Plan Public Hearing
April 11, 2019
Page 3

presented they could contact the agency representatives at any time. A draft copy of the 2019 Annual Update has been posted to the AEDC website at ArkansasEDC.com/Grants. The public comment period ends April 23rd, at 4:30 p.m. Any written comments received during the 30-day public comment period would be considered and incorporated into the 2019 Annual Action Plan.

Jean recognized Jimmy Harris from Senator John Boozman's office and thanked him for attending.

With no further questions or comments the hearing was adjourned at 11:30 a.m.

Arkansas Democrat Gazette

STATEMENT OF LEGAL ADVERTISING

AR ECONOMIC DEVELOPMENT COMMISS
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LITTLE ROCK AR 72201

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ATTN: Dottie Boyles
DATE : 03/24/19 INVOICE #: 3206269
ACCT #: 16014001 P.O. #:

BILLING QUESTIONS CALL 378-3873

STATE OF ARKANSAS,)
COUNTY OF PULASKI,) ss.

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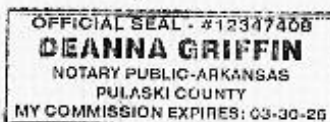
I, Charles A McNeice Jr, do solemnly swear that I am the Accounting Manager of the Arkansas Democrat-Gazette, a daily newspaper printed and published in said County, State of Arkansas; that I was so related to this publication at and during the publication of the annexed legal advertisement the matter of:

meeting
pending in the Court, in said County, and at the dates of the several publications of said advertisement stated below, and that during said periods and at said dates, said newspaper was printed and had a bona fide circulation in said County; that said newspaper had been regularly printed and published in said County, and had a bona fide circulation therein for the period of one month before the date of the first publication of said advertisement; and that said advertisement was published in the regular daily issues of said newspaper as stated below.

| DATE | DAY | LINAGE | RATE | DATE | DAY | LINAGE | RATE |
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| 03/24 | Sun | 123 | 1.57 | | | | |

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Charles A McNeice Jr
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day of March 20 19
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Arkansas Democrat Gazette

STATEMENT OF LEGAL ADVERTISING
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NOTICE OF PUBLIC HEARING AND PUBLIC COMMENT PERIOD ON THE 2019 ACTION PLAN FOR THE STATE OF ARKANSAS, ADMINISTRATIVE DEVELOPMENT, BUDGET GRANT, THE HOME INVESTMENT PARTNERSHIP, THE EMERGENCY SOLUTIONS GRANT, AND THE HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS PROGRAM.

The State of Arkansas will receive funding from the U.S. Department of Housing and Urban Development (HUD) during the FY19 program year. The amount includes \$1,714,612 for the Community Development Block Grant (CDBG), \$10,237,827 for the HOME Investment Partnerships (HOME), \$2,211,846 for the Emergency Solutions Grant (ESG), \$721,556 for the Housing Opportunities for Persons with AIDS (HOPWA) and \$3,021,000 for the Federal Housing Trust Fund (HTF) programs to be administered by the Arkansas Economic Development Commission, Arkansas Development Finance Authority, Arkansas Department of Human Services, and Arkansas Department of Health, respectively. For ESG, the Arkansas Department of Human Services will reallocate any available funding from prior years beginning with FY 2015.

The state's long range budget, currently, and economic development goals are articulated in the current five-year Consolidated Plan, which covers the period from July 1, 2015 through June 30, 2019. Each year the state updates an Annual Action Plan (AAP) to the Consolidated Plan. The 2019 AAP forecasts how the state will use its HUD grant funds during the upcoming program year.

The state has completed a draft of the 2019 AAP and hereby presents the draft for public review and comment. It may be viewed at www.arkansas.gov.

The comment period will extend for 30 days beginning March 24, 2019 and ending April 23, 2019 at 4:30 p.m. Public comments will be considered in the final draft of the AAP, which will be submitted to HUD on May 14, 2019. To be considered in the final draft, written comments must be received during the 30-day public comment period and may be submitted to Arkansas Economic Development Commission, Attention: Grants Division, 910 W. Capitol Ave., Suite 400, Little Rock, AR 72201, or by email to publiccomment@aedc.com. The deadline to submit comments is 4:30 p.m., April 23, 2019.

The Arkansas Consolidated Plan Committee will have one public hearing to accept oral comments on the AAP at the following location: Thursday, April 11, 2019; Time: 10:30 a.m. to 12:30 p.m.; Arkansas State Library, Deane West Conference Room, 3rd Floor, 900 West Capitol Avenue, Little Rock, 72201.

The hearing location's wheelchair accessible. Persons needing information or other accommodations are requested to telephone the Arkansas Economic Development Commission at 521-2852 in order for arrangements to be made if necessary.

74881067

Appendix F

Coronavirus Aid, Relief, and Economic Security Act (CARES Act)

Substantial Amendment to the 2019 Annual Action Plan

- ESG-CV - Rounds 1 & 2
- HOPWA-CV
- CDBG-CV - Rounds 1, 2 & 3

Proof of Publications

- ESG-CV – Round 1, and HOPWA-CV
- ESG-CV – Round 2
- CDBG-CV – Rounds 1, 2, & 3

CDBG-CV Public Hearing – October 14, 2020

- Minutes and Comments

Emergency Solutions Grant Program

Amendment to The State of Arkansas 2015-2019 Consolidated Plan

This document is a substantial amendment to the State of Arkansas 2015-2019 Consolidated Plan and Annual Action Plan in accordance with the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), Public Law 116-136.

The Arkansas Department of Human Services was initially awarded \$7,808,010 in Emergency Solutions Grant-COVID funding. A second allocation has been awarded in the amount of \$15,978,848. This funding will be used to prevent, prepare for, and respond to the coronavirus pandemic (COVID-19) among individuals and families who are homeless or receiving homeless assistance, and to support additional homeless assistance and homelessness prevention activities to mitigate the impacts of COVID-19. This includes covering and reimbursing COVID-related allowable costs incurred by an approved agency with the approved effective date as established by the Department of Housing and Urban Development.

A Request for Application will be made available for agencies to apply for the funding.

AP-15 – Expected Resources

Emergency Solutions Grant (ESG) COVID-19 Funding - \$7,808,010

Emergency Solutions Grant (ESG) COVID-19 Funding - \$15,978,848

The components of the ESG COVID-19 funding are Emergency Shelter, Homelessness Prevention, Rapid Re-Housing, Street Outreach, Administration and HMIS (Homeless Management Information System).

AP-20 – Annual Goals and Objectives

The distribution of the funding for each component is based on the results of the needs assessment completed by various agencies who plan to apply for the ESG funding. This is an estimate and subject to change based on public input. The goal is to reduce the number of homeless individuals by providing assistance to prevent, prepare for, and respond to the coronavirus pandemic among individuals who are homeless or receiving homeless assistance, and to support additional homeless assistance and homelessness prevention activities to mitigate the impacts of COVID-19.

AP 30 – Method of Distribution

Below is an estimation of how the funds will be allocated.

| ESG | Admin (10%) | Rapid Rehousing (23%) | Homeless Prevention (17%) | Shelter (45%) | Street Outreach (5%) |
|------------------|----------------|-----------------------------|---------------------------------|------------------|----------------------------|
| 7,808,010 | 780,801 | 1,795,842.30 | 1,327,361.70 | 3,513,604.50 | 390,400.50 |

| ESG | Admin (10%) | Rapid Rehousing (27%) | Homeless Prevention (19%) | Shelter (39%) | Street Outreach (5%) |
|-------------------|----------------|-----------------------------|---------------------------------|------------------|----------------------------|
| 15,978,848 | 1,597,884.80 | 4,314,288.96 | 3,035,981.12 | 6,231,750.72 | 798,942.40 |

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**Arkansas Department of Health as HOPWA
Grantee
Substantial Amendment
To The
Arkansas State Consolidated Plan
Annual Action Plan-2019**

Arkansas State Department of Health
(State of Arkansas HOPWA Grant Administrator)
Substantial Amendment
To The
Arkansas State Consolidated Plan
Annual Action Plan-2019

Summary:

The Arkansas Department of Health (ADH) as administrator for the state's Housing Opportunities for Persons with AIDS (HOPWA) grant would like to utilize the supplemental allocation in the amount of \$133,620 provided under the Coronavirus Aid, Relief, and Economic Security Act ("CARES Act") (Pub. L. No. 116-136), which was signed into law on March 27, 2020. Based on expenditure trends over the past 12 months, Arkansas Department of Health projects that it will expend about 30% of CARES Act HOPWA allocation (\$40,086) over the remaining 60-day life of FY 2019 to expand operations across the following activities:

- Grant Administration
- Direct Housing services (TBRA, STRMU, PHP)
- Supportive Services (inclusive of any necessary, eligible and allowable actions to prevent, prepare for, and respond to homelessness or the risk of becoming homeless attributable to COVID-19).

These funds will be used throughout the State of Arkansas across maintain and or expand the range of activities targeted towards supporting HOPWA-eligible clients their families as allowed, to ensure COVID-19 does not limit or inhibit access to adequate housing, connectivity to utilities and supportive services. This requires a Substantial Amendment to the State of Arkansas Consolidated Plan and Annual Action Plan for FY 2019. This document constitutes the formal Substantial amendment to HUD for modification of activities and priorities as outlined in the 2019 State Annual Action Plan for HOPWA.

AP-15

Estimated Resources:

The estimated resources available in this Substantial Amendment:

- ⬆ \$815,150.00: previously approved for HOPWA FY 2019 under HUD Grant ARH19F999
- ⬆ \$133,620.00: supplemental funds for state of Arkansas HOPWA under the CARES Act (Pub. L. No. 116-136).

Total allocation for state of Arkansas HOPWA for FY 2019: \$948,770.00

Strategies:

These funds will be utilized by the Housing Opportunities for Persons with AIDS (HOPWA) for meeting the housing needs of participants in this program. The focus will be on providing direct housing assistance and eligible, allowable support services for Program-approved clients.

Outcomes:

- Reduced risks of homelessness
- Increased access to healthcare
- Expanded access to wraparound supportive services, and
- Maintained better quality of life.

All standards and priorities for expenditures of these funds will correspond to those outlined in the State of Arkansas Consolidated Plan and Annual Action Plan for 2019 in addition to provisions under the Coronavirus Aid, Relief, and Economic Security Act (CARES Act).

Citizen Participation:

The Citizen Participation Plan was revised to include the CARES Act supplemental allocation for HOPWA, CDBG and ESG. Revision was based upon HUD waivers in order to expedite actions to respond to COVID-19. The minimum 30-day comment period, reasonable notice and opportunity to comment was waived per HUD for substantial amendment provided that no less than 5 days are allowed for public comment on each substantial amendment. All notices from public input received during the expedited public comment period are included in this packet.

HOPWA:

Funding available through this Substantial Amendment made available under the CARES Act will be allocated to ADH HOPWA project sponsor (NARAN) to assist with housing needs, utilities, supportive services and other allowable activities that have been provisioned under the CARES Act statewide as follows:

- Assisting HOPWA eligible households in accessing essential services and supplies such as food, water, medications, medical care, and information;
- Educating assisted households on ways to reduce the risk of getting sick or spreading infectious diseases such as COVID-19 to others;
- Providing transportation services for eligible households, including costs for privately-owned vehicle transportation when needed, to access medical care, supplies, and food or to commute to places of employment;
- Providing nutrition services for eligible households in the form of food banks, groceries, and meal deliveries;

- Providing lodging at hotels, motels, or other locations for quarantined HOPWA-eligible persons or their household members;
- Providing gap-closing rent payments to landlords or property managers where clients are unable to meet the obligation to pay their portion of rent under Tenant-Based Rental Assistance (TBRA).
- Providing short-term rent, mortgage, and utility (STRMU) assistance payments to prevent homelessness of a tenant or mortgagor of a dwelling for a period of up to 24 months.

Provision of services as outlined above will help in assisting individuals and families prevent, avoid or reduce the spread of COVID-19.

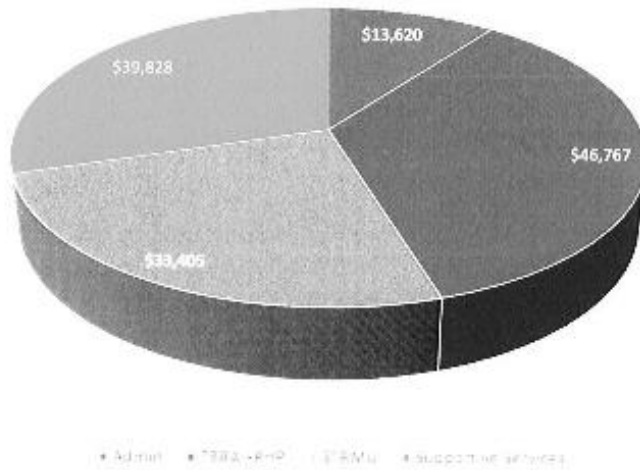
Allocation:

Due to the current coronavirus pandemic and the formulation of the CARES ACT for this purpose, funds will likely be spent rather quickly due to the nature of COVID-19. Funds will be allocated as needed to ensure safety and well-being of all HOPWA eligible participants, up to and including assisting those who have been or may be laid off or unable to work during this time. ADH projects that 100% of CARES Act funds for HOPWA will be expended by or before the HUD deadline of September 30, 2021.

**Arkansas Department of Health
Plan for Distribution of Supplemental CARES Act Funds Only**

| | | |
|---------------------|-----|----------|
| Administration | 10% | \$13,620 |
| TBRA + PHP | 35% | \$46,767 |
| Supportive Services | 30% | \$39,828 |
| STRMU | 25% | \$33,405 |

Proposed Plan for Distribution of Supplemental CARES Act funds for HOPWA



Substantial Amendment to 2015-2019 Five-Year Consolidated Plan and 2019 Annual Action Plan for CDBG-CV

The Coronavirus Aid, Relief and Economic Security Act (CARES Act) (Public Law 116-136) makes available supplemental Community Development Block Grant (CDBG), Emergency Shelter Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA) funding for grants to prevent, prepare for, and respond to the coronavirus pandemic. Additionally, the CARES Act provides grantees with flexibilities that make it easier to use CARES Act and fiscal years 2019 and 2020 funds for coronavirus response and authorizes HUD to grant waivers and alternative requirements.

The U.S. Department of Housing and Urban Development (HUD) has announced the allocations of \$5 billion in the CARES Act for the CDBG program.

The State of Arkansas anticipates receiving \$26,387,954 in "Rounds 1, 2 and 3" grant funds from HUD to be amended into the 2019 Annual Plan.

The Arkansas Economic Development Commission has prepared an outline of the planned substantial amendment to the 2019 Annual Action Plan and has presented the draft for public review and comment. It may be viewed online at www.arkansasedc.com/grants. Also available at this site is the Citizen Participation Plan, which has been updated to include approved waiver flexibilities including waiving 30-day public comment at 24 CFR 91.115(c)(2) and (i) for a no less than 5-day public comment period, as well as waiving requirements at 24 CFR 91.115, which allows the state to determine what constitutes reasonable notice and opportunity to comment given their circumstances.

Per approved waiver, the comment period will extend for five (5) days beginning October 12, 2020 and ending October 16th, 2020, at 4:30 p.m. Public comments will be considered in the final draft of the Substantial Amendment of the AAP, which is planned to be submitted to HUD by October 23, 2020. To be considered in the final plan, oral or written comments must be received during the five-day public comment period and may be addressed to: Arkansas Economic Development Commission, Attn: Grants Division, 1 Commerce Way, Suite 601, Little Rock, AR 72202, or by e-mail to JNoble@ArkansasEDC.com. The deadline to submit comments is 4:30 p.m., October 16, 2020.

The Arkansas Consolidated Plan Committee will have one virtual public hearing on the Substantial Amendment and update to the citizen participation plan. Comments will be updated to the plan once made and available.

AP-15 – Expected Resources

CDBG-CV1: \$10,818,424

CDBG-CV2: \$8,210,252

CDBG-CV3: \$7,363,278

Total CDBG-CV Allocation: \$26,387,954

2019 Substantial Amendment CDBG-CV, Version 1, October 14, 2020, Page 1

AP 30 – Method of Distribution

| | | |
|--|-----|----------------------|
| Non-Entitlement Local Government Set-Aside: Public Services and Public Facilities | 46% | \$ 12,218,424 |
| Food Assistance | 18% | \$4,680,000 |
| Rental Assistance | 22% | \$5,760,000 |
| Economic Development-Microenterprise | 9% | \$2,413,576 |
| State Administration 5% | 5% | \$1,319,954 |
| Total CDBG-CV Allocation | | \$ 26,387,954 |



CDBG-CV: Public Services and Public Facilities

An amount equal to the CDBG-CV1 Allocation (\$10,818,424) along with a dedicated homeless shelter set-aside of \$1,400,000 will be made available to and set-aside for non-entitlement units of general local government through a competitive application process, for a variety of public services and public facility activities determined by those non-entitlement governments to be unmet needs, which directly prevent, prepare for, and respond to the coronavirus pandemic. Usage of the first allocation of funds include availability of funding to units of local government (UGLGs) for public services (e.g., meals on wheels programs, employment training, assistance to food banks, health services, etc.), and public facilities, and direct assistance to microbusinesses.

Examples of Eligible Activities:

Buildings and Improvements, Including Public Facilities

- Acquisition, construction, reconstruction, or installation of public works, facilities, and site or other improvements;
 - Construct a testing and diagnosis, or treatment facility;
 - Rehabilitate a community facility to establish an infectious disease treatment clinic;

2019 Substantial Amendment CDBG-CV, Version 1, October 14, 2020, Page 2

- Acquire and rehabilitate, or construct, a group living facility that may be used to centralize patients undergoing treatment.
- Rehabilitation of buildings and improvements (including interim assistance)
 - Rehabilitate a commercial building or closed school building to establish an infectious disease treatment clinic, e.g., by replacing the HVAC system.
 - Acquire, and quickly rehabilitate (if necessary) a motel or hotel building to expand capacity of hospitals to accommodate isolation of patients during recovery.
 - Make interim improvements to private properties to enable an individual patient to remain quarantined on a temporary basis.

Provision of new or quantifiably increased public services.

- Carry out job training to expand the pool of health care workers and technicians that are available to treat disease within a community.
- Provide testing, diagnosis or other services at a fixed or mobile location.
- Increase the capacity and availability of targeted health services for infectious disease response within existing health facilities.
- Provide equipment, supplies, and materials necessary to carry-out a public service.
- Deliver meals on wheels to quarantined individuals or individuals that need to maintain social distancing due to medical vulnerabilities.

Other Uses/Examples:

- Provide personal protective equipment (PPE) to first responders.
- Broadband equipment or other technology necessary for in-person or remote learning or to help meet need of schoolchildren or other residents working or learning from home.
- Equip technology and community colleges to increase capacity for job retraining for those unemployed as a result of COVID-19.
- Rehabilitation or expansion of homeless shelters to increase the ability to quarantine possibly infected/infected individuals.
- Rehabilitation or expansion of a facility to establish a food bank location.
- Rehabilitation or construction of community facility space to provide access to needs and services related to the impacts of COVID-19.

CDBG-CV funding is reserved for use in preventing, preparing for and/or responding to the outbreak of coronavirus in Arkansas. As with all CDBG program dollars, funding must also primarily address the unique needs of low- and moderate-income persons (i.e., less than 80% area median income), and 70% of the funds must be used to meet this National Objective, benefit to LMI persons. Other projects may qualify under Urgent Need.

CDBG-CV: Food Assistance

CDBG-CV funds in the amount of \$4.68 million will be made available, through a partnership and Subaward Agreement with the Arkansas Hunger Relief Alliance (“the Alliance”), the only statewide anti-hunger organization in our state. The Alliance works with the six Feeding America food banks, hundreds of food pantries, school districts, senior centers, out of school programs, companies, and elected officials. The Alliance works in multiple ways: securing food for food banks, serving as lead partner for the No Kid Hungry campaign, assisting with SNAP outreach, teaching low-income families how to shop and cook healthy on a budget, and advocating for food policies to help those they serve.

2019 Substantial Amendment CDBG-CV, Version 1, October 14, 2020, Page 3

Given the extraordinary need due to the coronavirus pandemic, and based on what the Alliance is seeing with the distribution of CARES Act and other funds, and the amount of time over which these funds will be distributed, the Alliance suggests the following as the method of distribution of CDBG-CV funds, which will be more specifically detailed in the Subaward Agreement:

| | | |
|--|-----|---------------------|
| State Food Purchasing Program | 34% | \$1,600,000 |
| Agency and Food Bank Grants | 17% | \$811,300 |
| Arkansas Beef Project | 31% | \$1,460,000 |
| Out of School Programs | 13% | \$600,000 |
| Administration | 5% | \$208,700 |
| Total Food Assistance Set-Aside | | \$ 4,680,000 |

CDBG-CV: Rental Assistance

This proposal is designed to support Arkansas Community Action Agencies to provide short-term rent assistance to individuals and families in Arkansas who are experiencing housing instability, as well as landlords who are experiencing a loss of revenues due to the economic impact of the COVID-19 pandemic and the temporary halt by the CDC on residential evictions to help prevent further spread of the virus.

CDBG-CV funds in the amount of \$5.76 million in partnership through a Subaward Agreement to the Arkansas Community Action Agencies Association (ACAAA), to provide the payment of actual rent (up to FMRV) for up to 2.5 months. This set-aside is designed to support the provision of short-term rental assistance to individuals and families statewide who are experiencing housing instability, as well as landlords who are experiencing loss of revenues due to the economic impact of the COVID-19 pandemic and the temporary halt by the CDC on residential evictions to help prevent further spread of the virus. This proposal includes implementation of the Arkansas Fresh Start COVID-19 Housing Stabilization Program by the statewide network of Arkansas Community Action Agencies, with program and communications support, and training and technical assistance to be provided by the Arkansas Community Action Agencies Association.

It is also expected that the State will provide an additional \$4.24 million in Coronavirus Relief Funds (CRF) funding to the program, bringing the total expected program funding up to \$10 million.

Eligibility and Restrictions on funds will be more thoroughly detailed in Policies and Procedures, and the Subaward Agreement. The AEDC Grants Division will oversee payments to and documentation of eligible costs from the Arkansas Fresh Start COVID-19 Program.

Proposed Funding for Arkansas Fresh Start COVID-19 Housing Stabilization Program

| Purpose | Dollar Amount or Percentage |
|--|---|
| Direct Services to Households (via direct payments to Landlords) | \$5,190,000 |
| Community Action Agencies (for Administrative and Program Costs) | \$576,000 = 10% of agency allocation (Allocation amount based on existing |

| | |
|---|--|
| | Community Services Block Grant Allocation Formula) |
| Arkansas Community Action Agencies Association for Program Costs, Administration, Coordination, and Oversight | \$288,000 = 5% of CDBG-CV allocation |

CDBG-CV: Microenterprise Assistance

Up to \$2,413,576 will be made available in a set-aside for non-entitlement local governments to apply for activities to provide assistance to microenterprises (5 or fewer employees, including owner). This set-aside will be included in the above "CDBG-CV Public Services and Public Facilities," competitive round. Eligible activities include:

Assistance to Businesses, including Special Economic Development Assistance

- Provision of assistance to microenterprises.
 - Provide technical assistance, grants, loans, and other financial assistance to establish, stabilize, and expand microenterprises that provide medical, food delivery, cleaning, and other services to support home health and quarantine.
 - Provision of assistance to private, for-profit microenterprises, when appropriate to carry out an economic development project.
 - Avoid job loss caused by business closures related to social distancing by providing short-term working capital assistance to small businesses to enable retention of jobs held by low- and moderate-income persons.

Arkansas Democrat Gazette

STATEMENT OF LEGAL ADVERTISING

AR ECONOMIC DEVELOPMENT COMPLEX
1 COMMERCE WAY STE 601
LITTLE ROCK AR 72202

REMIT TO:
ARKANSAS DEMOCRAT-GAZETTE, INC.
P.O. BOX 2221
LITTLE ROCK, AR 72203

ATTN: Dottie Boyles
DATE : 06/07/20 INVOICE #: 3231962
ACCT #: L6014001 P.O. #:

BILLING QUESTIONS CALL 378-3873

STATE OF ARKANSAS, :
COUNTY OF PULASKI, : ss.

ADCOPY

I, Charles A McNeice Jr, do solemnly swear that I am the Business Manager of the Arkansas Democrat-Gazette, a daily newspaper printed and published in said County, State of Arkansas; that I was so related to this publication at and during the publication of the annexed legal advertisement the nature of:

Notice pending in the Court, in said County, and at the dates of the several publications of said advertisement stated below, and that during said periods and at said dates, said newspaper was printed and had a bona fide circulation in said County; that said newspaper had been regularly printed and published in said County, and had a bona fide circulation therein for the period of one month before the date of the first publication of said advertisement; and that said advertisement was published in the regular daily issues of said newspaper as stated below.

| DATE | DAY | LINEAGE RATE | DATE | DAY | LINEAGE RATE |
|-------|-----|--------------|------|-----|--------------|
| 06/07 | Sun | 102 | | | 1.57 |

TOTAL COST ----- 160.14
Billing Ad #: 79278159

Charles A McNeice Jr
Subscribe and sworn to me this 8
day of June 2020
Deanna Griffin
Notary Public

OFFICIAL SEAL - #12847408
DEANNA GRIFFIN
NOTARY PUBLIC-ARKANSAS
PULASKI COUNTY
MY COMMISSION EXPIRES: 03-30-26

Public Notice
 PUBLIC NOTICE ON A SUB-CRITICAL AGREEMENT TO THE 2019 ACTION PLAN FOR THE STATE OF MISSISSIPPI ADMINISTRATION OF THE EMERGENCY SUPPLY GRANT program
 The Department of Health and Family Services (HFS) and the Department of Transportation (DOT) are pleased to announce that the 2019 Action Plan for the State of Mississippi has been approved by the Governor and the Board of Supervisors. The plan includes a number of key initiatives that will help to improve the state's infrastructure, economy, and quality of life. The plan also includes a number of key initiatives that will help to improve the state's infrastructure, economy, and quality of life. The plan also includes a number of key initiatives that will help to improve the state's infrastructure, economy, and quality of life.

The State of Mississippi has been awarded an additional \$20 million grant under the 2019 Action Plan. The grant will be used to fund a number of key initiatives that will help to improve the state's infrastructure, economy, and quality of life. The grant will be used to fund a number of key initiatives that will help to improve the state's infrastructure, economy, and quality of life. The grant will be used to fund a number of key initiatives that will help to improve the state's infrastructure, economy, and quality of life.

The state agencies have prepared a number of key initiatives that will help to improve the state's infrastructure, economy, and quality of life. The plan includes a number of key initiatives that will help to improve the state's infrastructure, economy, and quality of life. The plan also includes a number of key initiatives that will help to improve the state's infrastructure, economy, and quality of life. The plan also includes a number of key initiatives that will help to improve the state's infrastructure, economy, and quality of life.

For more information, please call the DOT Office of Community Services at (601) 832-4714. If you need this material in a different format, such as large print, contact our Americans with Disabilities Act Coordinator at (501) 546-5400 (voice) or (501) 546-5400 (TDD).

The Arkansas Department of Human Services is committed to providing a wide range of services to our citizens. We are committed to providing a wide range of services to our citizens. We are committed to providing a wide range of services to our citizens. We are committed to providing a wide range of services to our citizens.

Walter Franklin
 Deputy Director
 Division of County Operations
 1500 80th St

Arkansas Democrat Gazette

STATEMENT OF LEGAL ADVERTISING

AR ECONOMIC DEVELOPMENT COMBIS
 1 COMMERCE 601 WAY
 LITTLE ROCK AR 72202

REMIT TO:
 ARKANSAS DEMOCRAT-GAZETTE, INC.
 P.O. BOX 2221
 LITTLE ROCK, AR 72205

ATTN: Dottie Boyles
 DATE : 10/11/20 INVOICE #: 2236631
 ACCT #: 16014031 P.O. #:

BILLING QUESTIONS CALL 378-3873

STATE OF ARKANSAS,)
 COUNTY OF PULASKI,) 93.

ADCOPY

I, Charles A McNeice Jr, do solemnly swear that I am the Business Manager of the Arkansas Democrat Gazette, a daily newspaper printed and published in said County, State of Arkansas; that I was so related to this publication at and during the publication of the annexed legal advertisement the matter of:

Hearing
 pending in the Court, in said County, and at the dates of the several publications of said advertisement stated below, and that during said periods and on said dates, said newspaper was printed and had a bona fide circulation in said County; that said newspaper had been regularly printed and published in said County, and had a bona fide circulation therein for the period of one month before the date of the first publication of said advertisement; and that said advertisement was published in the regular daily issues of said newspaper as stated below.

| DATE | DAY | LINAGE | RATE | DATE | DAY | LINAGE | RATE |
|-------|-----|--------|------|------|-----|--------|------|
| 10/11 | Sun | 135 | 1.97 | | | | |

TOTAL COST ----- 211.95
 Billing Ad #: 75332119

Charles A McNeice Jr
 Subscribe and sworn to me this 12
 day of Oct, 2020
Deanna Griffin
 Notary Public

OFFICIAL SEAL - #12347408
DEANNA GRIFFIN
 NOTARY PUBLIC-ARKANSAS
 PULASKI COUNTY
 MY COMMISSION EXPIRES: 03-30-25

RECEIVED

OCT 15 2020

AEDC BUDGET

Arkansas Democrat Gazette

STATEMENT OF LEGAL ADVERTISING
THIS PAGE USED FOR ADDITIONAL AD COPY SPACE AS NEEDED.
PAGE MAY BE BLANK

Legal Notice

NOTICE OF PUBLIC COMMENT PERIOD ON A SUBSTANTIAL AMENDMENT TO THE 2019 ACTION PLAN FOR THE STATE OF ARKANSAS, ADMINISTRATION OF THE COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM AND UPDATE TO THE PARTICIPATION PLAN

The Department of Health and Economic Security Act (CARES Act) Executive Order 118-188 established a supplemental Community Development Block Grant (CDBG) Emergency Shelter Grant (ESG) and Housing Opportunities for Persons with Disabilities (HOPWD) funding for grants to present, pending for the response to the contract in paragraph 1. Additionally, the CARES Act provides funding with the intent that grant funds be used for CARES Act and fiscal year 2019 and 2020 funds for coronavirus responses and activities. HUD to grant reviews and standards requirements.

The U.S. Department of Housing and Urban Development (HUD) has announced the availability of \$5 billion in the CARES Act for the CDBG program.

The State of Arkansas will receive funding of \$20,187,864 in "fiscal year 1, 2 and 3" grant funds from HUD to be awarded into the 2019 fiscal year.

The Arkansas Economic Development Corporation has prepared an outline of the proposed substantial amendment to the 2019 Arkansas Action Plan and hereby provides the draft for public review and comment. It may be viewed online at www.arkansas.gov/economic-development.

For a full copy of the plan is the Citizen Participation Plan, which has been updated to include approved new text and including existing 2019 the public comment at 24 CFR 81.115(a)(2) and 81(a)(2) less than 60-day public comment period, as well as the new requirements of 24 CFR 81.115, which allows the state to take the work and takes necessary notice and opportunity to comment upon their situation.

Check

For approved waiver the comment period will extend for two (2) days beginning October 12, 2020 and ending October 16th, 2020 at 4:30 p.m. Public comments will be processed in the final draft of the Substantial Amendment of the AAP which is planned to be submitted to HUD by October 23, 2020. To be considered in the final plan and of written comments must be received during the two-day public comment period and may be submitted to Arkansas Economic Development Corporation, Attention: Director, 1 Commerce Way, Suite 501 Little Rock, AR 72202 or by e-mail to PublicComments@aedc.com. The deadline to submit comments is 4:30 p.m., October 16, 2020.

The Arkansas Consolidated Procurement Committee will have a virtual public hearing on the Substantial Amendment and appeal to the public participation plan. It is required that you RSVP (please see name and organization) to Dottie Boyles at Dottie@arkansas.gov for the meeting telephonic number and call in access code.

Date: October 14, 2020 Location: Virtual Time: 10:00 a.m. - 11:30 a.m.

Persons need to be prepared to either answer questions or requested to sign the Arkansas Economic Development Corporation at 801-692-7662 as arrangements may be made to attend.

75322118

Coronavirus Aid, Relief, and Economic Security Act (CARES Act)

Virtual Public Hearing - CDBG-CV Funding – Rounds 1, 2 & 3 October 14, 2020 – 10:00 AM

A virtual Public Hearing was held October 14th, by way of Zoom. Fourteen (14) people were in attendance. The meeting started at 10:00 a.m.

Jean Noble, Grants Division Director for Arkansas Economic Development Commission (AEDC), presented the CDBG-CV CARES Act allocation for Rounds 1, 2 and 3. AEDC will administer multiple allocations of approximately \$26.4 million of an overall \$5 billion in federal Community Development Block Grant Coronavirus (CDBG-CV) funding to assist in community-level recovery, prevention, and preparation efforts relating to the coronavirus.

This funding was allocated to the State by the Department of Housing and Urban Development (HUD) as part of the Coronavirus Aid, Relief and Economic Security (CARES) Act (Public Law 116-136). The CARES Act created supplemental CDBG funding in 2020 and identified the CDBG-CV funds to be made available through the CDBG program.

CDBG-CV funding is reserved for use in preventing, preparing for and/or responding to the outbreak of coronavirus in Arkansas. As with all CDBG program dollars, funding must also primarily address the unique needs of low- and moderate-income (LMI) persons (i.e., less than 80% area median income), and 70% of the funds must be used to meet this National Objective, benefit to LMI persons. Other projects may qualify under Urgent Need.

COVID-19 CDBG allocations to Arkansas are as follows:

- CDBG-CV-1: \$10,818,424
- CDBG-CV-2: \$8,210,252
- CDBG-CV-3: \$7,363,278
- Total Allocated: \$26,387,954

Proposed need-based allocation of CDBG-CV funds:

| | | |
|---|--------------|-------|
| • Public Services & Public Facilities: | \$12,214,424 | (46%) |
| • Food Assistance: | \$4,680,000 | (18%) |
| • Rental Assistance: | \$5,760,000 | (22%) |
| • Micro-enterprise Economic Development | \$2,413,576 | (9%) |
| • Administration | \$1,319,954 | (5%) |

Round 1 allocation is limited to Units of General Local Government (UGLG, i.e., cities and counties) in Arkansas's non-entitlement areas are the sole eligible recipients of CDBG-CV funding for Round 1. Entitlement cities (larger cities such as Little Rock) received their own CDBG allocation in Round 1.

Rounds 2 and 3 are for state-wide needs.

Need-based allocations include:

- Public Services & Public Facilities

Minutes: CARES Act CDBG-CV Funding, Virtual Public Hearing

October 14, 2020

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- Permitted uses must be specifically targeted to prevent, prepare for, and respond to COVID-19. Examples: Improvement to health clinic facilities, homeless shelters, senior centers, food pantries, etc.; expenses for testing supplies, PPE, education, and/or broadband/wi-fi equipment. Applications will open late 2020, perhaps same deadline as General Assistance. Minimum Grant \$75,000, Maximum \$300,00.
- Food Assistance
 - Food banks, food delivery for seniors, child nutrition. Arkansas Economic Development Commission will award to a single partner, Hunger Alliance of Arkansas, to coordinate state-wide distribution of assistance. Funds will be awarded within next 60 days.
- Rental Assistance
 - Available to households whose income is no more than 80% of area median income. Benefit Amount: Up to 2.5x months of actual rent, up to the fair market rental value, paid directly to landlord. Funds will be awarded to 15 community action agencies and community development councils who will process applications and make disbursements; funds will be divided amongst agencies based on population. Consents will be secured from landlord to waive late fees and not pursue eviction. Funds awarded in next 60 days.
- Microenterprise Assistance
 - Targeted to businesses with five or fewer employees (including owner). Available to cities and counties which can be tailored to meet their local needs. Minimum grant \$75,000; Maximum \$300,000. Applications will open in early 2021.

Ms. Noble explained grants are subject to certain guidelines such as timeliness and readiness to proceed: 80% of funds must be spent within three (3) years of grant award with HUD, with an overall six-year time of performance. Some cross-cutting requirements have been streamlined depending on type of grant. Grants can go back to January 21, 2020 (first reported case) and must have a clear tie-back to COVID-19.

Federal disaster law prohibits the provision of federal assistance in excess of need. A duplication of benefit (DOB) is the receipt of funding from multiple sources for the same purpose. The Stafford Act) prohibits any person, business concern or other entity from receiving financial assistance from CDBG-CV funding with respect to any part of the loss resulting from a major disaster as to which he/she has already received financial assistance under any other program or from insurance or any other sources. It is an amount determined by the program that may result in the reduction of an award value or require repayment of grant funds disbursed.

Questions and/or Comments:

Catherine Cook, City Manager, Hope, stated many of the people seeking rental assistance did not have a formal written agreement and therefore could not receive funds. She asked what could be done to help.

Minutes: CARES Act CDBG-CV Funding, Virtual Public Hearing

October 14, 2020

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Jean Noble replied funds would be sent directly to landlords and not to tenants. Jean later followed up with Ms. Cook that a rental assistance applicant must provide a lease or rental agreement, if available, or copies of rent payment receipts, if no formal lease exists, except for post-eviction homeless rehousing cases.

Catherine Baker, NW Arkansas Economic Development District (NWAEDD), asked if Food Banks could use funds to pay for salaries so they can hire extra help in locations where so many employees are out or in quarantine due to COVID-19.

Ms. Noble explained only if it's a needed salary to carry out a public service.

Tina Cole, NWAEDD, asked if the funds could be used for Food Banks to hire extra people to help with the food distribution.

Ms. Noble replied if the cost of salaries were reasonable and if it's a needed cost, and the Food Bank was expanding its area of service, and the existing source of funds could not cover it. Revenue loss cannot be funded but expanding an existing service can.

With no further questions, and there being no formal, oral public comments, Ms. Noble thanked everyone for attending and the Public Hearing ended at 11:00 a.m.

From: [Jean Noble](#)
To: "Erma Williams"
Subject: RE: THE CARES ACT FUNDING.
Date: Wednesday, October 14, 2020 4:16:00 PM

Hi Mayor Williams,
Yes, PPE supplies will be eligible for the grant. We would like to fund at least \$75,000 grants, however, but we are still looking at this.
Do you have any other larger needs that you could add to this, or an estimate on how much you are planning to purchase?
We will make the applications for this eligible in the next 30 days.
Thank you for contacting me about this,
Jean

JEAN NOBLE, PCED
Director, Grants Management
[Arkansas Economic Development Commission](#)
o. 501.682.7389 | m. 501.681.3417



From: Erma Williams <hig-erm@sbcglobal.net>
Sent: Wednesday, October 14, 2020 3:59 PM
To: Jean Noble <JNoble@ArkansasEDC.com>
Subject: THE CARES ACT FUNDING.

Hello, My name is Erma Watson Williams, I am the Mayor of a small town in Lee County, Arkansas, I have read your notice in ref. to the funding for towns that had expenses for the COVID-19 LOSSES, MY/OUR problem is that we do/did not have money to do anything to help our employees/citizens buy masks or disinfectant nor gloves. My question is would it be possible to use some of the funds for AT NEED SUPPLIES.

THANKS,
ERMA WATSON WILLIAMS, RONDO, ARKANSAS

Grantee SF-424's and Certification(s)

OMB Number: 4946-0004
Expiration Date: 12/31/2019

| Application for Federal Assistance SF-424 | | | |
|---|-------|--|-------|
| * 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Change/Corrected Application | | * 2. Type of Application: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision | |
| | | * If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/> | |
| * 3. Date received: <input type="text"/> | | 4. Applicant Identifier: <input type="text"/> | |
| 5a. Federal Entry Identifier: <input type="text"/> | | 5b. Federal Award Identifier: 15-DC-05-0001 | |
| State Use Only: | | | |
| 6. Date Received by State: <input type="text"/> | | 7. State Application Identifier: 15-DC-05-0001 | |
| 8. APPLICANT INFORMATION: | | | |
| * a. Legal Name: Arkansas Economic Development Commission | | | |
| * b. Employer/Taxpayer Identification Number (EIN/TIN): 71-5042954 | | * c. Organizational DUNS: 947739610003 | |
| d. Address: | | | |
| * Street1: 363 West Capitol Avenue, Suite 100 | | | |
| Street2: | | | |
| * City: Little Rock | | | |
| County/Parish: Pulaski | | | |
| * State: AR - Arkansas | | | |
| Province: | | | |
| * Country: USA: UNITED STATES | | | |
| * Zip / Postal Code: 72201-1000 | | | |
| e. Organizational Unit: | | | |
| Department Name: AR Economic Development Comm. | | Division Name: Grants Management | |
| f. Name and contact information of person to be contacted on matters involving this application: | | | |
| Prefix: | Mr. | * First Name: | Jason |
| Middle Name: | | | |
| * Last Name: | Noble | | |
| Suffix: | | | |
| Title: Grants Division Director | | | |
| Organizational Affiliation: | | | |
| * Telephone Number: 501-482-1182 | | Fax Number: 501-582-7459 | |
| * Email: jnoble@ArkansasEAC.com | | | |

| Application for Federal Assistance SF-424 | |
|--|--|
| <p>* 9. Type of Applicant 1: Select Applicant Type:</p> <input type="text" value="At State Government"/> <p>Type of Applicant 2: Select Applicant Type:</p> <input type="text"/> <p>Type of Applicant 3: Select Applicant Type:</p> <input type="text"/> <p>* Other (specify):</p> <input type="text"/> | |
| <p>* 10. Name of Federal Agency:</p> <input type="text" value="U.S. Department of Housing and Urban Development"/> | |
| <p>11. Catalog of Federal Domestic Assistance Number:</p> <input type="text" value="14-228"/> <p>CFDA Title:</p> <input type="text" value="CDBG, HOME, KSA, HOME, HOME"/> | |
| <p>* 12. Funding Opportunity Number:</p> <input type="text" value=""/> <p>* Title:</p> <input type="text" value=""/> | |
| <p>13. Competition Identification Number:</p> <input type="text"/> <p>Title:</p> <input type="text" value="State of Arkansas Council Special Plan CDBG: 14-228 HOME: 14-241 HOME: 14-239 HOME: 14-275 B&C: 14-231"/> | |
| <p>14. Areas Affected by Project (Cities, Counties, States, etc.):</p> <input type="text"/> <div style="display: flex; justify-content: space-around;"> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachments"/> </div> | |
| <p>* 15. Descriptive Title of Applicant's Project:</p> <input type="text" value="State of Arkansas Council Special Plan CDBG: 14-228 HOME: 14-241 HOME: 14-239 HOME: 14-275 B&C: 14-231"/> | |
| <p>Attach supporting documents as specified in agency instructions.</p> <div style="display: flex; justify-content: space-around;"> <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/> </div> | |

Application for Federal Assistance SF-424

16. Congressional Districts Of:
 * a. Applicant: * b. Program/Project:

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:
 * a. Start Date: * b. End Date:

18. Estimated Funding (\$):


| | |
|---------------------|---------------|
| * a. Federal | 32,806,398.00 |
| * b. Applicant | |
| * c. State | |
| * d. Local | |
| * e. Other | 5,203,030.00 |
| * f. Program Income | 5,447,465.00 |
| * g. TOTAL | 31,253,892.00 |

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**
 a. This application was made available to the State under the Executive Order 12372 Process for review on
 b. Program is subject to E.O. 12372 but has not been selected by the State for review.
 c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**
 Yes No
 If "Yes", provide explanation and attach:

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)**
 ** I AGREE
 ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions

Authorized Representative:

Prefix: * First Name:
 Middle Name:
 * Last Name:
 Suffix:
 * Title:
 * Telephone Number: Fax Number:
 * Email:
 * Signature of Authorized Representative:  * Date Signed:

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| HUD-424-M Funding Matrix | | | | | | | | | |
|--|--------------|----------------|-----------------|---------------------|-------------|--------------------|-------------|----------------|--------------|
| The applicant must provide the funding matrix shown below, listing each program or program component for which HUD funding is being requested and submit this information with the application for federal financial assistance. | | | | | | | | | |
| Grant Program* | HUD Share | Matching Funds | Other HUD Funds | Other Federal Share | State Share | Local/Tribal Share | Other Funds | Program Income | Total |
| CDBG | \$17,833,442 | | | | | | | \$2,000,000 | \$19,833,442 |
| HOME | \$8,975,719 | | | | | | | \$7,447,466 | \$16,423,185 |
| ESG | \$2,162,085 | | | | | | \$300,000 | | \$2,462,085 |
| HOPEWA | \$815,150 | | | | | | | | \$815,150 |
| HTF | \$3,000,000 | | | | | | \$9,000,000 | | \$12,000,000 |
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| | | | | | | | | | |
| Grand Totals | 512,806,396 | | | | | | \$9,300,000 | \$9,447,466 | \$51,553,862 |

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STATE CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the State certifies that:

Affirmatively Further Fair Housing -- The State will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying --To the best of the State's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraphs 1 and 2 of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.


Authority of State -- The submission of the consolidated plan is authorized under State law and the State possesses the legal authority to carry out the programs under the consolidated plan for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the State's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.



Signature of Authorized Official



Date

Executive Director - Arkansas Economic Development Commission
Title

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Specific Community Development Block Grant Certifications

The State certifies that:

Citizen Participation -- It is following a detailed citizen participation plan that satisfies the requirements of 24 CFR §91.115 and each unit of general local government that receives assistance from the State is following a detailed citizen participation plan that satisfies the requirements of 24 CFR §570.486.

Consultation with Local Governments --

1. It has consulted with affected units of local government in the nonentitlement area of the State in determining the method of distribution of funding;
2. It engages in or will engage in planning for community development activities;
3. It provides or will provide technical assistance to units of local government in connection with community development programs; and
4. It will not refuse to distribute funds to any unit of general local government on the basis of the particular eligible activity selected by the unit of general local government to meet its community development needs, except that a State is not prevented from establishing priorities in distributing funding on the basis of the activities selected.

Local Needs Identification -- It will require each unit of general local government to be funded to identify its community development and housing needs, including the needs of low-income and moderate-income families, and the activities to be undertaken to meet these needs.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available.
2. **Overall Benefit.** In the aggregate, not less than 70 percent of the CDBG funds, including Section 108 guaranteed loans, received by the State during the following fiscal year(s) 2018-2020 [a period designated by the State of one, two, or three specific consecutive fiscal year(s)] will be used for activities that benefit persons of low and moderate income.

3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG Funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It will require units of general local government that receive CDBG funds to certify that they have adopted and are enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Compliance with Laws -- It will comply with applicable laws.



Signature of Authorized Official



Date

Executive Director - Arkansas Economic Development Commission
Title

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/29/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0548-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

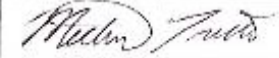
1. Has the legal authority to apply for Federal assistance, and the institutions, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance, and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4726-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-516), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Standard Form 424D (Rev. 7-97)
Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-648) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7326) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11736; (c) protection of wetlands pursuant to EO 11960; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties) and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

| | |
|---|--------------------|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL | TITLE |
|  | Executive Director |
| APPLICANT ORGANIZATION | DATE SUBMITTED |
| Arkansas Economic Development Commission | 3/20/19 |

SF-424D (Rev. 7-87) Back

Specific HOME Certifications

The State certifies that:

Tenant Based Rental Assistance -- If it plans to use HOME funds for tenant-based rental assistance, tenant-based rental assistance is an essential element of the State's consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §92.205 through §92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy Layering -- Before committing any funds to a project, the State or its recipients will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.


Signature of Authorized Official

5/1/19
Date

President
Title

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ASSURANCES - CONSTRUCTION PROGRAMS

HOME

OMB Number: 4340-0039
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4726-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1861-1863, and 1865-1866) which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

Previous Edition Usable

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Standard Form 424D (Rev. 7-97)
Prescribed by OMB Circular A-122

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 105 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11563 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§489a-1 et seq.)
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from: (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

| | |
|--|--------------------------|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL  | TITLE President |
| APPLICANT ORGANIZATION Arkansas Development Finance Authority | DATE SUBMITTED 5/1/19 |

SF-424D (Rev. 7-87) Back

NHTF

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

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NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

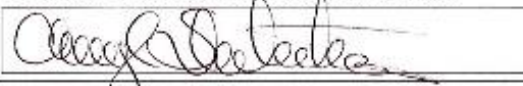
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance, and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4901 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 105 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, 'Audits of States, Local Governments, and Non-Profit Organizations.'
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 105(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

| | |
|---|----------------|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL | TITLE |
|  | President |
| APPLICANT ORGANIZATION | DATE SUBMITTED |
| Arkansas Development Finance Authority | 15/1/19 |

SF-4240 (Rev. 7-97) Back

Housing Opportunities for Persons With AIDS Certifications

The State HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under the program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years, in the case of any building or structure purchased, leased, rehabilitated, renovated, or converted with HOPWA assistance.
2. For a period of not less than 3 years, in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.



Signature of Authorized Official

5-13-19
Date



Title

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ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0008
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4001 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VII of the Civil Rights Act of 1968 (42 U.S.C. §§2601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Prescribed by DMH Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-346) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190); and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (15 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
15. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470); EO 11503 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (15 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

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| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL | TITLE |
|  | CFE |
| APPLICANT ORGANIZATION | DATE SUBMITTED |
| ARKANSAS DEPARTMENT OF HEALTH | 5-13-19 |

SF-424D (Rev. 7-97) Back

Emergency Solutions Grant Certifications

Each State that seeks funding under the Emergency Solutions Grants Program must provide the following certifications:

Matching Funds – The State will obtain any matching amounts required under 24 CFR 576.201 in a manner so that its subrecipients that are least capable of providing matching amounts receive the benefit of the exception under 24 CFR 576.201(a)(2).

Discharge Policy – The State will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

Confidentiality – The State will develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

The State will ensure that its subrecipients comply with the following criteria:

Major rehabilitation/conversion/renovation – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the building will be maintained as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the building will be maintained as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the building will be maintained as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – If ESG funds are used for shelter operations or essential services related to street outreach or emergency shelter, the subrecipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the applicant serves the same type of persons (e.g., families with children, unaccompanied youth, veterans, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The subrecipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for such individuals.

Homeless Persons Involvement – To the maximum extent practicable, the subrecipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under ESG, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted ESG.

Consolidated Plan – All activities the subrecipient undertakes with assistance under ESG are consistent with the State's current HUD-approved consolidated plan.


Signature of Authorized Official


Date

Director Arkansas Department of Human Services
Title

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0006
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0345-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
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14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955 as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-525); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205)
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

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| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL | TITLE |
|  | Director, DHS |
| APPLICANT ORGANIZATION | DATE SUBMITTED |
| Arkansas Department of Human Services | 6/5/19 |

SF 424D (Rev. 7-97) Back

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:


Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

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| Application for Federal Assistance SF-424 | | |
|--|--|--|
| * 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application | * 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision | * If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/> |
| * 3. Date Received: <input type="text"/> | 4. Applicant Identifier: <input type="text"/> | |
| 5a. Federal Entity Identifier: <input type="text"/> E-20-DW-05-0001 | 5b. Federal Award Identifier: <input type="text"/> E-20-DW-05-0001 | |
| State Use Only: | | |
| 6. Date Received by State: <input type="text"/> | 7. State Application Identifier: <input type="text"/> | |
| 8. APPLICANT INFORMATION: | | |
| * a. Legal Name: <input type="text"/> State of Arkansas | | |
| * b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text"/> 710847443 | * c. Organizational DUNS: <input type="text"/> 0247209010000 | |
| d. Address: | | |
| * Street1: <input type="text"/> 700 Main Street | Street2: <input type="text"/> P.O. Box 1437 Slot 8330 | |
| * City: <input type="text"/> Little Rock | County/Parish: <input type="text"/> Pulaski | |
| * State: <input type="text"/> AR: Arkansas | Province: <input type="text"/> | |
| * Country: <input type="text"/> USA: UNITED STATES | * Zip / Postal Code: <input type="text"/> 72203 | |
| e. Organizational Unit: | | |
| Department Name: <input type="text"/> AR Dept of Human Services | Division Name: <input type="text"/> Div. of County Operations | |
| f. Name and contact information of person to be contacted on matters involving this application: | | |
| Prefix: <input type="text"/> Mrs. | * First Name: <input type="text"/> Lorie | |
| Middle Name: <input type="text"/> | * Last Name: <input type="text"/> Williams | |
| Suffix: <input type="text"/> | Title: <input type="text"/> Assistant Director DCO/DCS | |
| Organizational Affiliation: <input type="text"/> State Government | | |
| * Telephone Number: <input type="text"/> 501-682-8714 | Fax Number: <input type="text"/> 501-682-6736 | |
| * Email: <input type="text"/> Lorie.Williams@dhs.arkansas.gov | | |

| Application for Federal Assistance SF-424 | |
|--|--|
| <p>* 9. Type of Applicant 1: Select Applicant Type:</p> <input type="text" value="A: State Government"/> <p>Type of Applicant 2: Select Applicant Type:</p> <input type="text"/> <p>Type of Applicant 3: Select Applicant Type:</p> <input type="text"/> <p>* Other (specify):</p> <input type="text"/> | |
| <p>* 10. Name of Federal Agency:</p> <input type="text" value="U.S. Department of Housing and Urban Development"/> | |
| <p>11. Catalog of Federal Domestic Assistance Number:</p> <input type="text" value="14-231"/> <p>CFDA Title:</p> <input type="text" value="Emergency Solutions Grant"/> | |
| <p>* 12. Funding Opportunity Number:</p> <input type="text" value="2020 ESG E-20-DW-05-0001"/> <p>* Title:</p> <input type="text" value="The ESG COVID-19 funds will be used to prevent, prepare for, and respond to the Coronavirus pandemic among individuals and families who are homeless or receiving homeless assistance; and to support additional homeless assistance and prevention activity."/> | |
| <p>13. Competition Identification Number:</p> <input type="text"/> <p>Title:</p> <input type="text" value="State of Arkansas Emergency Solutions Grant Program"/> <p>ESG: 14-231</p> | |
| <p>14. Areas Affected by Project (Cities, Counties, States, etc.):</p> <input type="text"/> <div style="display: flex; justify-content: space-around;"> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> </div> | |
| <p>* 15. Descriptive Title of Applicant's Project:</p> <input type="text" value="State of Arkansas Consolidated Plan, CARES Act funding"/> <p>ESG: 14-231</p> | |
| <p>Attach supporting documents as specified in agency instructions.</p> <div style="display: flex; justify-content: space-around;"> <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/> </div> | |

| Application for Federal Assistance SF-424 | |
|---|--|
| 16. Congressional Districts Of: | |
| * a. Applicant | 1-4 |
| * b. Program/Project | 1-4 |
| Attach an additional list of Program/Project Congressional Districts if needed. | |
| <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> | |
| 17. Proposed Project: | |
| * a. Start Date: | 03/27/2020 |
| * b. End Date: | 06/30/2022 |
| 18. Estimated Funding (\$): | |
| * a. Federal | 7,808,010.00 |
| * b. Applicant | |
| * c. State | |
| * d. Local | |
| * e. Other | |
| * f. Program Income | |
| * g. TOTAL | 7,808,010.00 |
| * 19. Is Application Subject to Review By State Under Executive Order 12372 Process? | |
| <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> . | |
| <input checked="" type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. | |
| <input type="checkbox"/> c. Program is not covered by E.O. 12372. | |
| * 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) | |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| If "Yes", provide explanation and attach | |
| <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> | |
| 21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001) | |
| <input checked="" type="checkbox"/> ** I AGREE | |
| <small>** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.</small> | |
| Authorized Representative: | |
| Prefix: | Mrs. |
| * First Name: | Mary |
| Middle Name: | |
| * Last Name: | Franklin |
| Suffix: | |
| * Title: | Director, Division of County Operations |
| * Telephone Number: | 501-682-8377 |
| Fax Number: | 501-682-8367 |
| * Email: | Mary.Franklin@dhs.arkansas.gov |
| * Signature of Authorized Representative: |  |
| * Date Signed: | 07/02/2020 |

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0008
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4726-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 800, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residential structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1976, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

Previous Edition Usable

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Standard Form 424D (Rev. 7-97)
Prescribed by GMR Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11890; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1965, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1980 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in ensuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

| | |
|---|----------------|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL | TITLE |
|  | Secretary |
| APPLICANT ORGANIZATION | DATE SUBMITTED |
| Arkansas Department of Human Services | 6/2/2020 |

SES-4740 (Rev. 7-87) Back

INTERIM ESG-CV Certifications (STATE)

Each State that seeks funding under the Emergency Solutions Grants Program must provide the following certifications:

~~**Matching Funds**—The State will obtain any matching amounts required under 24 CFR 576.201 in a manner or that its subrecipients that are least capable of providing matching amounts receive the benefit of the exception under 24 CFR 576.201(a)(3).~~

Discharge Policy – The State will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

Confidentiality – The State will develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

The State will ensure that its subrecipients comply with the following criteria:

Major rehabilitation/conversion – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the building will be maintained as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the building will be maintained as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the building will be maintained as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation. **EXCEPTION:** In accordance with the CARES Act, the certifications in this paragraph do not apply with respect to CARES Act funding that is used to provide temporary emergency shelters (through leasing of existing property, temporary structures, or other means) to prevent, prepare for, and respond to coronavirus.

Essential Services and Operating Costs – If ESG funds are used for shelter operations or essential services related to street outreach or emergency shelter, the subrecipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the applicant serves the same type of persons (e.g., families with children, unaccompanied youth, veterans, disabled individuals, or victims of domestic violence) or persons in the same geographic area. **EXCEPTION:** In accordance with the CARES Act, the certification in this paragraph does not apply with respect to CARES Act funding that is used to provide temporary emergency shelters

(through leasing of existing property, temporary structures, or other means) to prevent, prepare for, and respond to coronavirus.

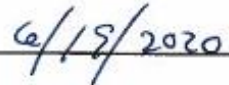
Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The subrecipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for such individuals.

Homeless Persons Involvement – To the maximum extent practicable, the subrecipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted ESG.

Consolidated Plan – All activities the subrecipient undertakes with assistance under ESG are consistent with the State's current HUD-approved consolidated plan.



Signature/Authorized Official


Date


Title

| Application for Federal Assistance SF-424 | | |
|--|--|--|
| * 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application | * 2. Type of Application: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision | * If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/> |
| * 3. Date Received: <input type="text"/> | 4. Applicant Identifier: <input type="text"/> | |
| 5a. Federal Entity Identifier: <input type="text" value="E20-DW-05-001"/> | 5b. Federal Award Identifier: <input type="text" value="E-20-DC-05-001"/> | |
| State Use Only: | | |
| 6. Date Received by State: <input type="text"/> | 7. State Application Identifier: <input type="text"/> | |
| 8. APPLICANT INFORMATION: | | |
| * a. Legal Name: <input type="text" value="State of Arkansas"/> | | |
| * b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="710847443"/> | * c. Organizational DUNS: <input type="text" value="0247209010000"/> | |
| d. Address: | | |
| * Street1: <input type="text" value="700 Main Street"/> | Street2: <input type="text"/> | |
| * City: <input type="text" value="Little Rock"/> | County/Parish: <input type="text" value="Pulaski"/> | |
| * State: <input type="text" value="AR: Arkansas"/> | Province: <input type="text"/> | |
| * Country: <input type="text" value="USA: UNITED STATES"/> | * Zip / Postal Code: <input type="text" value="72203"/> | |
| e. Organizational Unit: | | |
| Department Name: <input type="text" value="AR Dept of Human Services"/> | Division Name: <input type="text" value="Division of County Operations"/> | |
| f. Name and contact information of person to be contacted on matters involving this application: | | |
| Prefix: <input type="text" value="Mrs."/> | * First Name: <input type="text" value="Lorie"/> | Middle Name: <input type="text"/> |
| * Last Name: <input type="text" value="Williams"/> | Suffix: <input type="text"/> | |
| Title: <input type="text" value="Assistant Director DCO/DCS"/> | | |
| Organizational Affiliation: <input type="text" value="State Government"/> | | |
| * Telephone Number: <input type="text" value="501-682-8714"/> | Fax Number: <input type="text" value="501-682-6736"/> | |
| * Email: <input type="text" value="Lorie.Williams@dhs.arkansas.gov"/> | | |

| Application for Federal Assistance SF-424 | |
|--|--|
| <p>* 9. Type of Applicant 1: Select Applicant Type:</p> <p>A: State Government</p> <p>Type of Applicant 2: Select Applicant Type:</p> <p>Type of Applicant 3: Select Applicant Type:</p> <p>* Other (specify):</p> | |
| <p>* 10. Name of Federal Agency:</p> <p>U.S. Department of Housing and Urban Development</p> | |
| <p>11. Catalog of Federal Domestic Assistance Number:</p> <p>14-231</p> <p>CFDA Title:</p> <p>Emergency Solutions Grant</p> | |
| <p>* 12. Funding Opportunity Number:</p> <p>2020 ESG E-20-DW-05-0001</p> <p>* Title:</p> <p>The ESG COVID-19 funds will be used to prevent, prepare for, and respond to the Coronavirus pandemic among individuals and families who are homeless or receiving homeless assistance; and to support additional homeless assistance and prevention activity.</p> | |
| <p>13. Competition Identification Number:</p> <p>Title:</p> <p>State of Arkansas Emergency Solutions Grant Program</p> <p>ESG 14-231</p> | |
| <p>14. Areas Affected by Project (Cities, Counties, States, etc.):</p> <p>Add Attachment Delete Attachment View Attachment</p> | |
| <p>* 15. Descriptive Title of Applicant's Project:</p> <p>State of Arkansas Consolidated Plan</p> <p>ESG 14-231</p> <p>The ESG Cares Act Second Allocation of 15,976,848 is added to the original award \$7,808,010</p> | |
| <p>Attach supporting documents as specified in agency instructions.</p> <p>Add Attachments Delete Attachments View Attachments</p> | |

| Application for Federal Assistance SF-424 | |
|---|--|
| 16. Congressional Districts Of: | |
| * a. Applicant | 1-4 |
| * b. Program/Project | 1-4 |
| Attach an additional list of Program/Project Congressional Districts if needed. | |
| <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> | |
| 17. Proposed Project: | |
| * a. Start Date: | 03/27/2020 |
| * b. End Date: | 06/30/2022 |
| 18. Estimated Funding (\$): | |
| * a. Federal | 23,786,858.00 |
| * b. Applicant | |
| * c. State | |
| * d. Local | |
| * e. Other | |
| * f. Program Income | |
| * g. TOTAL | 23,786,858.00 |
| * 19. Is Application Subject to Review By State Under Executive Order 12372 Process? | |
| <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> . | |
| <input checked="" type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. | |
| <input type="checkbox"/> c. Program is not covered by E.O. 12372. | |
| * 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) | |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| If "Yes", provide explanation and attach | |
| <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> | |
| 21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001) | |
| <input checked="" type="checkbox"/> ** I AGREE | |
| <small>** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.</small> | |
| Authorized Representative: | |
| Prefix: | Mrs. |
| * First Name: | Mary |
| Middle Name: | |
| * Last Name: | Franklin |
| Suffix: | |
| * Title: | Director, Division of County Operations |
| * Telephone Number: | 501-682-8377 |
| Fax Number: | 501-682-8367 |
| * Email: | Mary.Franklin@dhs.arkansas.gov |
| * Signature of Authorized Representative: |  |
| * Date Signed: | 07/29/2020 |

INTERIM ESG-CV Certifications (STATE)

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Essential Services and Operating Costs – If ESG funds are used for shelter operations or essential services related to street outreach or emergency shelter, the subrecipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the applicant serves the same type of persons (e.g., families with children, unaccompanied youth, veterans, disabled individuals, or victims of domestic violence) or persons in the same geographic area. EXCEPTION: In accordance with the CARES Act, the certification in this paragraph does not apply with respect to CARES Act funding that is used to provide temporary emergency shelters

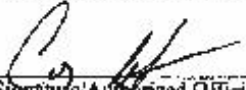
(through leasing of existing property, temporary structures, or other means) to prevent, prepare for, and respond to coronavirus.

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Supportive Services – The subrecipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for such individuals.

Homeless Persons Involvement – To the maximum extent practicable, the subrecipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted ESG.

Consolidated Plan – All activities the subrecipient undertakes with assistance under ESG are consistent with the State's current HUD-approved consolidated plan.



Signature/Authorized Official

8/24/2020

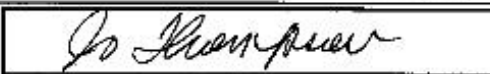
Date

Secretary, Dept. of Human Services

Title

| Application for Federal Assistance SF-424 | | |
|--|--|---|
| * 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application | * 2. Type of Application: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision | * 3. Revision, select appropriate (date/s): _____ * Other (Specify): _____ |
| * 4. Date Received: 04/02/2020 | 4. Applicant Identifier: _____ | |
| 3a. Federal Entity Identifier: _____ | 5a. Federal Award Identifier: 56320-29099 | |
| State Use Only: | | |
| 6. Date Received by State: 04/02/2020 | 7. State Application Identifier: _____ | |
| 8. APPLICANT INFORMATION: | | |
| * a. Legal Name: Arkansas Department of Health | | |
| * b. Employer/Taxpayer Identification Number (EIN/TIN): 71-8007153 | * c. Organizational DUNS: 8098731850000 | |
| d. Address: | | |
| * Street 1: 4015 N. Oakman Blvd. Jc | Street 2: _____ | |
| * City: Little Rock | County/Parish: Pulaski | |
| * State: AR, Arkansas | Province: _____ | |
| * Country: USA: UNITED STATES | * Zip / Postal Code: 72205-1956 | |
| e. Organizational Unit: | | |
| Department Name: Center for Health Protection | Division Name: Infectious Disease Branch | |
| f. Name and contact information of person to be contacted on matters involving this application: | | |
| Prefix: Mr. | * First Name: Ekochia | |
| Middle Name: _____ | * Last Name: Jackson | |
| Suffix: _____ | Title: ROPW2, Coordinator | |
| Organizational Affiliation: _____ | | |
| * Telephone Number: 501-661-2137 | Fax Number: 501-661-2082 | |
| * Email: Ekochia.Jackson@adhs.arkansas.gov | | |

| Application for Federal Assistance SF-424 | | | |
|--|--|--|--|
| * 9. Type of Applicant 1: Select Applicant Type: | | | |
| <input type="text" value="A: State Government"/> | | | |
| Type of Applicant 2: Select Applicant Type | | | |
| <input type="text"/> | | | |
| Type of Applicant 3: Select Applicant Type | | | |
| <input type="text"/> | | | |
| * Other (specify): | | | |
| <input type="text"/> | | | |
| * 10. Name of Federal Agency: | | | |
| <input type="text" value="U.S. Department of Housing and Urban Development"/> | | | |
| 11. Catalog of Federal Domestic Assistance Number: | | | |
| <input type="text" value="14-220"/> | | | |
| CFDA Title: | | | |
| <input type="text" value="ODBG, HOME, ESG, HOPEA, HOPE"/> | | | |
| * 12. Funding Opportunity Number: | | | |
| <input type="text" value="AEN20-14499"/> | | | |
| * Title: | | | |
| <input type="text" value="Housing Opportunities for Persons With AIDS (HOPWA) supplemental Allocation under the Coronavirus Aid, Relief and Economic Security (CARES) Act (Pub. Law No. 116-136) funding to maintain operations and for rental assistance, supportive services."/> | | | |
| 13. Competition Identification Number: | | | |
| <input type="text" value="AEN20-FEW95"/> | | | |
| Title: | | | |
| <input type="text" value="State of Arkansas Substantial Assistance to the HUD 2019 Annual Action Plan for HOPWA."/> | | | |
| CDBG: HOPEA: AEN20-14499 HOME: HOPE ESG: | | | |
| 14. Areas Affected by Project (Cities, Counties, States, etc.): | | | |
| <input type="text"/> | | | |
| <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> | | | |
| * 15. Descriptive Title of Applicant's Project: | | | |
| <input type="text" value="State of Arkansas Substantial Assistance to the HUD 2019 Annual Action Plan for HOPWA."/> | | | |
| CDBG: HOPEA: AEN20-14499 HOME: HOPE ESG: | | | |
| Attach supporting documents as specified in agency instructions. | | | |
| <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/> | | | |

| | |
|---|----------------------------|
| Application for Federal Assistance SF-424 | |
| 16. Congressional Districts Of: | |
| *a. Applicant: AR | *b. Program/Project: E-0 |
| Attach an additional list of Program/Project Congressional Districts if needed. | |
| <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> | |
| 17. Proposed Project: | |
| *a. Start Date: 07/01/2020 | *b. End Date: 07/31/2021 |
| 18. Estimated Funding (\$): | |
| *a. Federal | 123,620.00 |
| *b. Applicant | |
| *c. State | |
| *d. Local | |
| *e. Other | |
| *f. Program Income | |
| *g. TOTAL | 123,620.00 |
| * 19. Is Application Subject to Review By State Under Executive Order 12372 Process? <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on: <input type="text"/> <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372. | |
| * 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes", provide explanation and attach: <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> | |
| 21. "By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms. If I accept an award, I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001) <input checked="" type="checkbox"/> ** I AGREE <small>** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.</small> | |
| Authorized Representative: | |
| Prefix: Mr. | * First Name: Jo |
| Middle Name: | |
| * Last Name: Thompson | |
| Suffix: | |
| * Title: | |
| * Telephone Number: 501-280-4137 | * Fax Number: 501-280-3383 |
| * Email: Jo.Thompson@arkansas.gov | |
| * Signature of Authorized Release Official:  | * Date Signed: 06/08/2020 |

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4340-0008
Expiration Date: 02/29/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance, and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4753) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of GPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4901 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§5101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Standard Form 4240 (Rev. 7-97)
Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1506 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11614; (b) notification of violating facilities pursuant to EO 11736; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11990; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 178(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
15. Will comply with the Wild and Scenic Rivers Act of 1955 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470); EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133 "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from: (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect; (2) Procuring a commercial sex act during the period of time that the award is in effect; or (3) Using forced labor in the performance of the award or subawards under the award.

| | |
|---|--|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL | TITLE |
|  |  |
| APPLICANT ORGANIZATION | DATE SUBMITTED |
|  |  |

SF-4241 (Rev. 7-31) Back

STATE CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the State certifies that:

Affirmatively Further Fair Housing -- The State will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying -- To the best of the State's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-L.L.L., "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraphs 1 and 2 of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of State -- The submission of the consolidated plan is authorized under State law and the State possesses the legal authority to carry out the programs under the consolidated plan for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the State's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.


Signature of Authorized Official

5/26/2020
Date

CFO
Title

Housing Opportunities for Persons With AIDS Certifications

The State HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under the program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years, in the case of any building or structure purchased, leased, rehabilitated, renovated, or converted with HOPWA assistance,
2. For a period of not less than 3 years, in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.



Signature of Authorized Official



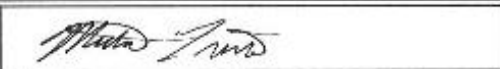
Date



Title

| Application for Federal Assistance SF-424 | | |
|---|--|--|
| * 1. Type of Submission: <input type="checkbox"/> Preproposal <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application | * 2. Type of Application: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision | * If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/> |
| * 3. Date Received: <input type="text"/> | 4. Applicant Identifier: <input type="text"/> | |
| 5a. Federal Entity Identifier: <input type="text"/> | 5b. Federal Award Identifier: <input type="text" value="B-20-DM-05-0001"/> | |
| State Use Only: | | |
| 6. Date Received by State: <input type="text"/> | 7. State Application Identifier: <input type="text" value="B-20-DM-05-0001"/> | |
| 8. APPLICANT INFORMATION: | | |
| * a. Legal Name: <input type="text" value="Arkansas Economic Development Commission"/> | | |
| * b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="71-0043654"/> | * c. Organizations DUNS: <input type="text" value="0247239010090"/> | |
| * d. Address: | | |
| * Street1: <input type="text" value="1 Commerce Way, Suite 301"/> | Street2: <input type="text"/> | |
| * City: <input type="text" value="Little Rock"/> | County/Parish: <input type="text" value="Pulaski"/> | |
| * State: <input type="text" value="AR: Arkansas"/> | Province: <input type="text"/> | |
| * Country: <input type="text" value="USA: UNITED STATES"/> | * Zip / Postal Code: <input type="text" value="72202-7000"/> | |
| * e. Organizational Unit: | | |
| Department Name: <input type="text" value="Ark Economic Development Coma."/> | Division Name: <input type="text" value="Grants Division"/> | |
| * f. Name and contact information of person to be contacted on matters involving this application: | | |
| Prefix: <input type="text" value="Ms."/> | * First Name: <input type="text" value="John"/> | Last: <input type="text"/> |
| Middle Name: <input type="text"/> | * Last Name: <input type="text" value="Boyle"/> | |
| Suffix: <input type="text"/> | Title: <input type="text" value="Grants Division Director"/> | |
| Organizational Affiliation: <input type="text" value="State Government"/> | | |
| * Telephone Number: <input type="text" value="501-682-7189"/> | * Fax Number: <input type="text" value="501-682-7189"/> | |
| * Email: <input type="text" value="John.Boyle@arkansasEDC.com"/> | | |

| Application for Federal Assistance SF-424 | |
|---|--|
| <p>* 9. Type of Applicant 1: Select Applicant Type:</p> <input type="text" value="A: State Government"/> <p>Type of Applicant 2: Select Applicant Type</p> <input type="text"/> <p>Type of Applicant 3: Select Applicant Type</p> <input type="text"/> <p>* Other (specify)</p> <input type="text"/> | |
| <p>* 10. Name of Federal Agency:</p> <input type="text" value="U.S. Department of Housing and Urban Development"/> | |
| <p>11. Catalog of Federal Domestic Assistance Number:</p> <input type="text" value="14.228"/> <p>CFDA Title:</p> <input type="text" value="CDBG"/> | |
| <p>* 12. Funding Opportunity Number:</p> <input type="text" value="14.228"/> <p>* Title:</p> <input type="text" value="State of Arkansas Consolidated Plan, CARES Act funding for CDBG-CV for Rounds 1, 2 and 3, will be used to prevent, prepare for, and respond to the coronavirus pandemic."/> | |
| <p>13. Competition Identification Number:</p> <input type="text"/> <p>Title:</p> <input type="text" value="The State of Arkansas Consolidated Plan, CARES Act funds for Rounds 1, 2 and 3, will be used to prevent, prepare for, and respond to the coronavirus pandemic."/> | |
| <p>14. Areas Affected by Project (Cities, Counties, States, etc.):</p> <input type="text"/> <p style="text-align: right;"> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> </p> | |
| <p>* 15. Descriptive Title of Applicant's Project:</p> <input type="text" value="State of Arkansas Consolidated Plan, CARES Act funding for CDBG-CV for Rounds 1, 2 and 3, will be used to prevent, prepare for, and respond to the coronavirus pandemic."/> <p>CDBG: 14.228</p> | |
| <p>Attach supporting documents as specified in agency instructions</p> <p style="text-align: right;"> <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/> </p> | |

| | |
|---|--|
| Application for Federal Assistance SF-424 | |
| 16. Congressional Districts Of: | |
| * a. Applicant: <input type="text" value="1-4"/> | * b. Program/Project: <input type="text" value="1-4"/> |
| Attach an additional list of Program/Project Congressional Districts if needed | |
| <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> | |
| 17. Proposed Project: | |
| * a. Start Date: <input type="text" value="11/01/2020"/> | * b. End Date: <input type="text" value="1/01/2026"/> |
| 18. Estimated Funding (\$): | |
| * a. Federal | <input type="text" value="26,387,954.00"/> |
| * b. Applicant | <input type="text" value="0.00"/> |
| * c. State | <input type="text" value="0.00"/> |
| * d. Local | <input type="text" value="0.00"/> |
| * e. Other | <input type="text" value="0.00"/> |
| * f. Program Income | <input type="text" value="0.00"/> |
| * g. TOTAL | <input type="text" value="26,387,954.00"/> |
| * 19. Is Application Subject to Review By State Under Executive Order 12372 Process? | |
| <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> | |
| <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. | |
| <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372 | |
| * 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) | |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| If "Yes", provide explanation and attach | |
| <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> | |
| 21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001) | |
| <input checked="" type="checkbox"/> ** I AGREE | |
| <small>** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.</small> | |
| Authorized Representative: | |
| Prefix: <input type="text" value="Mr."/> | * First Name: <input type="text" value="Michael"/> |
| Middle Name: <input type="text"/> | |
| * Last Name: <input type="text" value="Preston"/> | |
| Suffix: <input type="text"/> | |
| * Title: <input type="text" value="Executive Director"/> | |
| * Telephone Number: <input type="text" value="501-682-7301"/> | Fax Number: <input type="text" value="501-682-7191"/> |
| * Email: <input type="text" value="mpreston@2020.kansasBEC.com"/> | |
| * Signature of Authorized Representative:  | * Date Signed: <input type="text" value="11/14/20"/> |

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0345-0042), Washington, DC 20503.

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As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
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Standard Form 424D (Rev. 7-97)
Prescribed by OMB Circular A-132

11. Will comply, or has already complied, with the requirements of Titles I and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-546) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11739; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§466a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect; (2) Procuring a commercial sex act during the period of time that the award is in effect; or (3) Using forced labor in the performance of the award or subawards under the award.

| | |
|---|--------------------|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL | TITLE |
|  | Executive Director |
| APPLICANT ORGANIZATION | DATE SUBMITTED |
| Arkansas Economic Development Commission | 10/14/2020 |

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STATE CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the State certifies that:

Affirmatively Further Fair Housing -- The State will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying --To the best of the State's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-L.L., "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraphs 1 and 2 of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of State -- The submission of the consolidated plan is authorized under State law and the State possesses the legal authority to carry out the programs under the consolidated plan for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the State's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.



Signature of Authorized Official

10/14/2020

Date

Executive Director - Arkansas Economic Development Commission
Title

Specific Community Development Block Grant Certifications

The State certifies that:

Citizen Participation -- It is following a detailed citizen participation plan that satisfies the requirements of 24 CFR §91.115 and each unit of general local government that receives assistance from the State is following a detailed citizen participation plan that satisfies the requirements of 24 CFR §570.486.

Consultation with Local Governments --

1. It has consulted with affected units of local government in the nonentitlement area of the State in determining the method of distribution of funding;
2. It engages in or will engage in planning for community development activities;
3. It provides or will provide technical assistance to units of local government in connection with community development programs; and
4. It will not refuse to distribute funds to any unit of general local government on the basis of the particular eligible activity selected by the unit of general local government to meet its community development needs, except that a State is not prevented from establishing priorities in distributing funding on the basis of the activities selected.

Local Needs Identification -- It will require each unit of general local government to be funded to identify its community development and housing needs, including the needs of low-income and moderate-income families, and the activities to be undertaken to meet these needs.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available.
2. **Overall Benefit.** In the aggregate, not less than 70 percent of the CDBG funds, including Section 108 guaranteed loans, received by the State during the following fiscal year(s) 2019-2021 [a period designated by the State of one, two, or three specific consecutive fiscal year(s)] will be used for activities that benefit persons of low and moderate income.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG Funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It will require units of general local government that receive CDBG funds to certify that they have adopted and are enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Compliance with Laws -- It will comply with applicable laws.



Signature of Authorized Official

10/14/2016

Date

Executive Director - Arkansas Economic Development Commission
Title